

1 **Effects of noise exposure on young adults with normal audiograms I: Electrophysiology**

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17

18 Abstract

19

20 Noise-induced cochlear synaptopathy has been demonstrated in numerous rodent studies. In these
21 animal models, the disorder is characterized by a reduction in amplitude of wave I of the auditory
22 brainstem response (ABR) to high-level stimuli, whereas the response at threshold is unaffected.
23 The aim of the present study was to determine if this disorder is prevalent in young adult humans
24 with normal audiometric hearing. One hundred and twenty six participants (75 females) aged 18-36
25 were tested. Participants had a wide range of lifetime noise exposures as estimated by a structured
26 interview. Audiometric thresholds did not differ across noise exposures up to 8 kHz, although 16-
27 kHz audiometric thresholds were elevated with increasing noise exposure for females but not for
28 males. ABRs were measured in response to high-pass (1.5 kHz) filtered clicks of 80 and 100 dB
29 peSPL. Frequency-following responses (FFRs) were measured to 80 dB SPL pure tones from 240-
30 285 Hz, and to 80 dB SPL 4 kHz pure tones amplitude modulated at frequencies from 240-285 Hz
31 (transposed tones). The bandwidth of the ABR stimuli and the carrier frequency of the transposed
32 tones were chosen to target the 3-6 kHz characteristic frequency region which is usually associated
33 with noise damage in humans. The results indicate no relation between noise exposure and the
34 amplitude of the ABR. In particular, wave I of the ABR did not decrease with increasing noise
35 exposure as predicted. ABR wave V latency increased with increasing noise exposure for the 80 dB
36 peSPL click. High carrier-frequency (envelope) FFR amplitudes decreased as a function of noise
37 exposure in males but not females. However, these correlations were not significant after the effects
38 of age were controlled. The results suggest either that noise-induced cochlear synaptopathy is not a
39 significant problem in young, audiometrically normal adults, or that the ABR and FFR are relatively
40 insensitive to this disorder in young humans, although it is possible that the effects become more
41 pronounced with age.

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46 Keywords:

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48 Cochlear synaptopathy

49 Hidden hearing loss

50 Noise-induced hearing loss

51 Auditory brainstem response

52 Frequency-following response

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54 Abbreviations:

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56 ABR, auditory brainstem response; FFR, frequency following response; NIHL, Noise-induced

57 hearing loss; OHC, outer hair cell; IHC, inner hair cell; AN, auditory nerve; SR, spontaneous rate;

58 TEOAE, transient-evoked otoacoustic emission.

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70 1. Introduction

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72 The primary account of noise-induced hearing loss (NIHL) is that cochlear hair cells are damaged
73 (Liberman and Dodds, 1984), causing a loss of sensitivity to quiet sounds. This loss of sensitivity
74 can be detected by pure tone audiometry, and thus NIHL can be identified by comparing thresholds
75 to age-matched normal audiograms. Recently, experiments conducted in rodent models have
76 demonstrated another mechanism of NIHL, cochlear synaptopathy, which is characterized by a loss
77 of the synapses between inner hair cells (IHCs) and auditory nerve (AN) fibers. Using a mouse
78 model, Kujawa and Liberman (2009) demonstrated that after 2 hours of exposure to 100 dB SPL
79 noise (8-16 kHz), up to 50% of the synapses between IHCs and AN fibers had been permanently
80 destroyed in the affected frequency region. This permanent loss of AN synapses was seen despite a
81 recovery in absolute sensitivity. Their results suggest that cochlear synaptopathy can be identified
82 from a reduction in the amplitude of wave I of the auditory brainstem response (ABR), which
83 reflects AN function. The reduction was only observed in response to moderate-to-high-intensity
84 stimuli, not for stimuli presented near threshold.

85

86 Cochlear synaptopathy has been demonstrated in a number of other rodent models (e.g. guinea pig,
87 Lin et al., 2011; chinchilla, Hickox et al., 2015) and has been shown to occur after exposure to more
88 moderate sound levels over a longer duration (84 dB SPL for a week, Maison et al., 2013).
89 Furthermore, noise-induced synaptic loss has been shown to preferentially affect the synapses with
90 low spontaneous-rate (SR) AN fibers (Furman et al., 2013). Low-SR fibers have high thresholds
91 and high saturation levels, and so are used to encode high-intensity sounds. Hence, noise-induced
92 cochlear synaptopathy could result in coding of supra-threshold sounds being affected despite
93 sensitivity near threshold remaining unaltered. The low-SR account of how synaptopathy manifests
94 in rodents appears straightforward and well understood, however there are still unresolved issues.

95 For example Song et al. (2016) demonstrate that, after noise exposure, synapses can remain present
96 but are no longer functionally normal.

97

98 Currently, the most direct evidence for noise-induced synaptopathy occurring in humans is from a
99 study demonstrating that the amplitude of wave I of the ABR in response to high-intensity clicks
100 was negatively correlated with noise exposure across 30 participants, despite little effect of
101 exposure on absolute threshold up to 8 kHz (Stamper and Johnson 2015a). The measure of noise
102 exposure quantified the amount of high-intensity sound encountered over the previous 12 months,
103 rather than lifetime exposure. Hence, some listeners may have been classified as low noise exposed,
104 when in fact earlier noise exposure may have already caused synaptopathy. Furthermore there was a
105 confound due to the distribution of sexes across the cohort: Male participants formed the majority
106 of the highly noise exposed listeners, and males tend to show weaker ABRs than females due to
107 factors such as head size. This was subsequently addressed with separate analyses for males and
108 females (Stamper and Johnson, 2015b), though this information was presented only for the highest
109 sound level tested (90 dB nHL), and the authors did not confirm that there was no relation between
110 hearing threshold and noise exposure separately for the two sexes. This re-analysis found a
111 significant decrease in ABR wave I amplitude as a function of noise exposure for females, but not
112 for males.

113

114 A more recent study by Liberman et al. (2016) found no significant decrease in wave I amplitude
115 (“action potential”) measured from the ear canal in a group of listeners with normal audiometric
116 thresholds identified as high-risk for noise-induced synaptopathy compared to a low-risk group. The
117 authors do report a significant increase in the ratio of the summing potential (reflecting hair cell
118 activity) to the action potential in the high-risk group, consistent with synaptopathy. However this
119 increase in ratio was driven mainly by an increase in the summing potential in the high-risk group

120 rather than by a decrease in the action potential in the high-risk group. Based on the studies of
121 synaptopathy in rodents it was predicted that the summing potential would remain equivalent
122 between the two groups. Hence, interpretation of this finding is not straightforward.

123

124 Attenuated wave I amplitudes have been observed in audiometrically normal human listeners with
125 tinnitus compared to controls when hearing thresholds were matched between the groups (Schaette
126 and McAlpine, 2011). Gu et al. (2012) also showed attenuated wave I amplitudes in tinnitus
127 listeners compared to non-tinnitus controls, however the groups also differed in audiometric
128 threshold above 8 kHz. Cochlear synaptopathy has been suggested as a possible cause of tinnitus in
129 listeners with normal audiograms, with the percept arising from the auditory system trying to
130 compensate for reduced AN input by increasing central neural gain. However, to the authors'
131 knowledge, no published study has measured noise exposure and electrophysiological responses in
132 the same human listeners with tinnitus and so it remains unclear the extent to which tinnitus is a
133 symptomatic manifestation of noise-induced synaptopathy.

134

135 Wave I of the ABR is the most direct non-invasive measure of AN fidelity in humans, and in the
136 rodent model has been shown to be a correlate of underlying cochlear synaptopathy, at least at the
137 group level. However, one of the obstacles for the use of the ABR to identify synaptopathy in
138 humans is that wave I amplitude is highly variable across individuals. Another objective measure
139 that has been proposed as an indicator of synaptopathy is the frequency-following response (FFR).
140 The FFR is a sustained evoked potential, reflecting neural phase locking to the fine structure or
141 envelope of sounds. For frequencies from about 80 to 1000 Hz, the latency of the FFR is consistent
142 with a generator in the rostral brainstem (Krishnan, 2006). Shaheen et al. (2015) demonstrate that
143 the FFR may be a more robust indicator than the ABR of noise-induced synaptopathy in mice.
144 Furthermore the FFR has been shown to relate reliably to behavioral performance on temporal

145 discrimination tasks, which provides further evidence of the suitability of the FFR to detect noise-
146 induced changes in neural processing (Bharadwaj et al., 2015).

147

148 The evidence for noise-induced synaptopathy in a range of rodent models is compelling. However,
149 to date, evidence for noise-induced synaptopathy in humans is limited and it is unclear whether the
150 same mechanism is involved in both males and females. Many of the rodent studies use male
151 animals and sex has not been studied as a factor. Therefore, it remains unknown the extent to which
152 the two sexes are equally susceptible to noise induced synaptopathy. If the pathology does occur in
153 humans, we hypothesize that noise exposure will reduce the number of functioning low-SR AN
154 fibers in the affected frequency region, leading to a reduction in the ABR response at high levels
155 (specifically for wave I), and a reduction in the FFR at high carrier frequencies. The choice of
156 stimuli for this study was informed by previous work in both rodents and humans and the approach
157 assumes that synaptopathy will preferentially affect low-SR fibers and that the effects will be most
158 readily observed in the 3-6-kHz characteristic frequency region where noise damage in humans is
159 usually manifest (Toynbee, 1860; McBride and Williams, 2001).

160

161 In the present study, these measurements were compared to lifetime noise exposure. For both the
162 ABR and the FFR two stimuli were used, the response to one of which was predicted to be more
163 affected by noise-induced synaptopathy than the other. The ABR assumed to be most affected was
164 that to a high-intensity click. This was compared to the ABR to a lower-intensity click that should
165 have produced less activation of low-SR fibers. The bandwidth of the ABR stimuli was chosen to
166 target the 3-6 kHz characteristic frequency region where NIHL is usually observed in humans
167 (Toynbee, 1860; McBride and Williams, 2001). The FFR assumed to be most affected was that to
168 the envelope of a 4-kHz carrier frequency. This was compared to an FFR for a low frequency pure
169 tone (see Barker et al., 2014 for a preliminary use of this approach). The purpose of using such

170 differential measures is to isolate the effects of synaptopathy from individual differences due to
171 unrelated factors such as head size, and background physiological noise (see Plack et al., 2014;
172 2016 for further discussion).

173

174 2. Methods

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176 2.1. Participants

177

178 One hundred and twenty six participants (75 females), with a wide range of noise exposures, were
179 tested. All participants had audiometric thresholds within the normal range at octave frequencies
180 from 500 to 8 kHz. Males had a mean age of 23.3 years (range, 18-36) and females had a mean age
181 of 22.9 years (range, 18-36). The procedures were approved by the University of Manchester
182 Research Ethics Committee and all participants gave informed consent (project number 14163).

183

184 2.2. Noise exposure

185

186 Lifetime noise exposure was estimated using a questionnaire developed to assess the effectiveness
187 of the UK noise at work regulations (Lutman et al., 2008). The technique uses pre-determined
188 categories such as “*clubs with amplified music*”, “*live amplified music*”, “*music through speakers*”
189 and also considers miscellaneous activities which constitute a significant source of noise exposure
190 for a given individual (for example playing in bands, attending live sporting events). The
191 questionnaire considers both social and occupational noise exposures. For each activity, in each
192 category, the duration and frequency of exposure is estimated from discussion with the participant
193 and entered into the following formula:

194

195 $U = 10^{(L-A-90)/10} \times Y \times W \times D \times H / 2080,$

196

197 where U is cumulative noise exposure, L is estimated noise exposure level in dBA, A is hearing
198 protection in dB, Y is years of exposure, W is weeks of exposure per year, D is days of exposure per
199 week, H is hours of exposure per day, and 2080 corresponds to the number of hours in a working
200 year.

201

202 The specific implementation of the noise exposure questionnaire used for our study differed from
203 the procedure detailed in the original research report in a number of ways. In Lutman et al. (2008)
204 activities with exposures estimated to be greater than 81 dBA were considered and the overall noise
205 exposure unit was taken as the greatest noise exposure at the individual category level. We consider
206 activities with exposures estimated to be greater than 85 dBA (this value represents the first action
207 level for hearing protection as stipulated by the UK noise at work regulations) and noise exposure
208 calculations were summed over all categories (social and occupational, current and historical). For
209 our cohort the most common activities were attending nightclubs, attending live music events and
210 playing in bands, all of which were assigned an estimated noise level of 105 dBA. There is large
211 variability in the reported sound levels experienced in a nightclub, at a rock concert and by
212 practicing musicians (see Smeatham, 2002 for a thorough overview). Despite the variability, it is
213 clear that in such venues sound levels can reach an equivalent exposure in excess of 105 dBA
214 (Stone et al., 2008) and so this level was selected as a reasonable estimate of sound levels
215 encountered by our cohort when playing in bands, and attending amplified music concerts and
216 nightclubs. Another common activity was listening to music via headphones. Estimating the sound
217 level delivered to the ear by listening to portable devices is difficult due to the variability introduced
218 by the device, the specific headphones used and the extent to which the headphones have decreased
219 in efficiency over time. Commonly reported maximum output values are 97-107 dBA, with an

220 average around 100 dBA (Portnuff et al., 2013). For the current study, participants were asked to
221 imagine walking down the a busy high street and to describe whether they preferred to a) hear
222 nothing except their own music, b) be generally aware of what is going on around them, such as
223 traffic and sirens, but to be able to clearly hear their music over people talking around them, or c)
224 hear everything that is present in the environment as they do not like having their sense of
225 awareness compromised by their music. Listeners found it easy to relate to these conditions and
226 listening values of 93 dBA and 87 dBA were reasonably assigned to preferences *a* and *b*, with the
227 listening habits of category *c* not documented further. Background noise on a busy high street was
228 assumed to be 80 dBA when determining these categories. It is conceivable that these estimated
229 levels do not encompass the loudest listening levels used by some participants (those with the most
230 extreme listening preferences in conjunction with music players and headphones capable of high
231 intensity output). However this would not be expected to cause a major underestimation of their
232 overall noise exposure unless such participants were regular listeners of loud music but *not* regular
233 attendees of concerts and nightclubs. Listening preferences such as these were rare in the sample.
234

235 Estimated noise levels for different activities were fixed across participants to try to reduce the
236 degree of error from subjective recall of noise levels. The majority of participants had never worked
237 in a noisy environment and the main, and often only, category contributing to their noise score was
238 “social noise exposure.” A subset of participants worked in the music industry in some capacity,
239 either as professional musicians or as sound technicians. These participants reported significant
240 noise exposure at work and many of these individuals form the upper tail of the noise exposure
241 distribution.

242
243 One noise exposure unit is equivalent to exposure for 1 year to a working daily level of 90 dBA. For
244 our purposes, we used the raw noise immission units and these were log transformed to produce a

245 normal distribution. Each such logarithmic unit is equivalent to a factor of ten in terms of lifetime
246 exposure energy.

247

248 2.3. Pure tone audiometry

249

250 Pure tone audiometry was performed in each ear separately at octave frequencies between 25 and 8
251 kHz in accordance with the British Society of Audiology (2011) recommended procedure.

252 Thresholds were measured using VIASYS GSI-Arrow audiometers coupled to TDH39P supra-aural
253 headphones. The criterion for inclusion in the study was audiometric thresholds < 25 dB HL in both
254 ears at all frequencies.

255

256 High-frequency audiometry was also performed at 16 kHz using a Creative E-MU 0202 or 0204
257 USB soundcard. Sounds were played over Sennheiser HDA 200 circum-aural headphones designed
258 for high-frequency audiometry. The sound stimulus was a quarter-octave band of noise centered at
259 16 Hz and converted from digital to analog at a sample rate of 48 kHz using a 24-bit depth. Stimuli
260 were 220 ms in duration (including 10-ms raised-cosine ramps) ramps and there was an inter-
261 stimulus interval of 500 ms. A three-alternative forced-choice procedure was used, with a two-
262 down, one-up staircase adaptively setting the stimulus level. Stimulus level was varied
263 arithmetically using a step size of 4 dB for the first four reversals and 2 dB for the following 10
264 reversals. Thresholds were calculated by averaging the final 10 reversals from a single run. 16 kHz
265 hearing sensitivity was assessed to determine if high-frequency hearing could act as an early
266 indicator of damage to the auditory system, before any effects are seen in the standard audiometric
267 range.

268

269 2.4. Otoacoustic emissions

270

271 Transient evoked otoacoustic emissions (TEOAE) were recorded using an ERO SCAN (Maico)
272 screening system in order to evaluate listeners' OHC function. Six frequencies were tested in the
273 range 1.5-4 kHz in 500 Hz steps using narrow band clicks presented at 83 dB peak-equivalent SPL
274 (peSPL, defined as the level of sinusoid with the same peak-to-trough amplitude). Signal-to-noise
275 ratios (SNRs) were obtained at the six test frequencies in both ears and for the purpose of analysis
276 the SNR was averaged between the ears for the three test frequencies between 3-4 kHz. Due to
277 technical difficulties, TEOAEs were only acquired on 79 of the 126 individuals included in the
278 main EEG and audiological analyses.

279

280

281 2.5. Electrophysiology

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283 2.5.1. Recordings

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285 All EEG recordings were made in a single two-hour session and used an ActiveTwo system
286 (Biosemi, Amsterdam). Active electrodes were placed at the high forehead (Fz), the seventh cervical
287 vertebra (C7) and the left and right mastoids (M1, M2). The potentials at all four individual
288 electrodes were recorded at a sampling frequency of 16.384 kHz, with differential montages
289 constructed offline. No online filtering was applied (aside from the anti-aliasing filter implemented
290 in hardware) and no online rejection criteria were set. Electrode offsets were maintained within +/-
291 30 mV throughout each recording, except for the ABR recordings from three participants in which
292 one of the electrodes became detached during the recording (data from the affected channels were
293 discarded). Recordings were made with the participant reclined on a chair and free to close their
294 eyes and relax or fall asleep.

295

296 All stimuli were generated using MATLAB and presented through a Creative E-MU 0204 USB
297 soundcard using a sampling frequency of 48 kHz with 24-bit resolution. Stimuli were presented
298 using mu-metal shielded ER3A inserts (Etymotic, IL, USA). The sound card was used to send
299 triggers to the Biosemi acquisition software to ensure that data collection and stimulus presentation
300 were synchronized.

301

302 2.5.2 ABR stimuli

303

304 Stimuli were 100 μ s diotic clicks high-pass filtered at 1.5 kHz (using a fourth order Butterworth
305 filter) and presented in alternating polarity. Because of the low-pass characteristic of the ER3A
306 inserts, the stimulus delivered to the ear had a restricted bandwidth with a spectral plateau from
307 about 1.5 to 4 kHz. Click levels were 80 and 100 dB peSPL (measured at the output of the inserts
308 using an IEC711 2-cc coupler). Diotic clicks were used in an attempt to measure the strongest ABR
309 possible from each listener. Presentation rate was 11 clicks/s and stimuli were interleaved such that
310 34 seconds of one click intensity were followed by 34 seconds of the other click intensity in order to
311 ensure that any variability across the recording session affected the different stimuli equally. This
312 interleaving of stimuli continued until each click intensity had been presented a total of 7480 times
313 (11 clicks/s x 34 s x 20 blocks).

314

315 2.5.3. ABR analysis

316

317 Differential waveforms were created using Fz-M1 and Fz-M2. In all but three participants these two
318 montages were averaged. For three listeners, one of the montages was confounded by an electrode
319 offset exceeding the criterion, and the other montage was used for the analysis. The two click levels

320 were analyzed separately. The demeaned RMS value of all 7480 sweeps was calculated for each
321 participant using a sweep starting at 17 ms pre-stimulus and ending 17 ms post-stimulus (with the
322 mean calculated over the whole sweep). For each participant, all sweeps which had a broadband
323 RMS power within two standard deviations of their mean were retained for further analysis. These
324 sweeps were averaged in the time domain and the resultant waveform band-pass filtered between
325 300 and 1500 Hz. This average waveform was then subjected to an automated peak- and trough-
326 picking procedure based on extracting the phase reversals from the first derivative of the time
327 series.

328
329 Time windows were constructed around waves I, III and V and the largest peak within the window
330 was selected. The center of the window was determined by the peak in the grand averaged ABR
331 waveform using all 126 participants at each level separately. At 100 dB peSPL, these values
332 correspond to 1.84, 3.85 and 5.74 ms for waves I, III and V respectively. At 80 dB peSPL, they
333 were 2.69, 4.46 and 6.41 ms. The edges of the window were set by using standard deviations of
334 ABR latency reported by Issa and Ross (1995). Standard deviations were 0.17 ms for waves I and
335 III, and 0.21 ms for wave V. The bounds of the windows for our analysis were set as +/- 3 standard
336 deviations around the peak central values described above. The following trough was constrained to
337 fall within 2 ms of the identified peak. If multiple troughs were present, the one which gave the
338 largest peak-to-trough amplitude was used. If no peak or trough was identified within these
339 constraints, the participant was removed from that specific wave-level analysis. On average, a peak-
340 trough complex which satisfied these criteria was identified 95% of the time. A visual inspection of
341 the automated output confirmed that appropriate peaks from the ABR waveform were being
342 selected.

343
344 Differential measures are also informative, to control for individual variability in ABR amplitude
345 and latency due to factors unrelated to synaptopathy such as head size and skull thickness (Picton et

346 al., 1981; Jerger and Hall, 1980). A common method to correct for such confounds is to take a
347 within subject differential measure such as the wave I:V ratio (Schaette and McAlpine, 2012) and
348 inter-peak intervals, such as I-V (Xu et al., 1998). These differential measures taken across different
349 wave peaks are presented in conjunction with differential measures across the two levels. The 100
350 dB to 80 dB ratio is taken for amplitudes and the 100 dB – 80 dB difference is taken for latencies.
351 The two approaches make different assumptions about how synaptopathy affects the human ABR
352 i.e. whether it only attenuates wave I as proposed by Schaette and McAlpine (2011), or whether it
353 targets specific sound intensities.

354

355 2.5.4. FFR stimuli

356

357 Two contiguous acquisitions were made, with the temporal fine-structure (low-frequency) FFR and
358 temporal envelope FFR (high-frequency) being measured simultaneously.

359 In each acquisition four tones were presented simultaneously, with a low-frequency tone (240-285
360 Hz) and a low-frequency tone (240-285 Hz) transposed to 4 kHz (Bernstein and Trahiotis, 2002)
361 presented to each ear. A transposed tone allows the neural firing pattern in a high-frequency region
362 of the cochlea to mimic the firing pattern evoked by a pure tone presented to a low-frequency part
363 of the cochlea. For one acquisition, the left ear received a 255 Hz pure tone and a 240 Hz
364 transposed tone, and the right ear received a 270 Hz pure tone and a 285 Hz transposed tone. For
365 the other acquisition, the left ear received a 285 Hz pure tone and a 255 Hz transposed tone, and the
366 right ear received a 240 Hz pure tone and a 270 Hz transposed tone. Stimuli were 220 ms in
367 duration (including 10 ms ramps) and presented at 80 dB SPL. Each stimulus was presented 4000
368 times in alternating polarity (2000 repetitions for each polarity) with an inter-stimulus interval
369 randomly selected within the range 85-95 ms.

370

371 2.6.2. FFR analysis

372

373 The montage used for the analysis was Fz-C7. The use of multiple measurement frequencies allows
374 the calculation of group delay as a measure of response latency. However, the variability in this
375 measure was too high to give a reliable estimate of the latency of the response as there was a large
376 degree of overlap in the complex plane of response to the different frequencies, and so the present
377 analysis focuses solely on the magnitudes of the responses. For each polarity, sweeps were
378 maintained for further analysis if their RMS power was within 2 standard deviations of the mean.
379 Included sweeps were averaged in the time domain to produce an average for each polarity. These
380 averages were summed to produce a waveform that contains the envelope FFR for the high-
381 frequency region and also subtracted to produce a waveform which emphasizes the fine structure
382 FFR for the low frequency region. Only the 200 ms steady-state signal was analysed (i.e. the ramps
383 were excluded).

384

385 The signal was computed for each component of interest by extracting the magnitude of the fast
386 Fourier transform at the relevant frequency. The noise at each frequency was estimated by using a
387 permutation scheme. Permutation tests are commonly used in electromagnetic recordings to
388 estimate the null distribution of a response (e.g. Maris and Oostenveld, 2007) and although
389 exchangeability of condition labels is a common implementation, for phase-locked signals it has
390 been shown that the phase of each trial can be exchanged in order to build up a null distribution
391 (Prendergast et al., 2011). Before the average was computed for each polarity, half of the sweeps
392 were selected at random and the sign of the response was inverted, which has the effect of making
393 the stimulus polarity arbitrary and any components which remain in the subsequent average can
394 only be spurious in origin. This is repeated 1000 times with different random selections of sweeps
395 to invert. For each permutation the Fourier component of interest is extracted and from this

396 distribution of 1000, the 90th percentile was used to estimate the noise. For both the fine-structure
397 and envelope FFR, the four responses (two from each stimulus/acquisition) were expressed as SNRs
398 and the average of these converted into dB.

399

400 3. Results

401

402 3.1. Noise exposures

403

404 Fig. 1 shows estimated lifetime noise exposure scores for all 126 participants as a function of age.
405 Note that the y-axis is a logarithmic scale with respect to energy: the individuals with the highest
406 exposures had about 300 times the lifetime exposure energy compared to those with the lowest
407 exposures. There is no significant difference between noise exposure scores for males (mean=1.35,
408 s.d.=0.55) and females (mean=1.21, s.d.=0.50): $t(124)=1.48$, $p=0.14$. The Pearson correlation
409 coefficients presented in Fig. 1 show that noise exposure and age are positively related to each
410 other, which is expected since our noise exposure measure reflects cumulative exposure ($p = 3.11e-$
411 10 for the full group).

412

413 3.2. Audiometric data

414

415 Fig. 2 shows audiometric data in the standard frequency range (averaged across the ears) for all
416 listeners and for males and females separately. In subsequent analyses it is instructive to look at
417 groups of low and high noise exposure, as this provides a useful indication of how well a measure
418 might be able to distinguish listeners with noise induced synaptopathy and those without. Therefore
419 Fig. 2 also shows mean audiometric data for low and high noise exposed groups which were
420 obtained by using the 15 individuals with the lowest and highest noise exposure scores from each

421 sex, and for the group “all” by taking the mean of the 30 lowest and highest noise exposed
422 individuals, regardless of sex. It can be seen that there is very little effect of noise exposure on
423 audiometric threshold for these frequencies.

424

425 At 2, 4, and 8 kHz the females with high noise exposure show higher thresholds than the low-noise
426 females as one might expect, whereas for the males this relation is surprisingly inverted, although
427 the differences are not statistically significant. Pearson correlation coefficients were calculated
428 between the noise exposure and the average pure tone detection threshold at 2, 4 and 8 kHz. There
429 is no significant relation between audiometric threshold and noise exposure for either males ($r =$
430 0.00) or females ($r = 0.09$), $p > 0.05$ in both instances.

431

432 Fig. 3 shows the 16-kHz audiometric data averaged across the two ears. Males exhibit higher 16-
433 kHz thresholds than females, which is consistent with previous reports (Rodriguez et al 2014). In
434 our cohort this difference (mean difference of 6.7 dB SPL) is statistically significant: $t(124)=2.64$,
435 $p=0.009$. There is no relation between 16-kHz thresholds and noise exposure in males, but females
436 show a significant increase in thresholds with increasing noise exposure. Noise exposure, sex and
437 an interaction term were entered into a regression model as predictors of high frequency thresholds,
438 which confirmed a main effect of sex (Beta = -17.89, $p < 0.01$) and an interaction between sex and
439 noise exposure (Beta = 9.25, $p < 0.05$).

440

441

442

443 3.3. Otoacoustic emissions

444

445 Fig. 4 shows the mean TEOAE SNR averaged between the ears and across test frequencies of 3, 3.5

446 and 4 kHz. There was no significant relation between noise exposure and the size of the TEOAE
447 ($p>0.05$). Although only a subset of participants was able to be included, the data points cover a
448 wide range of noise exposures and suggest that there is little relation between noise exposure and
449 OHC function at the frequencies tested.

450

451 3.4. ABR

452

453 Fig. 5 shows grand average ABR waveforms for the low and high noise exposed male and female
454 listeners, for the 100 dB peSPL stimulus. Waves I, III, and V can be readily identified. Females
455 (plotted in red) show larger peak amplitudes and shorter latencies than males. The waveforms for
456 low and high noise exposure groups appear similar.

457

458 3.4.1. Amplitude

459

460 Fig. 6 shows the peak-to-trough amplitudes of ABR waves I, III and V as a function of noise
461 exposure. The 100 dB peSPL data are plotted on the top row and the 80 dB peSPL data on the
462 bottom row. The ABR amplitudes show the predicted trends as a function of both level and sex,
463 with 100 dB peSPL clicks evoking a larger response for all three waves and females tending to
464 show larger mean amplitudes than males. None of the ABR wave amplitudes vary significantly as a
465 function of noise exposure (Pearson's correlations provided on the figure). For waves III and V, at
466 the higher click intensity, a positive trend is seen in females and a negative trend in males. However,
467 these opposing correlations are not statistically significant.

468

469 There is no significant relation between ABR amplitude and the pure tone audiometric threshold
470 averaged across 2, 4, and 8 kHz, for any wave or presentation level. The only relation of note

471 between ABR amplitude and 16 kHz threshold is that for wave III in response to the 80 dB peSPL
472 click in males, wave III amplitude decreasing with increasing threshold ($r = -0.38$, $p = 0.01$
473 uncorrected).

474

475 The wave I amplitudes at 80 dB peSPL appear to be very small and this draws into question the
476 extent to which these can be considered representative of the true underlying physiological
477 response. To address this we performed a further analysis to quantify the noise floor. A baseline
478 analysis window was defined in the pre-stimulus period of the 80 dB peSPL ABR, with a window
479 extending 1.02 ms to match the window length used for selecting wave I peaks. The same criteria
480 were used to identify a peak in this arbitrary window, during which no stimulus-evoked peak was
481 expected to be found. Of the 125 listeners with an identified wave I peak-trough complex at 85 dB
482 peSPL, 85 of these (68%) also had a peak-trough complex present in the baseline analysis window
483 that passed the criteria. Of these 85, only 10 listeners showed a response where the baseline noise
484 peak-trough amplitude was greater than the estimate of wave I amplitude. The mean noise exposure
485 scores of these 10 listeners and the standard deviation were comparable to those of the whole group.
486 This analysis suggests that, although some of the wave I amplitudes are weak, in most cases they
487 likely represent some aspect of the underlying neural function. Furthermore in those instances
488 where the response is not greater than the estimated noise level, there is no bias regarding the noise
489 exposure scores of these listeners.

490

491 3.4.2. Latency

492

493 Fig. 7 shows the latencies of waves I, III, and V of the ABR to the two click levels used. Values are
494 plotted as “baseline-corrected” latencies, which means that the latency for each individual has been
495 normalized by subtracting a fixed value for each wave (which was the peak latency in the grand

496 averaged waveform across all participants at each level). This allows all the data to be plotted on a
497 single axis for direct comparison. The raw values show previously described trends, with the lower
498 click level evoking waves with longer latencies and females typically showing a shorter mean
499 latency than males.

500

501 The upper row shows the latency values for the 100 dB peSPL click, which suggest little relation
502 between noise exposure and ABR peak latency. The regression line for all participants closely
503 matches what is seen in the two sexes independently. For the 80 dB peSPL click, the latencies for
504 wave V are significantly, positively related to noise exposure. Both sexes show the same trend, with
505 the females showing a stronger relation than males. These differences in latency are seen despite the
506 fact that there are no differences in the amplitude of wave V as a function of noise exposure.

507 Furthermore these differences are seen in response to the lower click level rather than the higher
508 click level. These data must be interpreted with care due to the number of contrasts made and the
509 fact that the coefficients have not been corrected for multiple comparisons. In addition, the relation
510 between latency and noise exposure is not significant when age is entered into the model as a
511 predictor: When age is included in the model, neither noise exposure, nor age are significant
512 predictors of latency (Beta = 0.092 and Beta = 0.012 respectively) with an adjusted $R^2 = 0.061$.

513

514 There is no significant relation between any of the wave latencies and the pure tone audiometric
515 threshold averaged over 2, 4 and 8 kHz. For the 16 kHz thresholds the only relation of interest is
516 with wave V latency for the 80 dB peSPL click in males; latency increasing with increasing
517 threshold ($r = 0.35$, $p = 0.02$, uncorrected).

518

519 3.4.3. Differential measures

520

521 Fig. 8 shows the difference between waves I and V (expressed as a ratio for amplitude and a

522 difference for latency) for both the 80 and 100 dB peSPL click. There is no significant relation
523 between noise exposure and wave I:V amplitude ratio ($p>0.05$). There is a significant relation
524 between noise exposure and wave I-V inter-peak interval at 80 dB peSPL but not at 100 dB peSPL.
525 Given the data presented in Fig. 7 this appears to be driven by a change in the latency of wave V
526 rather than in wave I.

527

528 In the current study we used two click levels. It was predicted that responses to the 100 dB peSPL
529 click should more affected by noise-induced cochlear synaptopathy than responses to the 80 dB
530 peSPL click. Therefore across-level difference measures might reveal effects of synaptopathy, by
531 reducing between listener variability due to unrelated factors. Fig. 9 shows these differential
532 measures for both amplitude and latency. The amplitude ratios are uncorrelated with noise exposure.
533 The latency data are in agreement with the data seen previously (Fig. 7) when the raw, baseline-
534 corrected values were plotted, with increasing noise exposure resulting in a greater difference in
535 latency across the two click levels for wave V of the response. The driving force behind this
536 differential measure and its relation to noise exposure is a delayed response to the low-level click as
537 noise exposure increases, and not a faster response to the higher-level click.

538

539 There is no significant relation between any of the differential measures and the pure tone
540 audiometric threshold averaged over 2, 4, and 8 kHz. For the 16 kHz thresholds, they are predictive
541 of ABR wave III amplitude ratios for the full group ($r = 0.18$, $p = 0.05$, uncorrected) and wave V
542 amplitude ratios for the full group ($r = 0.24$, $p = 0.01$, uncorrected). In both cases, the ratio increases
543 with increasing threshold. 16 kHz thresholds are also predictive of wave V latency differences at the
544 two levels for both the full group ($r = -0.27$, $p < 0.01$, uncorrected) and the males ($r = -0.41$, $p <$
545 0.01 , uncorrected). In both cases the latency difference between wave V at the two levels increases
546 with increasing threshold.

547

548 3.4.4. Low and high noise subgroups

549

550 The linear regression approach assumes that each additional unit of noise exposure produces a
551 constant increase in synaptopathy, which is then reflected in ABR amplitude or latency. However,
552 this approach could be misleading. It may be that a subset of listeners at the upper end of the
553 distribution have exposed themselves to sufficient levels of noise to induce synaptopathy, or it could
554 be the case that in an industrial society only a subset of listeners at the lower end of the continuum
555 have sustained less than a maximum degree of synaptopathy. To address this, Fig. 10 shows the
556 differential latency and amplitude measures for just the upper and lower parts of the noise exposure
557 distribution using the same selection criteria as for Fig. 3. In general the plots are consistent with
558 the results of the previous correlation analyses, showing little effect of noise exposure.

559

560 3.5. FFR

561

562 Fig. 11 shows the SNR of the FFR as a function of noise exposure. Phase-locking to a low-
563 frequency pure tone (240-285 Hz) and to a 4-kHz carrier amplitude modulated at 240-285 Hz were
564 measured based on the assumption that noise-induced synaptopathy would affect temporal coding in
565 the high frequency region but not the low frequency region. A differential measure is shown in the
566 right-sided panel of Fig. 11, computed in an attempt to reduce the variability from sources other
567 than synaptopathy. The plotted regression lines and reported correlation coefficients indicate that
568 the FFR for the low-frequency region did not vary greatly as a function of noise exposure, with
569 comparable responses seen across males and females ($p > 0.05$). The FFR for the high-frequency
570 region, evoked by envelope fluctuations, shows a significant decrease in SNR as a function of noise
571 exposure in males, whereas females show little relation between FFR amplitude and noise exposure.

572 However, the interaction between sex and noise exposure is not significant ($p = 0.056$). The
573 differential measure taken between low and high frequency FFRs shows a negative correlation
574 across the whole group, though this effect is weak and does not survive correction for multiple
575 comparisons.

576

577 4. Discussion

578

579 In our large cohort of audiometrically normal young adults, there is no evidence that the amplitude
580 of sub-cortical electrophysiological measures of auditory coding are attenuated substantially due to
581 noise exposure. Hence, the data do not support the hypothesis that cochlear synaptopathy varies as a
582 function of lifetime noise exposure in young adults. There are, broadly speaking, three possible
583 explanations for our results:

584

- 585 1. Noise-induced cochlear synaptopathy is not prevalent in young audiometrically normal adults;
- 586 2. Noise-induced cochlear synaptopathy is prevalent in young adults with comparatively low
587 exposures and there is no additional consequence of higher levels of exposure; or
- 588 3. Our measures are insensitive to cochlear synaptopathy in humans

589

590 There are a number of factors that affect the likelihood that each of these three explanations is
591 correct. These are discussed below.

592

593 4.1. The role of high frequency thresholds

594

595 The aims and methods of the present study are similar to those described by Stamper and Johnson
596 (2015a), except that we had a larger sample and used a lifetime measure of exposure rather than a

597 measure over the previous year. We did not replicate the decrease in ABR wave I amplitude as a
598 function of noise exposure reported in that study. There was a potential confound of sex in the
599 original presentation of their data and this was followed up with a letter to clarify how sex interacts
600 with the reported trend (Stamper and Johnson, 2015b), with an effect of exposure demonstrated for
601 females but not for males (and only reported for the very highest click level of about 120 dB
602 peSPL). However, we did not find an effect of noise exposure on ABR amplitudes for either sex.
603 The ABR amplitudes in the present study are smaller than those reported by Stamper and Johnson
604 for a comparable click level (partly due to the narrowband filtering used here to facilitate the
605 automatic peak-picking procedure). However, the amplitudes in the current study are consistent
606 with those reported by Schaette and McAlpine (2011).

607

608 One explanation for the discrepancy between the present study and Stamper and Johnson (2015a;b)
609 is the potential confound of high-frequency hearing loss. The ABR is predominantly generated by
610 AN fibers with high characteristic frequencies (Abdala and Folsom, 1995). The frequency response
611 of the ER3A transducer used in both our study and that of Stamper and Johnson rolls off
612 significantly above about 4 kHz. In the Stamper and Johnson study, audiograms were matched
613 across noise exposures up to 8 kHz. However, presenting very high click levels of about 120 dB
614 peSPL (as used by Stamper and Johnson, 2015a; b) will cause significant spread of excitation to the
615 basal cochlear region. Furthermore, it is unclear from the report of the follow-up analysis (Stamper
616 and Johnson, 2015b) whether audiograms up to 8 kHz were matched across noise exposures for the
617 sexes independently. Therefore the extent to which loss in sensitivity at very high frequencies could
618 account for the effects of noise exposure on ABR amplitudes is unclear.

619

620 In our study, which used a 100 dB peSPL click, the spread of excitation will be less extensive and
621 therefore these high frequency regions may contribute less to the response. Due to the basalward

622 half-octave shift of the traveling wave at high levels (McFadden, 1986), the stimulus at the output
623 of the ER3A insert transducer was likely providing maximum excitation for characteristic
624 frequencies between about 2.25 and 6 kHz. Our assumption was that the spectral region most
625 susceptible to synaptopathy is the same as the region most susceptible to noise-induced audiometric
626 hearing loss in humans, i.e., the 3- to 6-kHz region (Toynbee, 1860; McBride and Williams, 2001).
627 If synaptopathy in humans manifests at a different spectral region then it may be that alternative,
628 perhaps wider-band, stimuli would be more sensitive to detecting its presence. It is also worthy of
629 note that the environmental noise humans are typically exposed to has a wider bandwidth than the
630 noise used in rodent studies of synaptopathy, and thus this may reduce the likelihood of causing
631 synaptopathy in any given frequency region.

632

633 In our 16-kHz audiometric data females showed a greater effect of noise exposure than males, with
634 the high noise females showing poorer high frequency sensitivity than low-noise females. If very
635 high frequency contributions to the ABR account for the differences in wave I between high and
636 low noise exposure groups, then our data suggest that this would occur in females but not males,
637 which is the pattern reported in the follow-up analysis of Stamper and Johnson (2015b).

638

639 It is important for future research studies to control for the effect of high frequency hearing
640 sensitivity, but it is also worth considering the potential clinical utility of high frequency audiometry
641 (above 8 kHz). High frequency thresholds may provide an early marker of noise-induced damage to
642 the auditory system. Furthermore, in our cohort the relation between lifetime noise exposure and
643 high-frequency sensitivity was significantly greater for females than for males, which suggests
644 different vulnerability of the basal cochlear region in the two sexes.

645

646 4.2. Does noise-induced cochlear synaptopathy occur in young audiometrically normal humans?

647

648 Despite the large sample size, the data collected in the present study provide no evidence for the
649 existence of noise-induced cochlear synaptopathy in listeners with normal audiometric thresholds.
650 However it is possible that noise exposure does cause synaptic changes in these listeners, but that
651 these effects are subtle and within the range of expected inter-subject variability. It may also be the
652 case that in an urban environment, a large majority of individuals have already sustained a
653 comprehensive noise-induced loss of low-SR fibers and therefore our measures are reflecting a
654 minimal residual response across all exposures. An argument against this latter hypothesis is that
655 temporal bone studies suggest a progressive loss of spiral ganglion cells across the lifespan, rather
656 than an abrupt loss at a young age followed by no further decline (e.g. Makary et al, 2011).
657 Furthermore it is generally accepted that the ABR reaches maturity by the age of around 2 years in
658 humans, at which point the amplitudes and latencies are comparable to those seen in adulthood
659 (Hecox and Galambos, 1974). If noise-induced synaptopathy was affecting ABRs on a large-scale
660 prior to the ages tested in the current study, there would be a clear reduction in response sometime
661 after maturation, and this is not the case.

662

663 Although the rodent model of cochlear synaptopathy is compelling, it may be that humans are
664 physiologically less vulnerable to noise-induced synaptopathy than rodents. It could also be the case
665 that the noise exposures used in the rodent work are not representative of an equivalent human
666 exposure. Kujawa & Liberman (2009) showed temporary threshold shifts, in response to 2 hours of
667 100 dB SPL noise, of 40 dB one day post-exposure and 20-25 dB three days post-exposure in the
668 ABR measured at 3 and 5 kHz. For comparison in humans, Howgate and Plack (2011) report a 10.8
669 dB temporary threshold shift at 4 kHz immediately after attending a music venue with a mean
670 equivalent exposure level of 99 dBA. It may be that cochlear synaptopathy in humans only occurs
671 for exposure levels close to or greater than those that produce a permanent threshold shift. Noise

672 levels can be titrated in the rodent model, but the likelihood of finding a human listener who has
673 been exposed to noise levels that produce synaptopathy without leading to permanent threshold
674 shift may be very small. In other words, in humans noise-induced synaptopathy may not exist
675 without a permanent threshold shift. By focusing on listeners with audiometric thresholds within the
676 normal range, we may have been selecting listeners who were not synaptopathic. Another unknown
677 issue in humans is the extent to which vulnerability varies across listeners. In the rodent models of
678 synaptopathy, there is little or no genetic variation, nor substantial differences in life experience
679 prior to the experimental procedures. In human listeners it is unknown whether the susceptibility to
680 synaptic loss is equivalent across the sexes, across the lifetime, or across different listeners with the
681 same age and sex. The notion of “tough” and “tender” ears has long been considered in the context
682 of noise-induced hearing loss (Cody and Robertson, 1983) and a similar concept may be applicable
683 for noise induced cochlear synaptopathy.

684

685 Even if the noise levels humans are typically exposed to are sufficient to cause synaptopathy, there
686 may be complex and co-dependent changes as a function of age. It has also been shown recently
687 that noise exposure at a young age in rodents accelerates age-related synaptopathy (Fernandez et al.,
688 2015), although the inter-play between noise exposures and age remains unclear even in rodents.
689 Therefore, it may be that humans are robust to synaptopathy until age-related changes take effect on
690 the auditory system, or that noise exposure early in life changes the likelihood of rapid auditory
691 decline later in life. In addition, it is possible that in humans the initial loss is to the low-SR fibers
692 implicated in the animal work, but that the loss progresses to lower-threshold fibers with increased
693 exposure and/or age. If the low-SR fibers have a small contribution to wave I, as suggested by
694 Bourien et al. (2014), then the effects of exposure on wave I may be more evident in older listeners.
695 By focusing on young and healthy listeners it may be that these subtle effects cannot be reliably
696 identified. However, if this is the case then it may prove difficult to resolve the contribution of
697 synaptopathy and the loss of sensitivity due to age-related hair-cell dysfunction when both are

698 present. Such an account, where age is a crucial modulator of the effects of noise exposure, could
699 account for the largely null findings in the current study despite Schaette and McAlpine (2011) and
700 Gu et al. (2012) reporting attenuated wave I responses in humans, as these previous studies used
701 listeners that were on average 10 years older than the cohort in the current study.

702

703 Much of the early work on noise-induced synaptopathy was conducted in mice, where, the loss of
704 cochlear synapses appears to be irreversible. However, comparable noise-exposure studies in guinea
705 pigs have suggested that, after an initial reduction in the number of presynaptic ribbons, the synapse
706 count may largely recover (Liu et al., 2012; Shi et al., 2013). It appears as though these synapses are
707 reformed to some degree, but although they are present, their coding properties are functionally
708 abnormal, both in their amplitude and latency profiles (Song et al., 2016). These studies suggest
709 clear differences in the manifestation of cochlear synaptopathy in the guinea pig compared to the
710 mouse and they also report ribbon damage to high-SR units as well as the more widely
711 demonstrated loss of low-SR fibers. Therefore, given the marked cross-species differences between
712 noise-induced synaptopathy in the mouse and the guinea pig, we must be cautious in our
713 expectations of how cochlear synaptopathy may present itself in the human listener.

714

715 4.3. Are the measures sufficiently sensitive to detect synaptopathy?

716

717 Measurement variability in the human listener is a serious problem when investigating subtle
718 differences in electrophysiological measures. The rodent results, which have motivated the search
719 for synaptopathy in humans, are based on direct observations of synaptopathy using histological
720 techniques. In human listeners, the most direct non-invasive measure of synpatopathy, wave I of the
721 ABR recorded via scalp-mounted electrodes, is highly variable across individuals (Beattie, 1998;
722 Lauter and Loomis, 1998).

723

724 Bourien et al. (2014) used ouabain to selectively destroy AN fibers in the gerbil in order to
725 investigate the contribution of low-, medium-, and high-SR fibers to the compound action potential
726 (CAP), which is a measure of the AN response comparable to ABR wave I. Low-SR fibers were the
727 most susceptible to damage via ouabain and it was found that even when this fiber group was
728 greatly depleted, the CAP did not reduce substantially. These results suggest that low-SR fibers
729 contribute little to the CAP (probably due to their delayed, and broadened, first spike distribution),
730 and by implication to ABR wave I. This account is somewhat contradictory to the findings of
731 Schmiedt et al. (1996) and Furman et al. (2013) in which loss of predominantly low-SR fibers was
732 shown to attenuate the AN response (other fiber groups were also possibly affected). Bourien et al.,
733 (2014) suggest that this contradiction may be related to whether fibers are classified into three
734 groups or just two, with medium-SR fibers grouped in with the low-SR fibers. Medium-SR fibers
735 do seem to be affected by noise-induced synaptopathy (Furman et al., 2013) and hence ABR wave I
736 would still be expected to be reduced by synaptopathy. However, if fibers with the lowest SRs do
737 not contribute to wave I, the sensitivity of this measure could be limited. Bourien et al. (2014) also
738 highlight the fact that the distribution of fiber types as a function of frequency varies across species
739 and therefore our assumptions of the fiber groups and their relative distributions in humans may be
740 inaccurate.

741

742 Recently, Mehraei et al. (2016) demonstrated that the change in the latency of wave V with
743 increasing masking noise level mimics the drop in amplitude of wave I. Low-SR fibers have a
744 longer response latency but are more resistant to noise masking. Hence the effect of low-SR fiber
745 loss is hypothesized to be a reduction in the latency increase with increasing background noise
746 level. Therefore although the variability of wave I makes its suitability as a diagnostic tool
747 uncertain, it may be that the reduced response of auditory nerve fibers as a result of cochlear

748 synaptopathy can be reliably inferred by measuring the response further along the ascending
749 auditory pathway. It remains unclear whether the wave V metric described by Mehraei et al. (2016)
750 is related to lifetime noise exposure.

751

752 The FFR has been suggested as a reliable alternative to the ABR with which to evaluate the
753 temporal coding of the auditory periphery (Shaheen et al., 2015; Bharadwaj et al., 2015). The FFR
754 paradigm utilized in the current study assessed the ability of the auditory system to phase lock to
755 low-frequency pure tones and to the modulated envelope of a high-frequency pure tone carrier. A
756 pilot to the project demonstrated that contrasting FFRs from low- and high-frequency regions was
757 able to differentiate between individuals with high and low levels of noise exposure (Barker et al.,
758 2014). In the current study this measure showed a weak relation with noise exposure for the
759 envelope following response in the high frequency region, but only in male listeners. The
760 differential measure showed a weak relation in the hypothesized direction for all listeners
761 combined, but this result must be approached with caution as it appears as though it may be driven
762 more by the male listeners than the female listeners, even though this interaction does not reach
763 significance in the current cohort.

764

765 Bharadwaj et al. (2015) described an FFR approach which uses different depths of modulation
766 presented in a notched masking noise. Again, the aim of this approach was to accentuate the
767 contribution of low-SR fibers to the response by including high levels and low modulation depths
768 so that the dynamic range of the level fluctuations is above the saturation level of the high-SR
769 fibers. An FFR was measured to modulation depths ranging from 0 to -20 dB and the slope of this
770 function (SNR vs modulation depth) in the range -8 to 0 dB was shown to be predictive of
771 performance on a number of auditory perception tasks. Rudimentary information was collected on
772 listeners' noise exposure history and this analysis suggests that the slope of the function which

773 describes how the FFR changes as a function of modulation depth could be sensitive to underlying
774 noise-induced cochlear synaptopathy.

775

776 A further potential cause of low sensitivity to the effects of noise exposure comes from the noise
777 estimation process itself. The approach used in the current study relies on a subjective recall of both
778 current and historical noise exposures to high-intensity sound. Such a measure will undoubtedly be
779 affected by recall errors and bias. Such errors are potentially exacerbated in older listeners as they
780 are required to recall further into the past, and therefore may grossly under- or over-estimate the
781 frequency with which certain activities were performed. In the cohort studied in this work, many of
782 the younger people were able to confidently estimate the frequency of their attendance at high-noise
783 events as they are still in the habit of going to these events and could often think in distinct periods
784 of time such as years spent at school, college, or university. The older listeners in this cohort
785 typically worked in high-noise environments and many of these were able to clearly describe their
786 working patterns as they moved around different jobs and venues and, as it was occupational rather
787 than recreational noise, they were much more aware of the frequency and duration of time spent in
788 high-noise environments. However, despite these mitigating factors, using a subjective recall of
789 noise exposure remains an undesirable measure to use as the main predictive factor of an underlying
790 pathology. Unfortunately, for human studies there is no method that is able to reliably and
791 accurately capture the information that is required retrospectively. While this potential lack of
792 accuracy should not be overlooked, it is important to emphasize that the differences in estimated
793 exposure across the current cohort were so great between the lowest and the highest exposed that it
794 is unlikely that meaningful effects were washed out by variability in the estimates.

795

796 4.4. Effect of noise exposure on ABR latency

797

798 One positive finding is the increase in ABR wave V latency as a function of noise exposure for the
799 80 dB peSPL click. An increase in latency could reflect a reduction in the contribution of short-
800 latency basal generators to the ABR. However, the fact that this relation occurs only for wave V and
801 only for the lower-level click condition and not for the higher-level click does not fit easily with the
802 low-SR model of cochlear synaptopathy. Furthermore, given that the latency of low-SR fibers is
803 greater than that of high-SR fibers (Rhode and Smith, 1985), it is also not clear that loss of low-SR
804 fibers would produce an increase in latency, rather than a reduction.

805

806 It should be noted that the effect of latency did not survive control for age. This is not surprising,
807 given that age is strongly related to lifetime noise exposure in our cohort, such that it is difficult to
808 disentangle the effects for the two. Regardless of how much a young individual goes to high noise
809 events, they will always struggle to match the noise exposures of individuals with 10 years more
810 life experience. However, it is possible that age *per se*, rather than noise exposure, is causally
811 related to latency. Given that the participants are audiometrically homogeneous, it is not clear what
812 aspect of ageing underlies this increase in latency. Previous studies have shown an effect of age on
813 ABR latency and amplitude (Konrad-Martin et al., 2012), although it is unclear to what extent
814 cumulative noise exposure could be a contributing factor.

815

816 5. Conclusions

817

- 818 1. In a large group of young, audiometrically normal, human listeners, there was no relation
819 observed between noise exposure and mean ABR amplitude. Contrary to rodent models, the
820 ABR wave I results provide no evidence for noise-induced cochlear synaptopathy in the
821 young human cohort studied. It remains possible that the effects of exposure are more
822 evident in older individuals, or are more easily observed at higher characteristic frequencies

823 than the 3-6 kHz region on which this study primarily focussed.

824

825 2. The amplitude of the envelope FFR for a high frequency carrier decreased with increasing
826 noise exposure, but the relation was weak and was only observed for male and not for
827 female listeners.

828

829 3. 16-kHz audiometric thresholds increased with noise exposure for females but not for males,
830 indicating a possible sex difference in vulnerability to the effects of noise.

831

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834 Associate Editor and two anonymous reviewers for constructive comments on an earlier draft of this
835 manuscript.

836

837 Figure Captions.

838

839 Fig. 1. Noise exposure scores as a function of age. 126 individuals are shown, with males (51) and
840 females (75) plotted in different colors and symbols. Regression lines are plotted for the full group
841 and for males and female separately, with the Pearson correlation coefficient shown in the text (*=
842 $p < 0.05$, ** = $p < 0.01$).

843

844 Fig. 2. Pure tone audiometric thresholds. Hearing thresholds (averaged across ears and listeners) are
845 shown, with standard errors, for the whole group and also for males and females individually. For
846 all three groups of listeners, the full group is shown as a horizontal line, and the highest and lowest
847 noise exposed individuals are shown as solid and open squares respectively. For all listeners, the

848 low and high noise groups comprise the lowest and highest 30 listeners in terms of noise exposure
849 respectively. For males and females, N=15 for low and high noise subgroups.

850

851 Fig. 3. High-frequency audiometric thresholds. Regression lines are plotted for the full group and
852 for males and female separately, with the Pearson correlation coefficient shown in the text (*=
853 $p < 0.05$, **= $p < 0.01$).

854

855 Fig. 4. Transient evoked otoacoustic emissions. Males (30) and females (49) are plotted in different
856 colors and Pearson correlation coefficients are shown for both sexes individually and combined.
857 SNRs are the mean across the three test frequencies of 3, 3.5 and 4 kHz and are averaged across
858 ears.

859

860 Fig. 5. Grand average ABR waveforms. Average waveforms are shown in microvolts for males and
861 females separately and for the 15 lowest and 15 highest noise exposed individuals for each sex.
862 Waves I, III and V can be seen at around 2, 4 and 6 ms respectively. Waveforms are plotted
863 broadband in order to show the full morphology of the response.

864

865 Fig. 6. ABR wave amplitudes as a function of noise exposure. The top row shows ABR amplitudes
866 generated by the 100 dB peSPL click and the bottom row those from the 80 dB peSPL click. The
867 columns show the amplitudes of waves I, III and V. Regressions are again plotted for the three
868 groups (all listeners, males and females) with Pearson correlation coefficients shown in the text (*=
869 $p < 0.05$, **= $p < 0.01$).

870

871 Fig. 7. ABR wave latencies as a function of noise exposure. The top row shows ABR latencies
872 generated by the 100 dB peSPL click and the bottom row those from the 80 dB peSPL click. All

873 values are baseline corrected so that all three latencies are distributed around zero to allow common
874 axes to be used. The baselines for the 100 dB click were 1.84, 3.85 and 5.74 ms for waves I, III and
875 V respectively. For the 80 dB condition they were 2.69, 4.46 and 6.41 ms. The columns show the
876 latencies of waves I, III and V. Regressions are again plotted for the three groups (all listeners,
877 males and females) with Pearson correlation coefficients shown in the text (*= $p < 0.05$, **=
878 $p < 0.01$).

879

880 Fig. 8. Wave I and V amplitude ratios and latency intervals as a function of noise exposure. The
881 upper row shows amplitude ratios and the bottom row latency intervals whilst the two columns
882 show the values for the 80 and 100 dB peSPL click.

883

884 Fig. 9. Differential measures with respect to click level as a function of noise exposure. The upper
885 row shows the ratio of amplitudes of the 100 dB peSPL click to the 80 dB peSPL click. The bottom
886 row shows the difference in latency between the peak measured in response to a 100 dB peSPL
887 click and an 80 dB peSPL click. Pearson correlation coefficients are shown in the text (*= $p < 0.05$,
888 **= $p < 0.01$).

889

890 Fig. 10. Subgroup analyses of low and high noise exposed individuals. Amplitudes (top row) and
891 latencies (bottom row) are shown for the two click levels. Results for waves I, III and V are shown
892 and the right hand panel plots the differential measures for the three waves. Black symbols
893 represent the full group, with cyan and red showing males and females respectively. The lowest
894 noise exposed individuals are shown as open symbols and the highest noise exposed as closed
895 symbols. Error bars show standard errors.

896

897 Fig. 11. FFR SNRs as a function of noise exposure. The left panel shows SNRs in response to the

898 low frequency pure tones (average SNR across the four frequencies used: 240, 255, 270 and 285
899 Hz). The middle panel shows SNRs to the high frequency transposed tone (average SNR across the
900 modulators of a 4 kHz carrier: 240, 2355, 270 and 285 Hz). The right-hand panel shows the
901 difference between the two. Pearson correlation coefficients are shown in the text.

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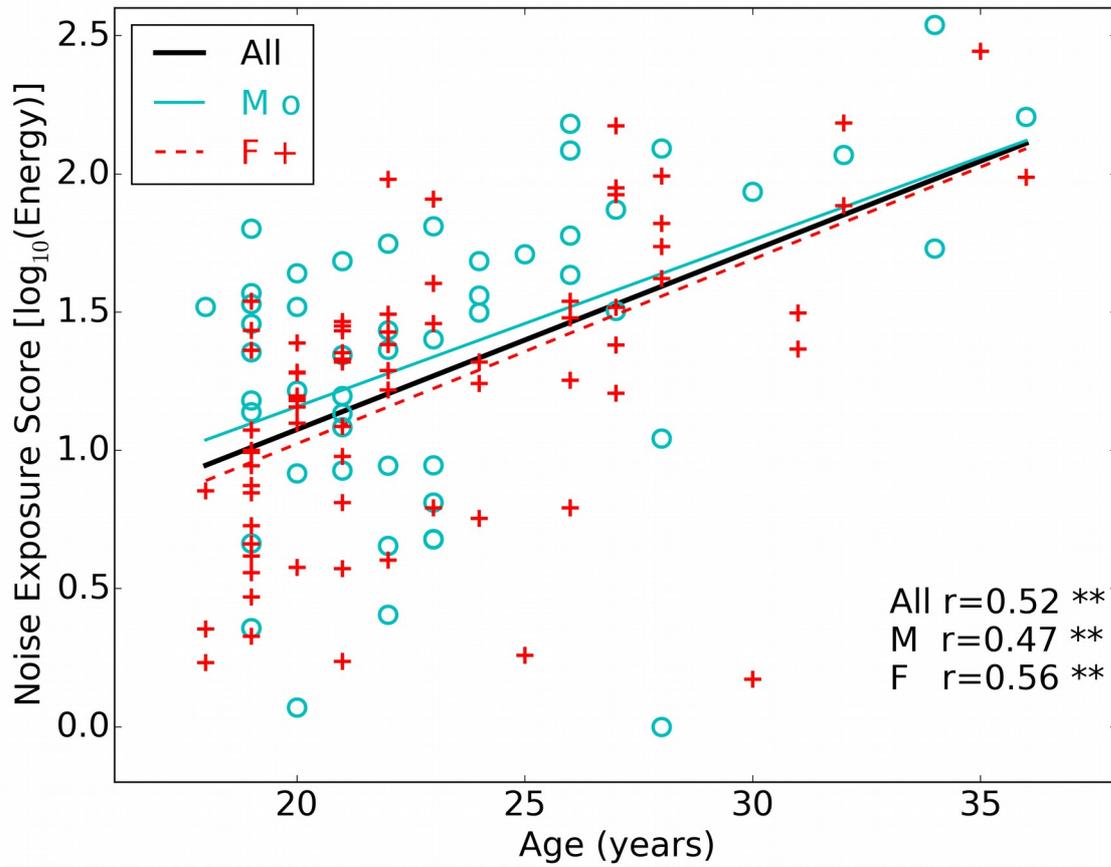
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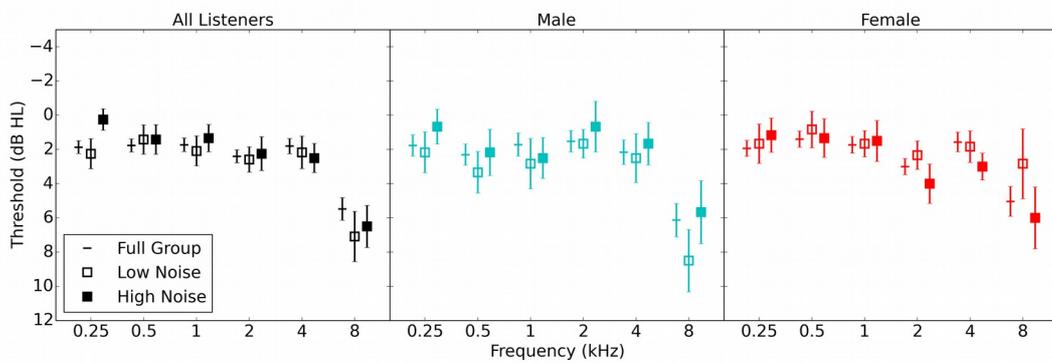
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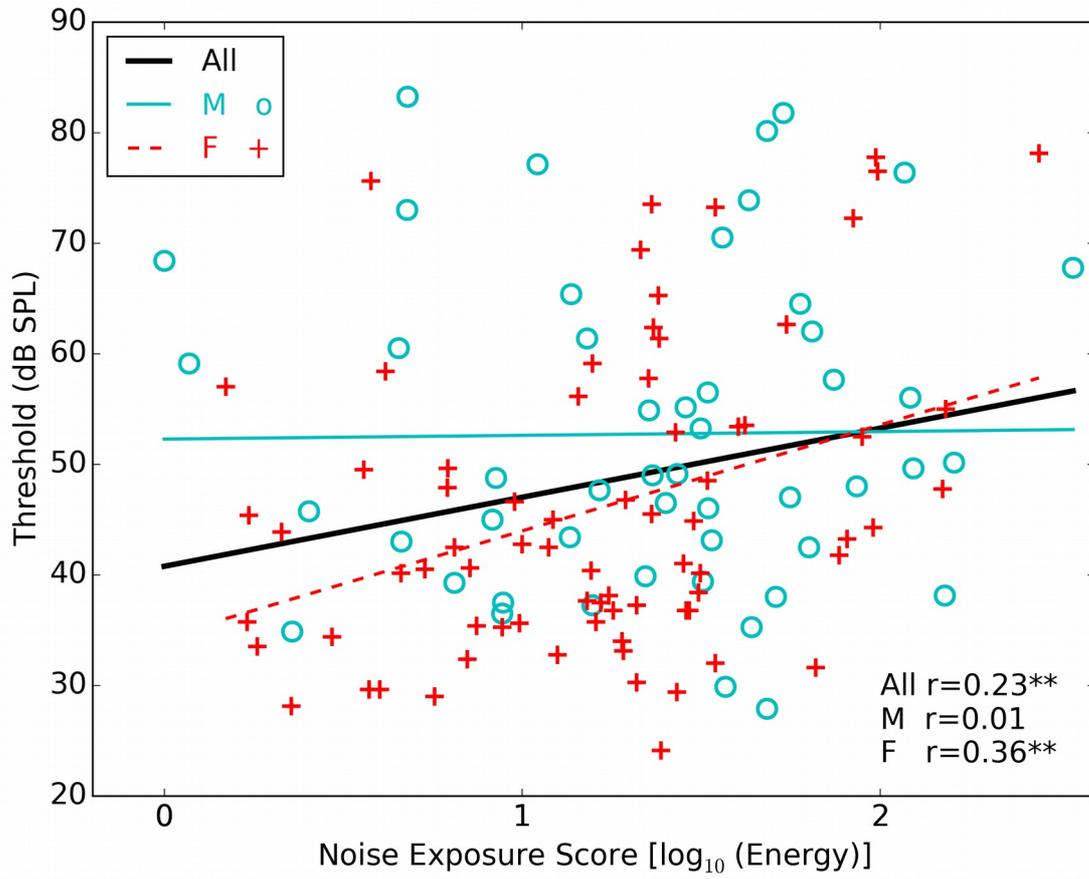
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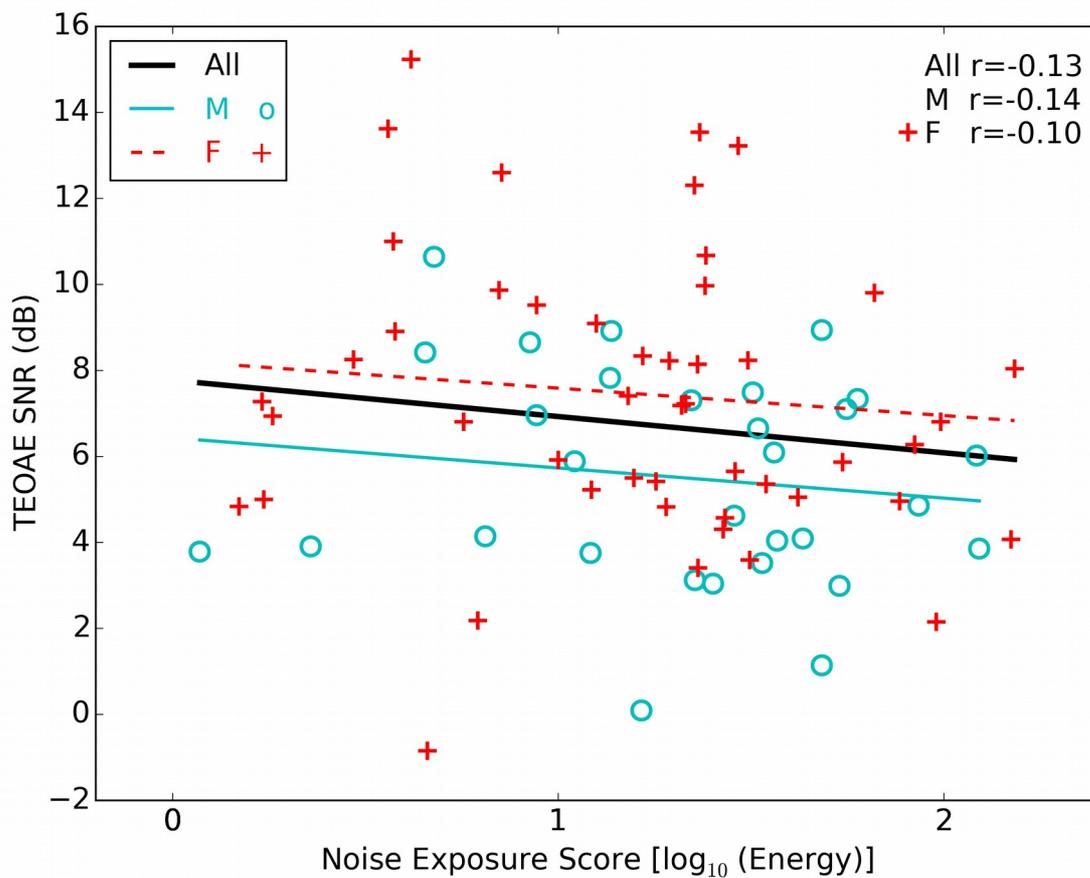
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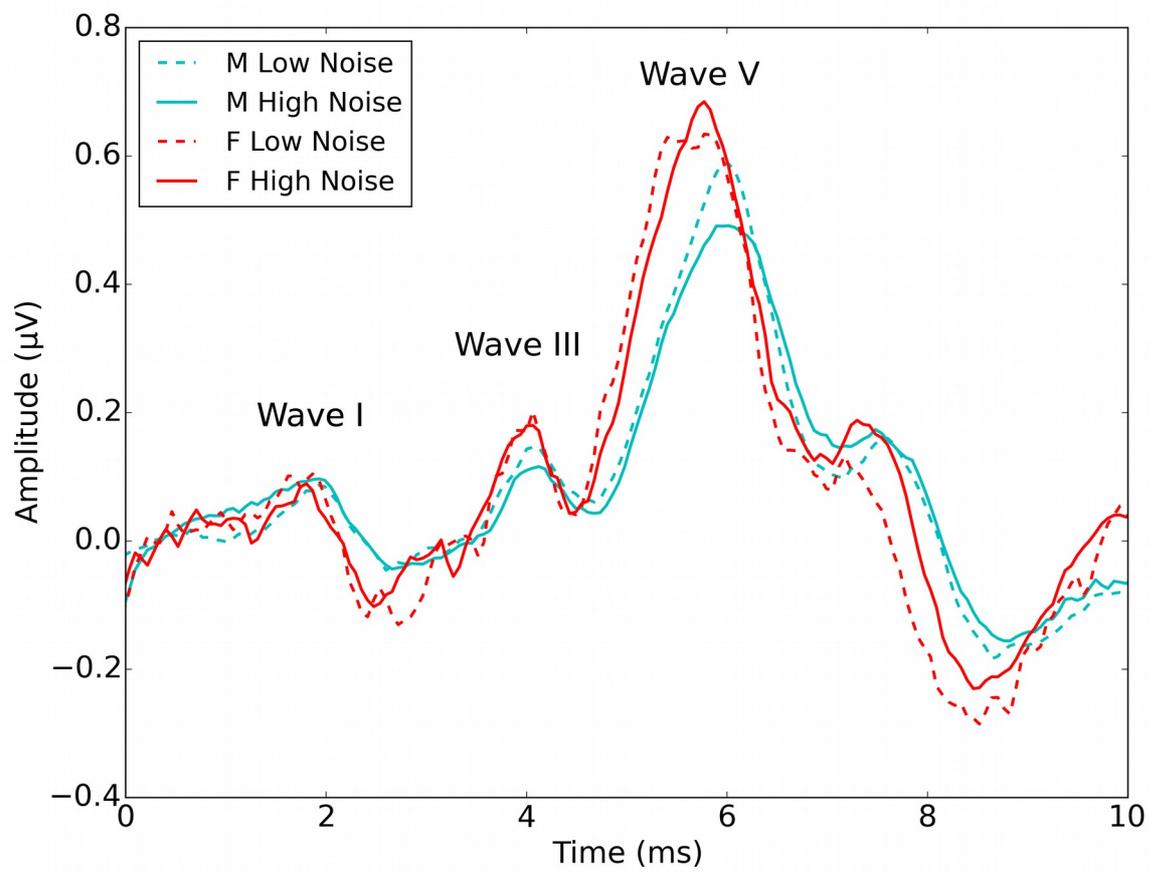
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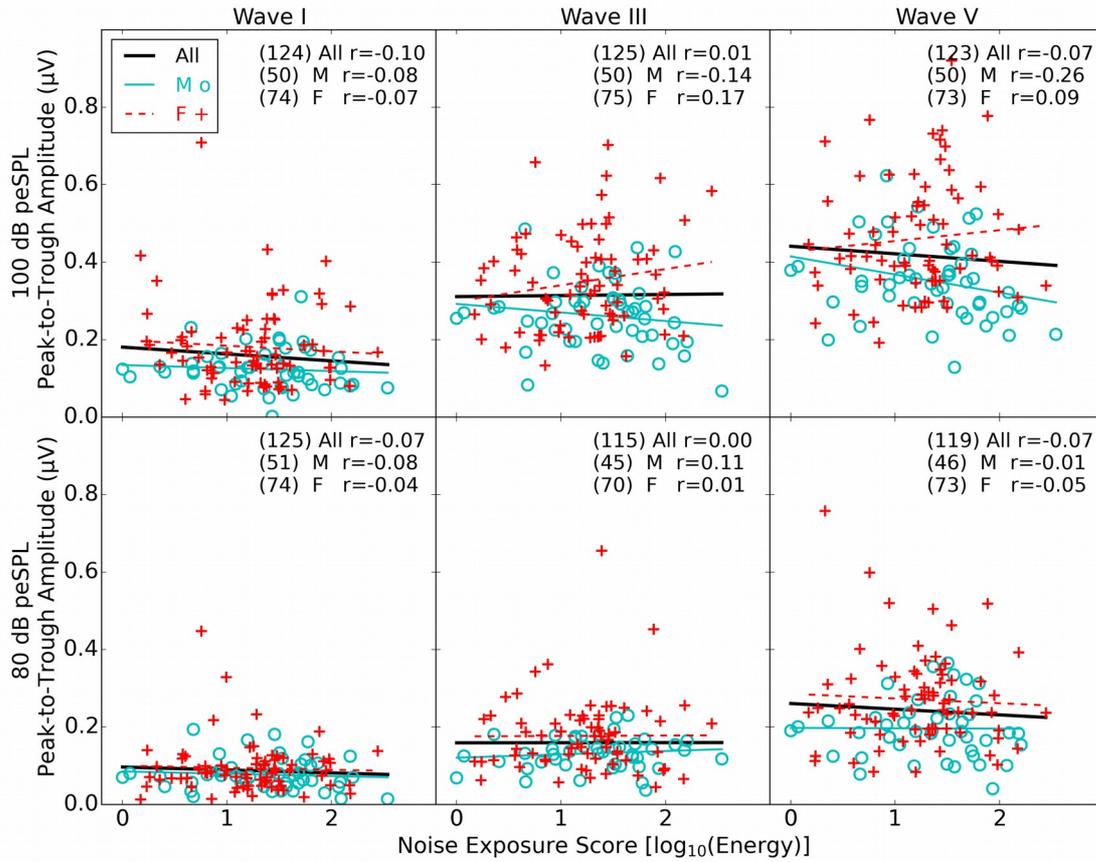
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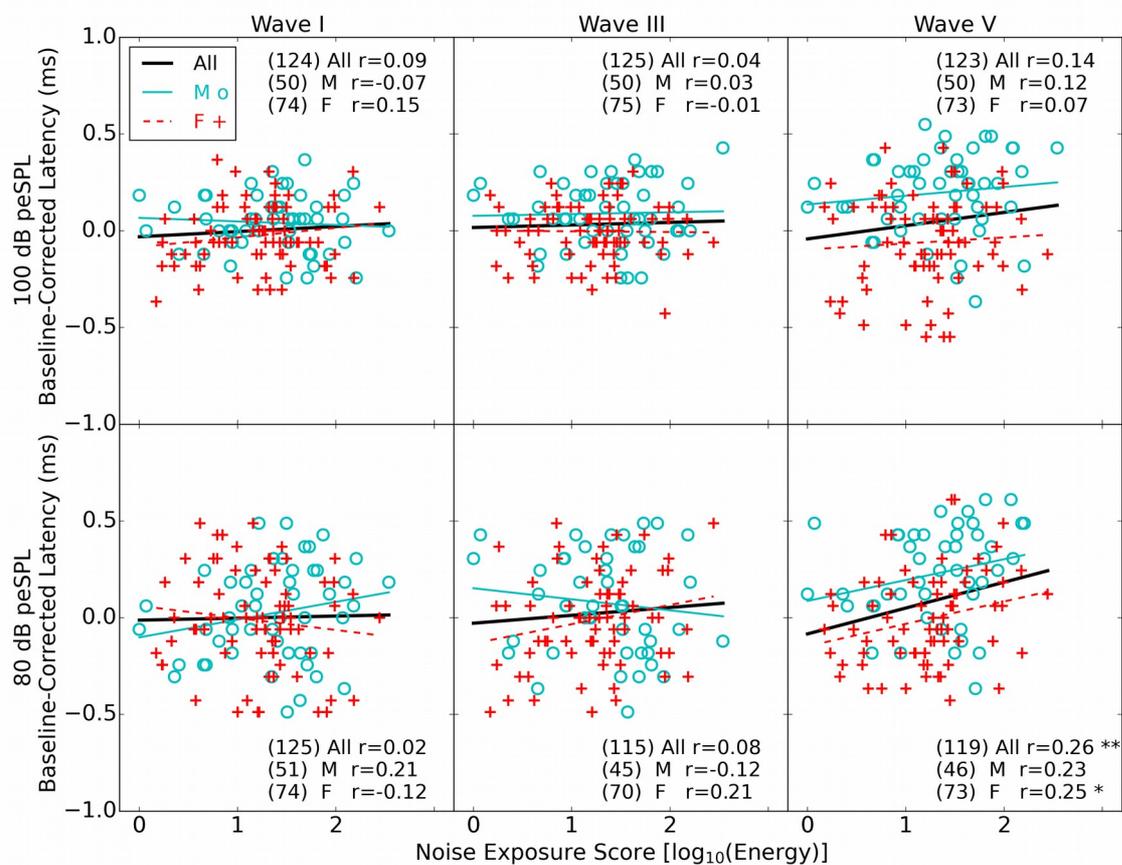
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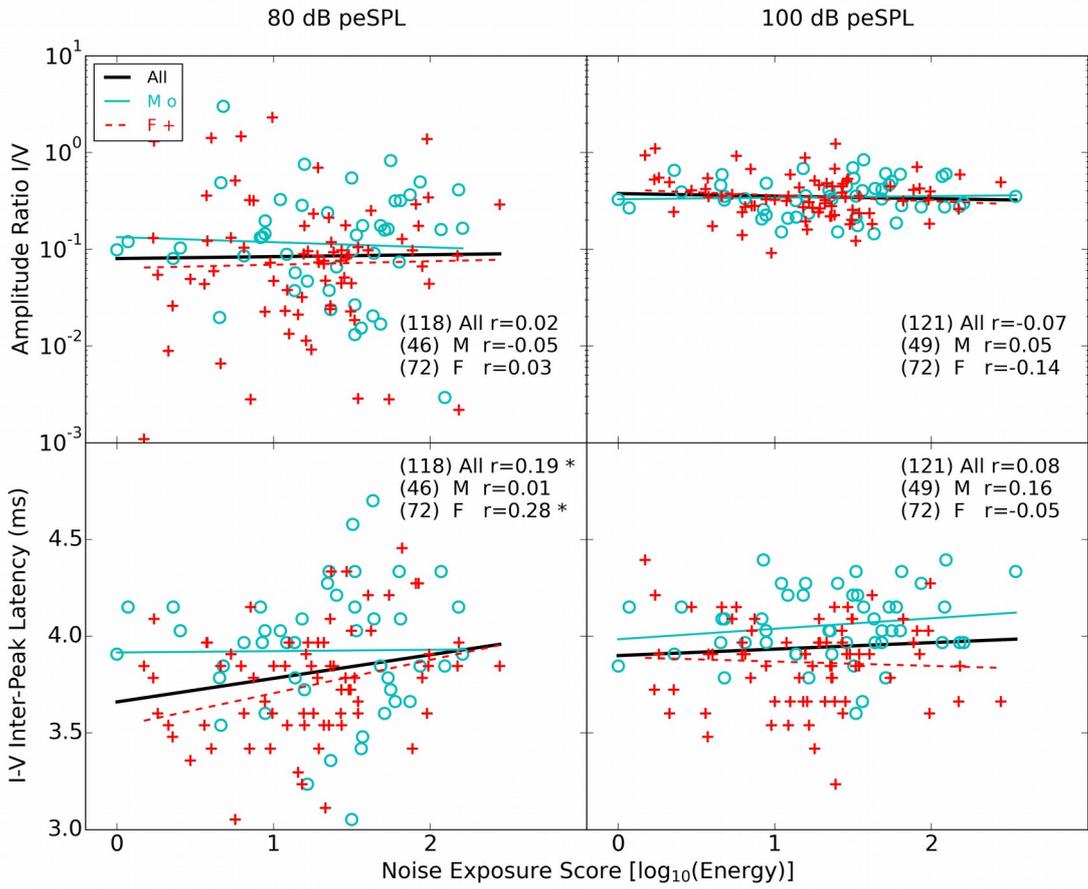
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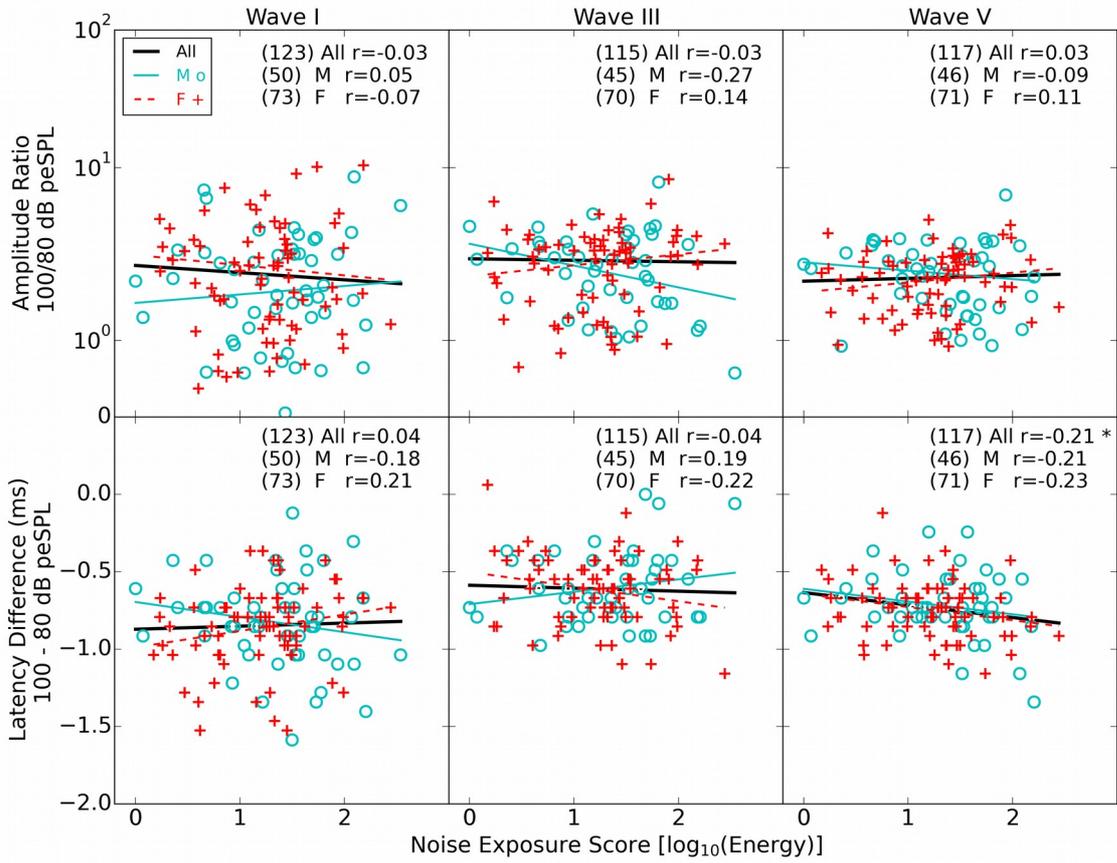
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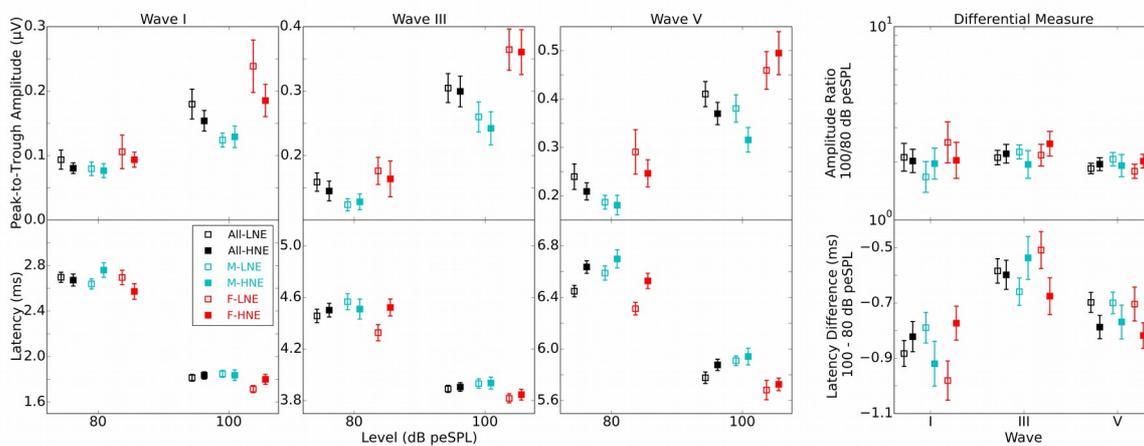
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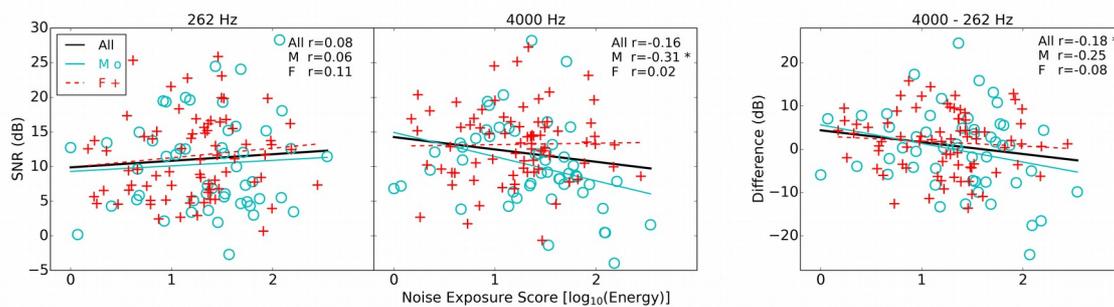


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