

Manuscript Number: ECM-D-17-04321R1

Title: Energy and exergy analyses of a parabolic trough collector operated with nanofluids for medium and high temperature applications

Article Type: Original research paper

Section/Category: 1. Energy Conservation and Efficient Utilization

Keywords: Nanofluid; PTC; heat transfer; energy efficiency; exergy efficiency

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Abstract: Thermal performance of parabolic trough collectors (PTCs) can be improved by suspending nanoparticles into the traditionally used heat transfer fluids. In this work, a one-dimensional mathematical model is proposed to investigate the effect of various nanoparticles suspended in the working fluid for medium and high temperature PTCs. The major finding of this work is that the nanofluid enhance the thermal efficiency of the PTCs slightly. High operating temperatures are more suitable for using nanofluids and generates higher relative gains of energy delivered. It is also found that the exergetic efficiency improvement is more important than energetic efficiency. The peak exergy efficiency is achieved by the CuO based nanofluid and is about 9.05%. The maximum daily relative gain of thermal energy delivered is found to be 1.46 % by using 5% of Al₂O₃ in the base fluid. Optimal control of the operating conditions can lead to optimal energetic and exergetic performances of the PTC.

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Dear editor-in-chief,

I am pleased to submit this work to you to be considered for publication in “**ENERGY
CONVERSION AND MANAGEMENT**”

I remain at your disposal to provide any further information.

Thank you

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Highlights:

- Enhancement of PTC performance by using nanofluids
- High operating temperatures are more suitable for using nanofluids in PTCs
- Exergy efficiency of CuO based nanofluid and is about 9.05%.
- 1.46 % more thermal energy can be generated by using 5% of Al₂O₃ in the base fluid

1 **Energy and exergy analyses of a parabolic trough collector operated with nanofluids for**
2 **medium and high temperature applications**

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21 nanoparticles into the traditionally used heat transfer fluids. In this work, a one-dimensional
22 mathematical model is proposed to investigate the effect of various nanoparticles suspended in
23 the working fluid for medium and high temperature PTCs. The major finding of this work is
24 that the nanofluid enhances the thermal efficiency of the PTCs slightly. High operating
25 temperatures are more suitable for using nanofluids and generate higher relative gains of
26 energy delivered. It is also found that the exergetic efficiency improvement is more important
27 than energetic efficiency. The peak exergy efficiency is achieved by the CuO based nanofluid
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29 be 1.46 % by using 5% of Al₂O₃ in the base fluid. Optimal control of the operating conditions
30 can lead to optimal energetic and exergetic performances of the PTC.

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<i>Symbol</i>	<i>Signification</i>	<i>Units</i>
h	Hour angle	degree
δ	Solar declination	degree
θ	Incidence angle	degree
k_{θ}	Incident angle modifier	dimensionless
ϵ	Emittance	dimensionless
G_{bt}	Solar beam radiation	W/m^2
c	Specific heat capacity	$J/kg\ K$
h_f	Convective heat transfer coefficient between the absorber and the HTF	$W/m^2\ K$
h_w	Convective heat transfer coefficient between the external surface of the glass cover and the ambient air	$W/m^2\ K$
λ	Thermal conductivity	$W/m\ K$
k_{eff}	effective conductive coefficient between the glass cover and absorber	$W/m\ K$
Nu	Nusselt number	dimensionless
Pr	Prandtl number	dimensionless
Pe	Peclet number	dimensionless
Re	Reynolds number	dimensionless
T	temperature	K
v	velocity	m/s
γ	Intercept factor	dimensionless
τ	transmittance	dimensionless
α	absorbance coefficient	dimensionless
r_m	Reflectance of the mirror	dimensionless
μ	Dynamic Viscosity	$kg/m\ s$
ρ	Density	kg/m^3
σ	Stefan–Boltzman constant	$W/m^2\ K^4$
\dot{m}	Fluid mass flow	kg/s
W_a	Width of the collector	m
L	Length of the collector	m
D	Diameter	m
A	Cross sectional area	m^2
ϕ	fraction of nanoparticles	dimensionless
η	energetic efficiency	dimensionless
η_{ex}	exergetic efficiency	dimensionless
Δe	relative energy gain	dimensionless
FoM	figure of merit	dimensionless

Subscripts

a	Ambient
ab	Absorber
bf	Base fluid
f	Working fluid
g	Glass cover
i	Inner
in	Inlet
nf	Nanofluid
np	Nanoparticle
o	Outer
out	Outlet
s	Solid nanoparticle

Abbreviations

HTF	Heat transfer fluid
PTC	Parabolic trough collector

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1. Introduction

40

41 Concerns regarding climate change are growing and the world needs to take urgent measures
42 to avoid further warming of the earth [1]. The damaging effects of climate change are
43 accentuated with the use of fossil fuels that are up to now considered as the main energy
44 source for power generation worldwide [2]. As a result, increasing efforts are deployed by the
45 research community to propose efficient and reliable alternatives for power generation mainly
46 based on renewable energy sources [3]. Among these renewable energy resources, it is
47 strongly believed that solar energy has the most influential potential to achieve a sustainable
48 global energy system because of many reasons. It is clean, abundant and becoming more and
49 more cost-effective [4]. Solar energy is one of the sustainable and potential options to fulfill a
50 wide range of the humankind daily needs, including natural lighting [5], space and water
51 heating [6-7], cooling [8], water desalination [9] and power generation [10]. Electrical power
52 can be generated using photovoltaic panels by converting solar energy or solar thermal
53 systems driven by thermodynamic cycles. The main advantages of thermal power generation
54 over the PV one rely on the easiness of storing heat compared to electricity and the capability
55 of thermal systems to reach higher energy productions [11]. The current available
56 technologies used in thermal energy plants include, parabolic trough collectors [12], solar
57 towers [13], linear Fresnel lenses [14] and dish Stirling [15]. The use of parabolic trough
58 collectors has been successfully tested in many power generation stations worldwide due to its
59 technological maturity and its economic competitiveness [16-18].

60 Recently, research related to PTCs has increased tremendously. Many researches proposed
61 improvements in order to ameliorate the performance of PTCs. Some of them focused on
62 proposing modifications in the absorber geometry and including objects inside the flow.
63 Twisted tape inserts were used by Jaramillo et al. [19]. In the case of a twist ratio close to 1
64 and for low Reynolds numbers, their applications showed a positive effect on the performance
65 of the collector via an enhancement of the heat transfer. Bortolato et al. [20] have studied
66 experimentally a PTC with flat bar-and-plate absorber including an internal offset strip
67 turbulator in the channel. The new design allowed a better efficiency (up to 64%) with low
68 pressure drops. Other investigators tried to test innovative working fluids such as supercritical
69 CO₂ [21] and nanofluids [22-28]. The literature review of the recently published research
70 works has shown that there are only limited works investigating detailed analysis of PTC
71 using nanofluids. Sokhansefat et al. [22] were the first authors to study the possibility of

72 improving heat transfer in PTCs by selecting Al_2O_3 /synthetic oil nanofluid as a working fluid.
73 A 3-D numerical model based on Navier-Stokes mass, momentum and energy equations were
74 proposed to characterize a fully developed turbulent mixed convection heat transfer through
75 the receiver tube. Authors reported that increasing the concentration of Al_2O_3 nanoparticles up
76 to 5% may increase the heat transfer coefficient by 14%. Ghesemi and Ranjbar [23] simulated
77 the thermal behavior of a PTC using CuO-water and Al_2O_3 -water nanofluids. The numerical
78 model is based on the finite volume approach and solved by a CFD commercial code. It is
79 shown that the tested nanofluids gave better performances compared to pure water. For a
80 volume fraction of 3%, they reported an increase in the heat transfer coefficient of about 28%
81 and 35% for CuO-water and Al_2O_3 -water nanofluids, respectively. Mwesigye et al. [24]
82 investigated numerically the thermal and thermodynamic performance of a high concentration
83 ratio PTC employing Cu-Therminol VP-1 nanofluid as the working fluid. The conclusion
84 given by the authors is that the collectors' thermal efficiency increased to 12.5% when the
85 nanoparticle concentration varied between 0 to 6%. They have also shown that by using the
86 entropy generation method, the nanofluids can enhance thermodynamic efficiency for the
87 certain range of Reynolds numbers. Bellos et al. [25] analyzed theoretically two options for
88 enhancing thermal efficiency of PTCs. The first option consists of considering a dimpled
89 receiver with a sine form. For the second option, they compared three working fluids and
90 nanofluid was one of them. They argued that both approaches can improve the efficiency by
91 around 4%. An optic-thermal-stress coupling model was suggested by Wang et al. [26] in
92 order to evaluate the influence of using Al_2O_3 /synthetic oil nanofluid as a working fluid in
93 PTCs. The authors indicated that nanofluids enhance heat transfer, avoid high temperature
94 gradients and minimize thermal stress receiver's deformation. Simulations were carried out by
95 Coccia et al. [27] to analyze the energy yields of low-enthalpy parabolic trough collectors
96 utilizing six water-based nanofluids. The authors concluded that adding low concentrations of
97 some nanofluids lead only to minor improvements in the PTC efficiencies while high
98 concentrations do not induce an advantage compared to water. This result originates from the
99 fact that the dynamic viscosity increases with the weight concentration. They have therefore
100 recommended that evaluating nanofluids (as working fluids in PTCs) at high temperatures
101 (characterized by lower dynamic viscosities and higher thermal conductivities) could be
102 interesting.

103 Based on literature survey, it was found that there are only limited investigations studying the
104 thermal behavior of PTCs operating with nanofluids. More works with detailed analysis are

105 therefore required for a good understanding of the best conditions of using nanofluids in PTC
 106 applications. Moreover, the assessment of their benefits seems to be of a particular interest,
 107 especially for medium and high temperature applications as emphasized by [27]. Another key
 108 contribution of this paper is the discussion of the effect of nanofluids on the exergetic
 109 performance of PTCs. Very limited studies were carried out on this aspect as well. In this
 110 sense, the present work presents a thermal analysis and performance assessment of PTC using
 111 three types of nanofluids as heat transfer fluids for medium and high temperature applications.
 112 The proposed mathematical model is one-dimensional and takes into account real varying
 113 external conditions in terms of incident radiation and ambient temperature for the Moroccan
 114 city “Ouarzazate”. A parametric study was also conducted to show the effect of mass flow
 115 rate, inlet temperature and nanoparticle concentration on the output energy. Detailed energetic
 116 and exergetic analyses are carried out as well to identify the best conditions of nanofluid
 117 utilization in PTCs.

118

119 2. Mathematical formalism

120 2.1. Tested fluids

121 The mathematical model attempts to study heat transfer and thermal and exergetic efficiencies
 122 of a PTC using nanofluids as working fluids. As the main focus of this paper is on medium
 123 and high-temperature heating applications, Therminol VP-1 was used as the base heat transfer
 124 fluid which is suitable for such purposes. Temperature dependent thermal properties are
 125 required for a more accurate modeling of the system. Hence, the thermal properties varying
 126 with the temperature were extracted from the manufacturer datasheet and were fitted under
 127 polynomial or exponential equations to be appropriately used by the developed code [28].
 128 Their expressions, by considering only the liquid phase, are given below:

- 129 • Density (kg/m³):

$$130 \quad \rho_{bf} = -2.379 \times 10^{-6} T^3 + 0.002737 T^2 - 1.871 T + 1439 \quad (1)$$

- 131 • Specific heat capacity (J/kg K)

$$132 \quad c_{p_{bf}} = 8.877 \times 10^{-6} T^3 - 0.01234 T^2 + 8.28 T - 50.85 \quad (2)$$

- 133 • Thermal conductivity (W/m K)

$$134 \quad \lambda_{bf} = 1.062 \times 10^{-11} T^3 - 1.937 \times 10^{-7} T^2 + 2.035 \times 10^{-5} T + 0.1464 \quad (3)$$

135 • Dynamic viscosity (Pa s)

$$136 \quad \mu_{bf} = 30.24 \exp(-0.03133T) + 0.008808 \exp(-0.006729T) \quad (4)$$

137 Integrating nanoparticles in the base fluid will induce an enhancement in its thermal
138 properties. These properties are influenced by the volume fraction of the nanoparticles and
139 their typology. Generally, this volume fraction does not exceed 5%. The nanofluid thermal
140 properties (i.e. density, specific heat capacity, thermal conductivity and dynamic viscosity) as
141 a function of the volume fraction of nanoparticles (ϕ), are derived from the next expressions
142 [29-31]:

$$143 \quad \rho_{nf} = (1 - \phi)\rho_{bf} + \phi\rho_s \quad (5)$$

$$144 \quad c_{p_{nf}} = \frac{(1 - \phi)(\rho c_p)_{bf} + \phi(\rho c_p)_s}{\rho_{nf}} \quad (6)$$

$$145 \quad \lambda_{nf} = \lambda_{bf} \frac{\lambda_s + 2\lambda_{bf} - 2\phi(\lambda_{bf} - \lambda_s)}{\lambda_s + 2\lambda_{bf} + \phi(\lambda_{bf} - \lambda_s)} \quad (7)$$

$$146 \quad \mu_{nf} = \mu_{bf} (1 + 2.5\phi + 6.25\phi^2) \quad (8)$$

147

148 In the previous equations, the subscript (nf) denotes for nanofluid, (bf) for the base fluid and
149 (s) for the solid nanoparticles.

150 The study considers three oxide nanoparticle types: copper oxide (CuO), alumina (Al₂O₃) and
151 titanium oxide (TiO₂). The thermal properties of these nanoparticles are given in **Table 1** [32-
152 **33**].

153 **2.2. Climatic conditions**

154 In this work, it is suggested to study the instantaneous thermal performance of a PTC using
155 nanofluids. A typical sunny day has been selected to run the simulation. Ambient temperature
156 and direct beam radiations were obtained from the METEONORM database for the Moroccan
157 city Ouarzazate. To simplify the study, an open-loop operation mode of the PTC has been
158 considered without any coupling with a hot storage tank. This configuration has been
159 previously proposed by Coccia et al. [27]. In the present work, a horizontal E–W axis with N-

160 S single axis tracking is studied. The sun-tracking mechanism depends on the solar incidence
161 angle, denoted θ . The cosine of θ , for a surface rotated about a horizontal east–west direction
162 with regular adjustment is expressed as follows [34]:

$$163 \quad \cos(\theta) = \sqrt{1 - \cos^2(\delta) \sin^2(h)} \quad (9)$$

164 δ is the solar declination and h is the hour angle, all expressed in degrees.

165 It is interesting to note that the climatic conditions were obtained under an hourly form and
166 were introduced into the developed code using a fifth-order polynomial interpolation.

167 **2.3. PTC modeling**

168 **2.3.1. Governing equations**

169 A PTC comprises a parabolic reflecting mirror that reflects the sun rays onto a receiver tube
170 that is inserted at the focal point of the reflector. The receiver consists of a metallic absorber
171 surrounded by a glass cover. To limit heat losses, the space between the glass cover and
172 absorber is maintained at very low pressures. The PTC is schematically reported in **Fig. 1**
173 [35].

174 A one dimensional mathematical model is introduced to study the transient thermal behavior
175 of the PTC. Therefore, the receiver tube is divided into N segment and heat propagation
176 occurs according the axial direction. The inputs of the model are the instantaneous ambient
177 temperature, incident beam radiations, mass flow rate, and physical properties of the glass
178 cover, absorber tube and HTF.

179 The mathematical model is based on an energy balance in each segment of the glass envelope,
180 absorber and the HTF. Consequently, it is imperative to compute the various heat transfer
181 coefficients used by the model. Some simplifying hypotheses have been made:

- 182 • Incompressible HTF and unidirectional flow
- 183 • Fluid flow is uniformly distributed for each receiver segment
- 184 • Solar radiation is time dependent and is uniform around the whole receiver tube
- 185 • Conduction losses at the ends of receiver tube are neglected.
- 186 • Thermal properties of the base fluid vary with the temperature, whereas those of
187 nanoparticles are constant.
- 188 • Thermal diffusion term in the glass cover, absorber tube and fluid are negligible

189 The three coupled partial differential equations referring to the energy balances for the glass
 190 cover, absorber tube and working fluid can be expressed as follows:

191 • **Glass cover:**

192 The glass cover receives solar radiation along its outer surface, exchanges heat with both the
 193 absorber tube and the ambient. Energy balance for the glass cover is given as:

$$A_g \rho_g c_g \frac{\partial T_g}{\partial t} = \dot{q}_{s-g}(t) + \dot{q}_{in}(x,t) - \dot{q}_{out}(x,t) \quad (10)$$

196 The solar radiation received by the glass cover $\dot{q}_{s-g}(t)$ can be considered as a heat flux. This
 197 can be justified by the fact that the glass cover is significantly thin and possesses a very low
 198 absorptance coefficient of the order of 0.02. It can be expressed as:

$$\dot{q}_{s-g}(t) = \gamma \alpha_g r_m W_a G_{bt}(t) k_\theta(t) \quad (11)$$

200 This term depends on the available instantaneous beam solar radiation (G_{bt}), collector width
 201 (W_a) and other optical properties including intercept factor (γ), absorbance of glass cover (α_g),
 202 specular reflectance of the mirror (r_m) and the incident angle modifier (k_θ). The incident angle
 203 modifier is given as a fourth-order polynomial form of the incident angle [36]:

$$k_\theta = 1 - 2.2307 \times 10^{-4} \theta - 1.1 \times 10^{-4} \theta^2 + 3.18596 \times 10^{-6} \theta^3 - 4.85509 \times 10^{-8} \theta^4 \quad (12)$$

205 All the parameters of **Eq. (11)** together with other geometrical properties of the PTC are
 206 specified in **Table 2** [37].

207 Internal heat transfer between the absorber and the glass envelope heat transfer occur by
 208 convection and radiation, thus:

$$\dot{q}_{in} = \dot{q}_{in-rad} + \dot{q}_{in-conv} \quad (13)$$

210 The radiation heat transfer mode between the receiver pipe/absorber and glass envelope can
 211 be written as:

212
$$\dot{q}_{in-rad} = \frac{\pi D_{o-g} (T_{ab}^4 - T_g^4)}{\frac{1}{\epsilon_{ab}} + \frac{1 - \epsilon_g}{\epsilon_g} \frac{D_{ab-o}}{D_{g-i}}} \quad (14)$$

213 Considering that the convection heat transfer mechanism between the receiver pipe and glass
 214 envelope occurs by natural convection due to the presence of a pressure > 0.013 Pa, one can
 215 use the Raithby and Holland's formula to characterize the convection heat transfer between
 216 the absorber tube and glass cover wall [38]

217
$$\dot{q}_{in-conv} = \frac{2\pi k_{eff} (T_{ab} - T_g)}{\ln\left(\frac{D_{g-i}}{D_{ab-o}}\right)} \quad (15)$$

218 Heat exchange between the glass cover and the atmosphere takes place by convection and
 219 radiation. Due to the presence of wind, the Newton's law of cooling can be employed to
 220 determine the convective heat loss as [34]:

221
$$\dot{q}_{out-conv} = \pi D_{g-o} h_w (T_g - T_a) \quad (16)$$

222 with:

223
$$h_w = \frac{Nu_{air} k_{air}}{D_{g-o}} \quad (17)$$

224 and

225
$$Nu_{air} = \begin{cases} 0.4 + 0.54 Re_{air}^{0.52} & \text{if } 0.1 < Re_{air} < 1000 \\ 0.3 Re_{air}^{0.6} & \text{if } 1000 < Re_{air} < 50000 \end{cases} \quad (18)$$

226 Taking the assumption that the cover is a small convex gray object in a large black body
 227 cavity, the sky, one can estimate the radiation heat exchange by:

228
$$\dot{q}_{out-rad} = \pi D_{g-o} \epsilon_g \sigma (T_g^4 - T_{sky}^4) \quad (19)$$

229 In the previous equations T_g , T_a and T_{sky} correspond to the outer glass cover temperature,
 230 ambient temperature, respectively. T_{sky} is the sky temperature taken as $T_{sky} = 0.0552 T_a^{1.5}$

231 σ is the Stefan–Boltzman constant ($\sigma= 5.67\times 10^{-8}$ W/m² K⁴) while ϵ_g and ϵ_{ab} are the emittance
 232 of the glass cover and absorber, respectively. k_{eff} is the effective conductive coefficient
 233 between the glass cover and absorber, and D denotes the diameter with subscripts ab-o for
 234 outer absorber, g-i for inner glass cover and g-o for outer glass cover. A_g is the outer surface
 235 of the glass cover.

236 **• Absorber**

237 The metallic absorber tube absorbs a significant amount of the incident solar radiation. It loses
 238 heat by convection and radiation $\dot{q}_{in}(x,t)$ and transfers by convection a useful heat to the
 239 working fluid $\dot{q}_u(x,t)$. The energy balance in the absorber tube is given as follows:

$$240 \quad A_{ab}\rho_{ab}c_{ab}\frac{\partial T_{ab}}{\partial t} = \dot{q}_{s-ab}(t) - \dot{q}_{in}(x,t) - \dot{q}_u(x,t) \quad (20)$$

241 The term $\dot{q}_{s-ab}(t)$ refers to the solar energy absorbed by the PTC receiver. It can be put under
 242 the following form:

$$243 \quad \dot{q}_{s-ab}(t) = \gamma(\tau_g \alpha_{ab})r_m W_a k_\theta(t) G_{bt}(t) \quad (21)$$

244 or:

$$245 \quad \dot{q}_{s-ab}(t) = \dot{q}_{s-g}(t) \frac{(\tau_g \alpha_{ab})}{\alpha_g} \quad (22)$$

246 with α_{ab} and τ_g are respectively the absorbance coefficient of the PTC absorber and the glass
 247 cover transmittance.

248 The remaining term in **Eq. (20)** denotes for the useful heat transmitted to the HTF. This term
 249 is the most important parameter when comparing various heat transfer fluids. It can be
 250 expressed as:

$$251 \quad \dot{q}_u(x,t) = \pi D_{ab-i} h_f (T_{ab} - T_f) \quad (23)$$

252 D_{ab-i} is the inner diameter of the absorber and T_f is the HTF temperature. h_f is the convection
 253 heat transfer coefficient between the absorber and the HTF and is strongly dependent on the

254 thermal properties of the working fluid. This coefficient is determined based on the Nusselt
 255 number value. Here, two correlations are used referring to the case of the base fluid and to the
 256 case of nanofluids. The first correlation, depending on Reynolds and Prandtl numbers, called
 257 the Dittus-boelter correlation estimates the Nusselt number as follows [39]:

$$258 \quad Nu_{bf} = 0.023 Re_{bf}^{0.8} Pr_{bf}^{0.4} \quad (24)$$

259 In the case of nanofluid, Xuan et al. [40] proposed the following formulation to estimate the
 260 Nusselt number:

$$261 \quad Nu_{nf} = 0.0059 \left(1.0 + 7.628 \phi^{0.6886} Pe_{np}^{0.001} \right) Re_{nf}^{0.9238} Pr_{nf}^{0.4} \quad (25)$$

262 where Pe_{np} is the Peclet number describing the effect of thermal dispersion because of
 263 microconvective and microdiffusion of the suspended nanoparticles. It is given as:

$$264 \quad Pe_{np} = \frac{v_{nf} \times d_{np}}{\alpha_{nf}} \quad (26)$$

265 with v_{nf} is the nanofluid velocity, d_{np} is the nanoparticle diameter and α_{nf} is the thermal
 266 diffusivity of nanofluid. Reynolds and Prandtl numbers are evaluated by considering the
 267 temperature-dependent thermal properties of each nanofluid type.

268 It is also interesting to highlight that the two previous correlations are recommended in the
 269 case of turbulent flows. In this sense, simulation tests were carried out to determine the mass
 270 flow range with respect to this condition.

271 • Working fluid

272 The working fluid flows inside the absorber at a flow rate \dot{m} and absorbs heat by convection
 273 from the inner absorber tube. The energy balance of the HTF can take the following form:

$$274 \quad A_f \rho_f c_f \frac{\partial T_f}{\partial t} + \dot{m} c_f \frac{\partial T_f}{\partial x} - k_f A_f \frac{\partial^2 T_f}{\partial x^2} = \dot{q}_u(x, t) \quad (27)$$

275 In all the governing equations A , ρ and c denotes for the cross-sectional area (m^2), density
 276 (kg/m^3) and specific heat capacity ($J/kg \cdot K$). Also, it is noteworthy to mention that all the
 277 equations are referred to the length unit of the collector.

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The initial conditions of the energy balance equations were introduced by considering that at time $t=0$, the glass cover, absorber tube and HTF are all in thermal equilibrium with the atmosphere. Moreover, the boundary conditions were implemented considering that at $x=0$, the temperatures are constant and refer to the inlet fluid temperature.

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2.4. Performance indices

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The present work suggests assessing the performance of the solar PTC by comparing the outlet temperature of the working fluid (that can be base fluid or one of tested nanofluids), the energetic efficiency the PTC, its exergetic efficiency and the relative benefit of the useful energy delivered for the various working fluids.

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The impact on these indices is the result of the improvement of the heat coefficient transfer h_f . The Figure of Merit (FoM) expressing the ratio of the heat transfer coefficient (nanofluid cases and base fluid case) is a useful criterion to judge the benefit of nanofluids versus the base fluid. It is given as [41]:

291

$$FoM = \frac{h_f|_{nf}}{h_f|_{bf}} \quad (28)$$

293
294

The outlet temperature of the HTF is determined by solving the previous set of equations and corresponds to:

295

$$T_{out} = T_f(x = L) \quad (29)$$

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298

The instantaneous energetic efficiency refers to the ratio between the useful thermal energy gained by the working fluid to the available solar beam energy falling onto the PTC reflector.

It is expressed as:

299

$$\eta = \frac{\dot{Q}_u}{A_a G_{bt}} = \frac{\dot{m} \int_{T_m}^{T_{out}} c_f(T) dT}{W_a L G_{bt}} \quad (30)$$

300

301

The exergetic efficiency can be defined as the ratio of gain exergy (E_u) to available solar radiation exergy (E_s) and can be expressed as [42]:

302

$$\eta_{ex} = \frac{E_u}{E_s} = \frac{\dot{m} \int_{T_{in}}^{T_{out}} c_f(T) dT - T_a \int_{T_{in}}^{T_{out}} \frac{c_f(T)}{T} dT}{W_a LG_{bt} \left[1 - \frac{4}{3} \left(\frac{T_a}{T_{sun}} \right) + \frac{1}{3} \left(\frac{T_a}{T_{sun}} \right)^4 \right]} \quad (31)$$

303

304

In **Eq. (31)**, T_{sun} is the sun's apparent temperature taken to be 6000 K as mentioned by Petela [43].

305

306

307

The last performance indicator is the relative energy gain resulting from the difference between the energy delivered by the PTC when the nanofluids are used compared to the base fluid. It is given as

308

$$\Delta e = \frac{Q_{u-nf} - Q_{u-bf}}{Q_{u-bf}} \times 100 \quad (31)$$

309

310

The flow diagram, showing the inputs, the outputs and the calculations operated by the model is presented in **Fig. 2**.

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Proving the validity of the proposed mathematical model is essential before further exploitation of its results. Therefore, a validation was performed based on a comparison between our model and experimental tests of Sandia National Laboratory (SNL) [44]. The SNL has experimentally tested a small module of LS-2 collector at the AZTRAK rotating platform to analyze the effect of various conditions on the PTC performance which can help in minimizing operation and maintenance costs of CSP plants. The code of the present model has been run in similar conditions as in [44] considering the same working fluid (Syltherm 800 oil) and the same geometrical properties of the PTC. Three test conditions were considered for the validation that is based on the outlet temperature and the thermal efficiency. The results are given in **Table 3**. It is clear that the results of the model in terms of outlet temperature and thermal efficiency are in very good agreement with the measured data

322

323

(uncertainty <0.83 °C for the temperature and $<2.9\%$ for the efficiency). This proves that the developed mathematical model is valid.

324

3. Results and discussion

325

Several MATLAB subroutines were built to compute various inputs for the main program.

326

The main program includes the discretization of the differential equations and resolution of

327

the obtained algebraic equations. At each time iteration, the non-linear aspect of the problem

328

is handled by considering the temperature-dependent thermal properties at the previous time

329

step. When the temperature of the glass cover, absorber and HTF are known, the program

330

computes the performance indices on a time-evolution basis.

331

Climatic input data were load from MS Excel data after a pre-processing of the cosine of

332

incident angle accounting for the sun-tracking strategy (i.e. N-S tracking). As stated before, a

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typical sunny day in the region of Ouarzazate (Morocco) is considered. The climatic data are

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depicted in **Fig. 3**. A maximum ambient temperature of 308 K is recorded at 15h00 am while

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the minimum one is recorded at the sunrise (291 K). **Fig. 3** also shows the hourly variation of

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the incident beam radiation between the sunrise and the sunset. The peak solar radiation is

337

observed at midday and is about 1000 W/m^2 . Other subroutines were developed in order to

338

compute the term sources of the governing equations. The various properties of the tested

339

fluids with respect to the temperature are used at each time step for a more accurate

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resolution. The generated data are used by the main program and serve in determining the

341

heat transfer coefficient and other involved parameters figuring in the governing equations.

342

Fig. 4 plots thermal properties of the base fluid together with the tested nanofluids for

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temperatures ranging from 300 K to 650 K. It is clear that nanofluids possess higher densities

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than the base fluid (see **Fig. 4 (a)**). All fluids have a descending behavior of density with

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increasing temperatures. Increasing the concentration of nanoparticles induces further

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increase in the density. Also, it is clear that Cu-O nanoparticles have a more pronounced

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effect on the increase of the density if compared to other types. Obviously, the presence of

348

nanofluids leads to an enhancement of the thermal conductivity of HTF, as indicated in **Fig. 4**

349

(b). It is shown that TiO_2 based nanofluid has a slightly lower thermal conductivity compared

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to the other nanofluids that have approximately the same values. This is surely because TiO_2

351

nanoparticles have lower thermal conductivity (see **Table 1**). Moreover, by increasing the

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concentration of nanoparticles, thermal conductivities increase as well. By increasing the

353

temperature, one can see that the relative gain in terms of the enhancement of the thermal

354 conductivity is reduced independently of the nature of nanoparticles. The specific heat
355 capacity, as indicated in **Fig. 4 (c)**, gets decreased by using nanofluids. The most influential
356 effect is shown for the case of CuO based nanofluid. The two other nanofluids have
357 approximately at low concentration of nanoparticles, but as the concentration of nanoparticles
358 increases, the difference between their specific heat capacities becomes greater.

359 **Fig. 4 (d)** shows the variation of dynamic viscosity versus the temperature. The main
360 observation is that, at higher temperatures, adding nanoparticles to the base fluid, have a
361 negligible effect on the viscosity. Also, as the nanoparticle concentration increases, the
362 working fluid becomes more viscous. Such tendency is clearer at low temperatures. The
363 changes on the thermal properties of the working fluids will certainly affect its thermal
364 performance.

365 Based on these thermal properties, it was possible to generate plots of the convective heat
366 transfer coefficient. Besides, the two correlations of the Nusselt number (**Eq. (24)** and **Eq.**
367 **(25)**) referring to the base fluid case and the nanofluid case were used in the computational
368 procedure. **Fig. 5** shows the trend of this coefficient for various operating conditions,
369 considering the case of the base fluid. It is seen that the heat convection coefficient increases
370 with increasing temperatures (from 120 W/m² K at 300 K to 420 W/m² K at 650 K). The
371 curve slope is a little more important for temperatures <400 K.

372 For the sake of comparison, a 3-D representation showing the variation of the convective heat
373 transfer coefficient in the case of the CuO based nanofluid is illustrated in **Fig. 6**. It can be
374 clearly seen that the presence of CuO nanoparticles considerably enhances the convective heat
375 transfer coefficient. This enhancement is of the order of 32%-83% at a maximum operating
376 temperature of 650 K, when compared to the base fluid. Lower operating temperatures lead to
377 lower improvements. This makes sense to the hypothesis of the suitability of nanofluids for
378 PTC applications involving high temperatures. This result is supported by the behavior of the
379 Figure of Merit (FoM) illustrated in **Fig. 7**. It is clear that in general the FoM is greater than 1
380 (except at very low concentrations at low operating temperatures). A maximum FoM of 1.9 is
381 reached at a temperature of 650 K and at a concentration of nanoparticles equal to 5%.

382 Simulations were carried out to evidence the effect of using nanofluids in PTCs instead of the
383 base fluid. The resolution of the governing equations has permitted to predict the temporary
384 thermal behavior of the PTC. Considering the base fluid, a mass flow rate of 0.5 kg/s and an
385 inlet temperature of 323 K (50 °C), **Fig. 8** shows the instantaneous variation of the fluid

386 temperature along the day and along the axial direction of the PTC. As the working fluid
387 flows inside the absorber, it gets gradually heated. The maximum temperature is reached at
388 the outlet of the collector when the incident beam radiation is at its peak value (midday).

389 The next set of results illustrates the effect of using nanofluids as working fluids in the PTC.
390 The same previous operating conditions were considered. The temporary evolution of the
391 outlet temperature is depicted in **Fig. 9**. The nanoparticle concentration was set to a value of
392 $\phi=3\%$. One can see clearly that the nanofluids reach higher temperatures than the base fluid,
393 especially at high radiation levels inducing greater heat propagation in the absorber and
394 working fluid. CuO based nanofluid leads to the most significant increase in the outlet
395 temperature while the other nanofluids give approximately the same thermal response with a
396 little advantage of TiO₂ based nanofluid. Based on this, the calculation of thermal efficiency
397 and exergy efficiency was numerically investigated by evaluating the integrals expressions in
398 **Eqs. (30)-(31)** using the trapezoidal method. The results are reported in **Fig. 10** and **Fig. 11**,
399 respectively.

400 **Fig. 10** shows a minor improvement of the thermal efficiency of the PTC when nanofluids are
401 used instead of the base fluid with no significant difference between the tested nanofluids. It
402 is because the inlet temperature is fixed to 323 K which does not allow considerable
403 improvements of the convective heat transfer coefficient h_f as highlighted in **Figs. 5** and **6**.
404 The enhancement of the exergy efficiency is more significant than the thermal efficiency (see
405 **Fig. 11**). This result can be justified by the fact that the specific heat capacity of the nanofluid
406 is considerably less important than the one of the base fluid which induces a more pronounced
407 increase on the exergy output E_u (see **Eq. (31)** and **Fig. 3 (c)**).

408 **Fig. 12** shows the thermal efficiency and exergy efficiency plotted against the parameter
409 $(T_{in} - T_a)/G_{bt}$ supposing a constant inlet temperature of 323 K and a mass flow rate of 0.5 kg/s.
410 It is shown that both thermal and exergy efficiencies follow a decreasing trend with respect to
411 the defined ratio, with a sharper decrease for the thermal efficiency. For the base fluid, the
412 maximum thermal efficiency is found to reach 65.7%, while the minimum is about 43% with
413 only a marginal benefit when using nanofluids. The exergy efficiency ranges between 3.05%
414 and 8.5 % for the base fluid case and gets improved more remarkably when nanofluids are
415 employed. The peak exergy efficiency is attained by the CuO based nanofluid and is about
416 9.05%.

417 In order to evidence the combined effect of mass flow rate and inlet temperature, a parametric
418 study was carried out comparing the energy and exergy efficiencies of the base fluid and CuO
419 based nanofluid (as an example) for various conditions. This was made considering climatic
420 conditions referring to the maximum solar radiation (observed at midday).

421 The results are plotted in **Fig. 13** and **Fig. 14**. It is shown that, for the selected conditions, the
422 thermal efficiency of the PTC follows a decreasing tendency with increasing inlet temperature
423 independently of the working fluid nature. Increasing the mass flow rate generates a slight
424 increase in the thermal efficiency. This increase is less important when the mass flow rate
425 becomes higher. Comparing **Fig. 13 (a)** and **Fig. 13 (b)**, one can remark that the presence of
426 CuO nanoparticles in the base fluid enhances slightly the thermal efficiency, especially at
427 higher temperatures.

428 From **Fig. 14**, it can be seen that the exergy efficiency increases as the inlet temperature
429 increases, which is the opposite tendency for the thermal efficiency. Also, the mass flow rate
430 impacts a little the exergy efficiency. The difference between the exergy efficiencies (base
431 fluid and nanofluid) is also observed to be more important at increased inlet temperatures.

432 Relative daily energy gains associated with the use of nanofluids instead of the base fluid for
433 various operating conditions in terms of mass flow rate, inlet temperature, nanoparticle type
434 and concentration are given in **Tables 4-5**.

435 In **Table 4**, it is considered that the inlet temperature is set to a value of 323 K (50 °C). The
436 observations that can be made are: (i) low concentrations of nanoparticles induce only minor
437 improvements on the relative daily energy gains at high flow rates and are not advised at all
438 for low flow rates; (ii) The nanoparticle type has a small effect of the gains with a certain
439 advantage of Al₂O₃ nanoparticles; (iii) Increasing the mass flow rate has a minor positive
440 effect of the relative daily energy gain.

441 **Table 5** shows that increasing the inlet temperature generates a more considerable
442 improvement of the relative daily energy gain. This is mainly due to the improvement
443 occurring in the heat transfer coefficient at higher operating temperatures. From these two
444 tables one can conclude that the best combination of mass flow rate and inlet temperature is
445 when both are maximized. The maximum daily relative gain that can be reached is about 1.46
446 % by using 5% of Al₂O₃ in the base fluid.

447 Another global conclusion that can be drawn is that operating conditions affect differently the
448 energy and exergy related indicators, especially in terms of inlet temperature. Further detailed
449 optimization should be conducted to ensure the best combination of design parameters
450 selection based on the solar application.

451

452 **4. Conclusion**

453 A validated and detailed mathematical model was proposed to examine the benefits of using
454 nanofluids as working fluids in parabolic trough collectors for medium and high temperature
455 applications. Energy and exergy analyses were carried out based on real fluctuating operating
456 conditions. Nanoparticles type and concentration, mass flow rate and inlet temperature were
457 the parameters studied and the performance indices included the Figure of Merit,
458 instantaneous outlet leaving the collector, thermal efficiency, exergy efficiency and relative
459 gain in the thermal energy delivered to the utilization. The following conclusions have been
460 made:

- 461 • Presence of nanoparticles in the base fluid enhances the convective heat transfer
462 and can lead to higher values of the FoM. For CuO based nanofluid, the FoM is
463 greater than 1 for nanoparticle concentration $>1\%$ and can exceed 1.8 at an
464 operating temperature of 650 K and a nanoparticle concentration of 5%.
- 465 • Nanofluids achieved higher temperatures than the base fluid, especially at higher
466 levels of radiation. CuO based nanofluid leads to the most significant increase in
467 the outlet temperature while the other nanofluids give approximately the same
468 thermal behavior with a small advantage of TiO₂ based nanofluid
- 469 • For a nanoparticle concentration of 3%, only a minor improvement of the thermal
470 efficiency of the PTC when nanofluids are used instead of the base fluid with no
471 significant difference between the tested nanofluids.
- 472 • For similar conditions, the enhancement of the exergy efficiency is more
473 significant than the thermal efficiency.
- 474 • The exergy efficiency varied between 3.05% and 8.5 % for the base fluid case
475 and gets improved more remarkably when nanofluids are employed. The peak
476 exergy efficiency is attained by the CuO based nanofluid and is about 9.05%.
- 477 • The maximum daily relative gain in terms of thermal energy delivered that is
478 about 1.46 % by using 5% of Al₂O₃ in the base fluid.

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- The parametric analysis showed that the operating conditions (i.e. mass flow rate and inlet temperature) should be carefully controlled for optimal energetic and exergetic performances.

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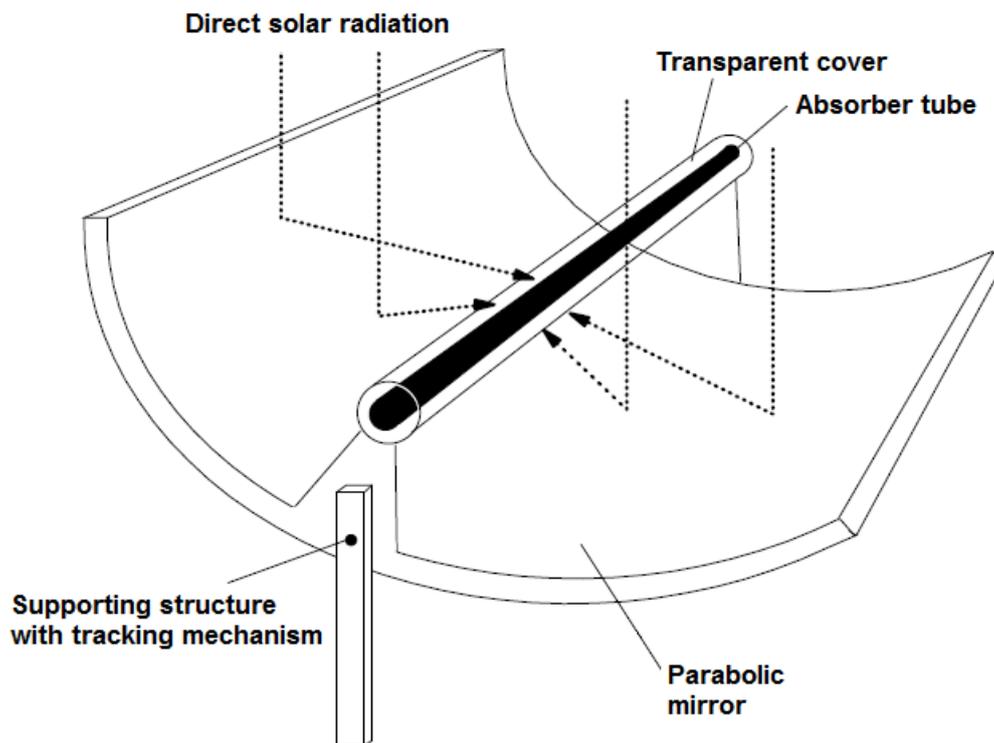
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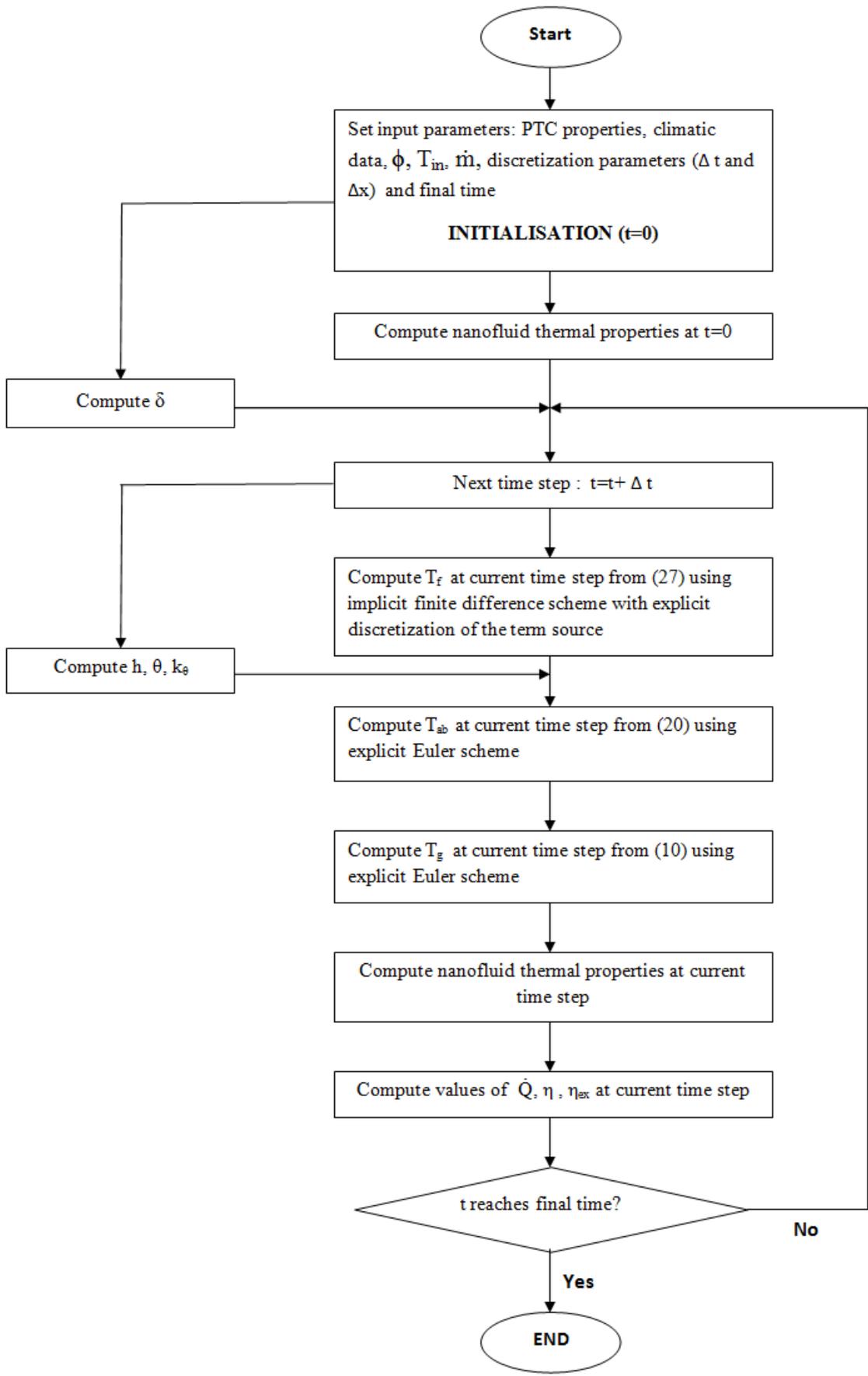


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Fig. 1: Solar parabolic trough collector [35]

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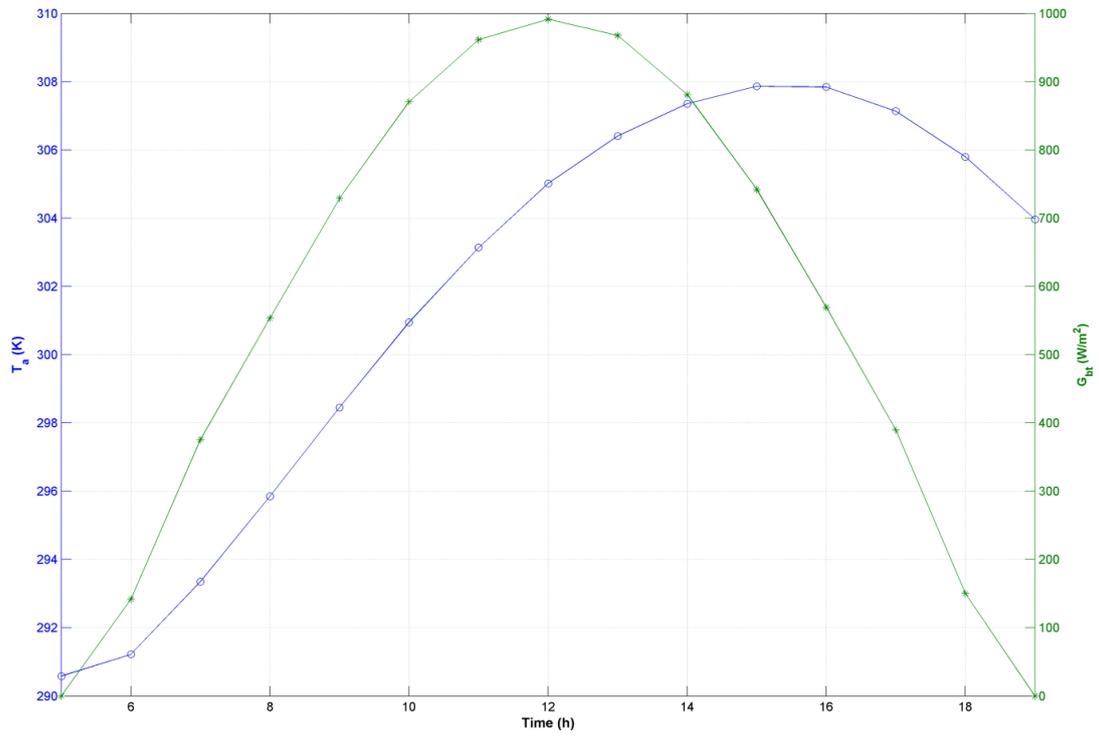


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Fig. 2: Flow diagram of the mathematical model

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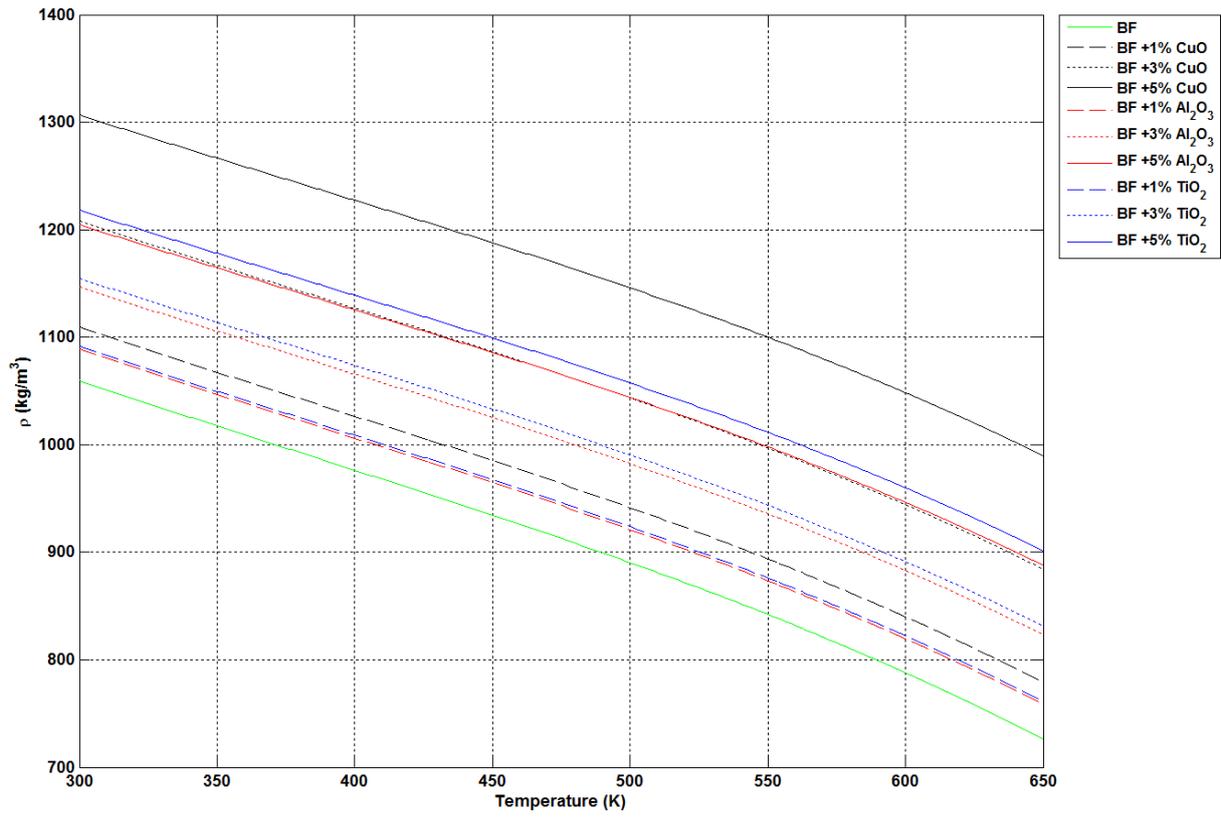
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Fig. 3: Hourly variation of the ambient temperature (left axis) and beam incident radiation (right axis)

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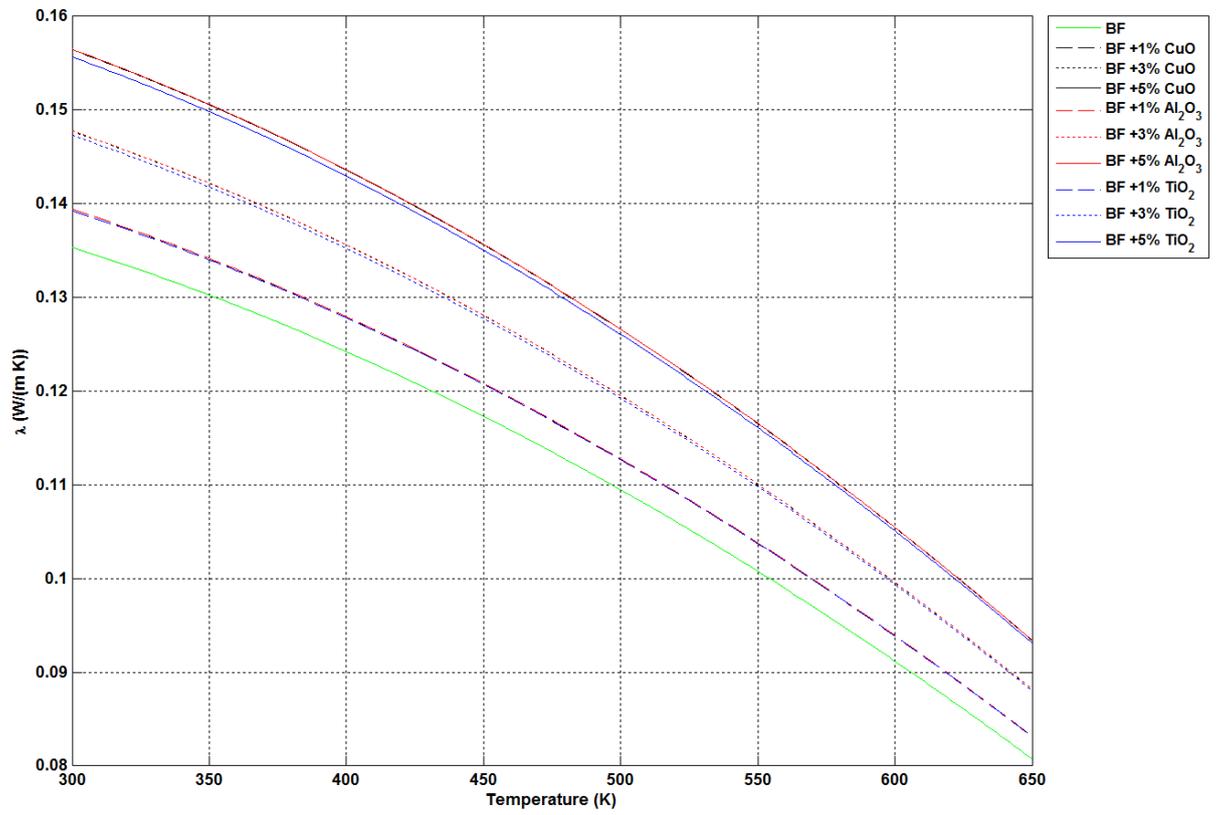
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(a) Density

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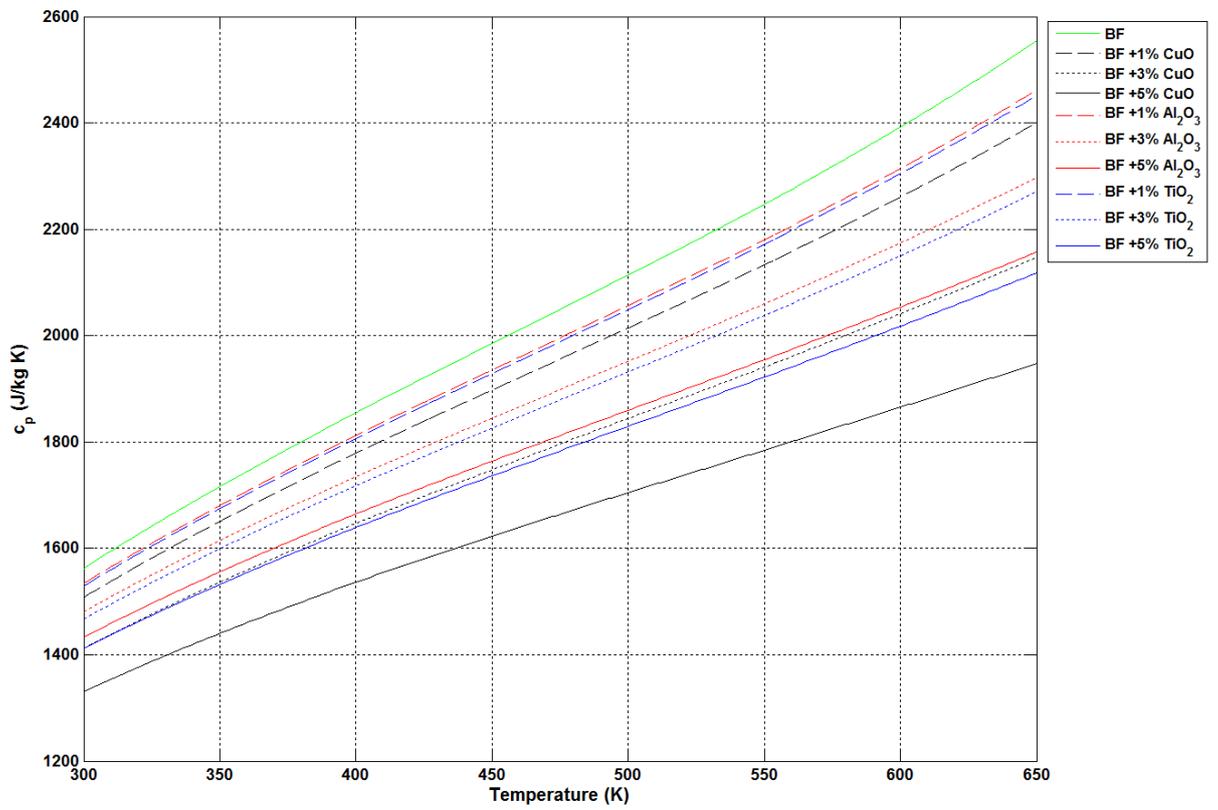
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(b) Thermal conductivity

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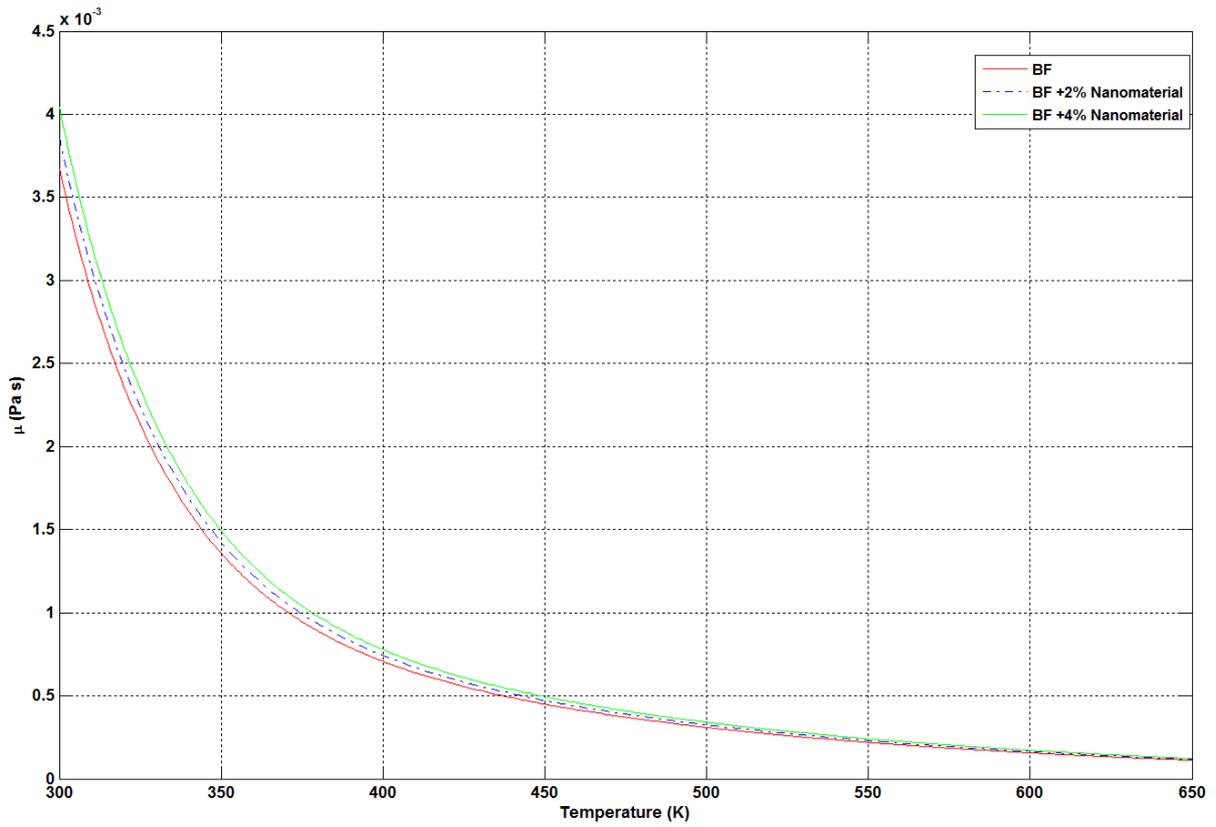
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(c) Specific heat capacity

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(d) Dynamic viscosity

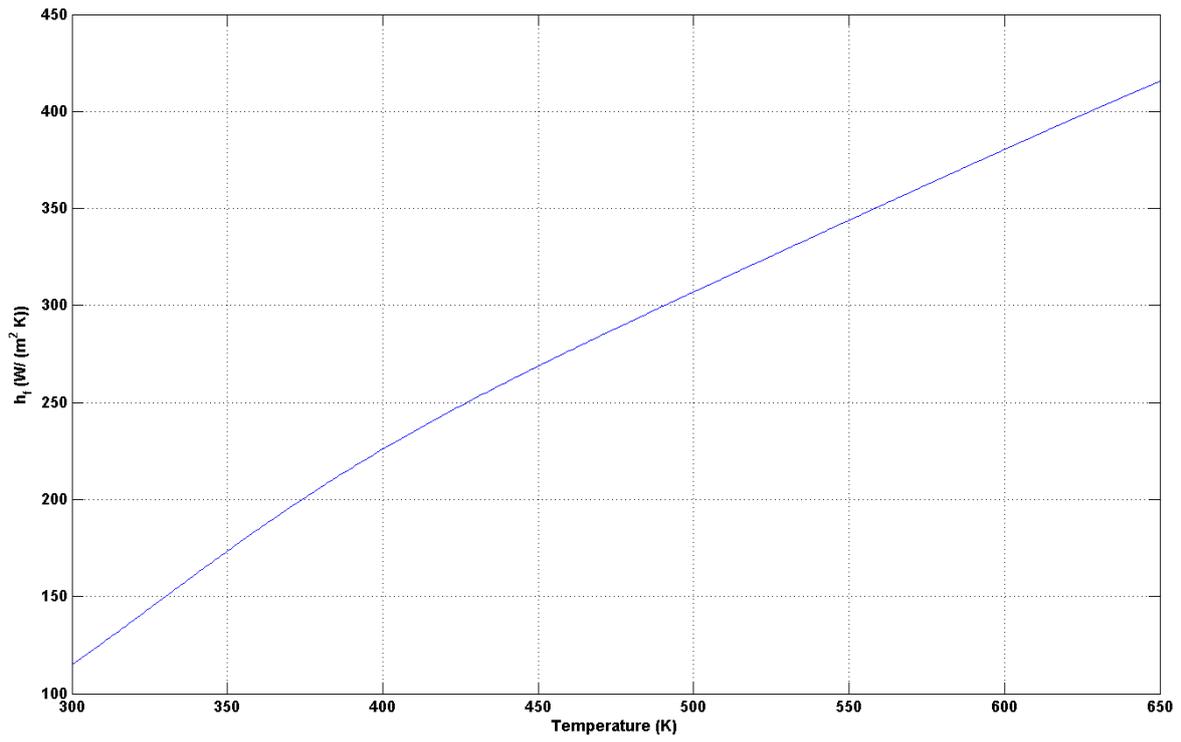
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Fig. 4: Thermal properties of base fluid and nanofluids

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643 **Fig. 5: Convective heat transfer coefficient for various fluid temperatures (base fluid)**

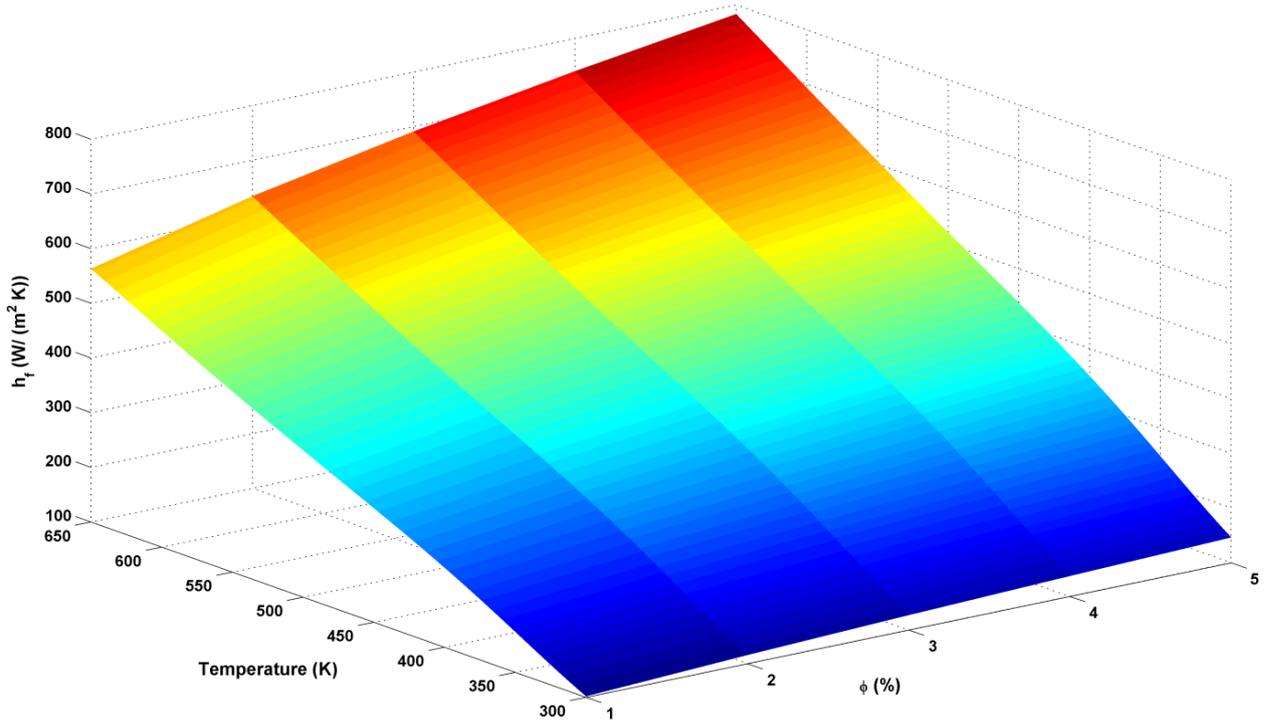
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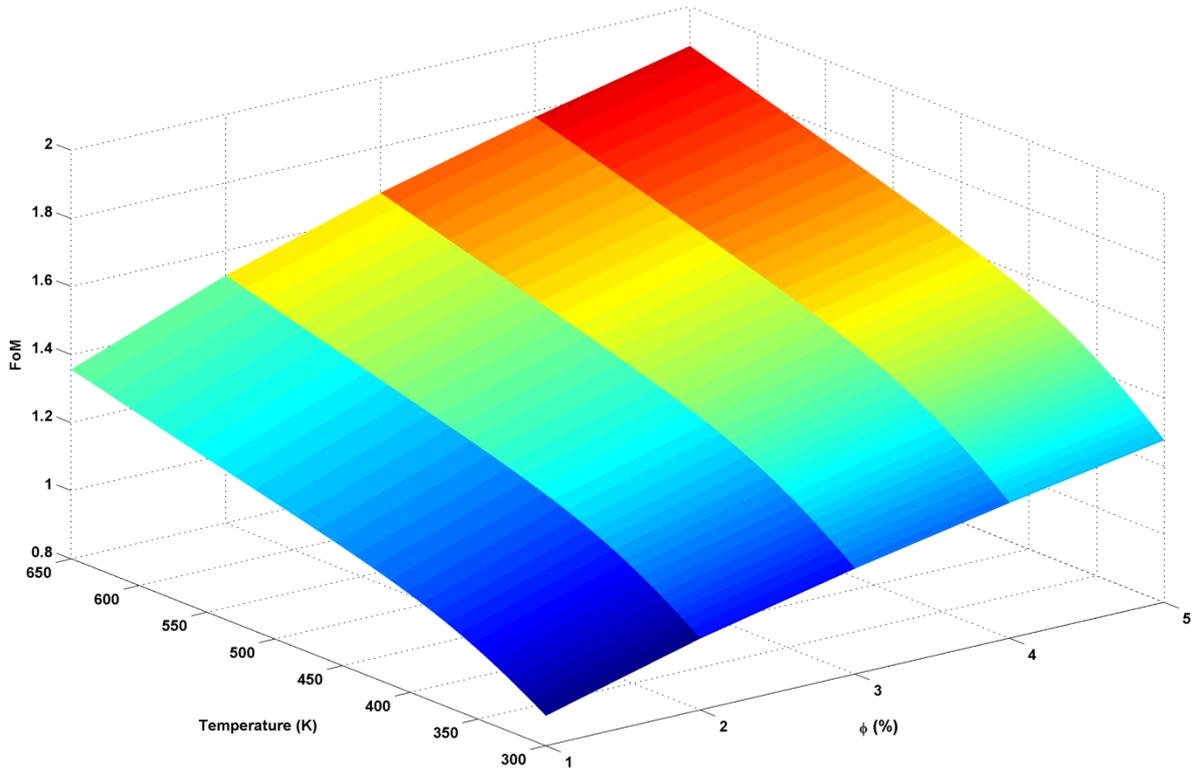
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Fig. 6: Convective heat transfer coefficient for various fluid temperatures and nanoparticle concentrations (CuO based nanofluid)



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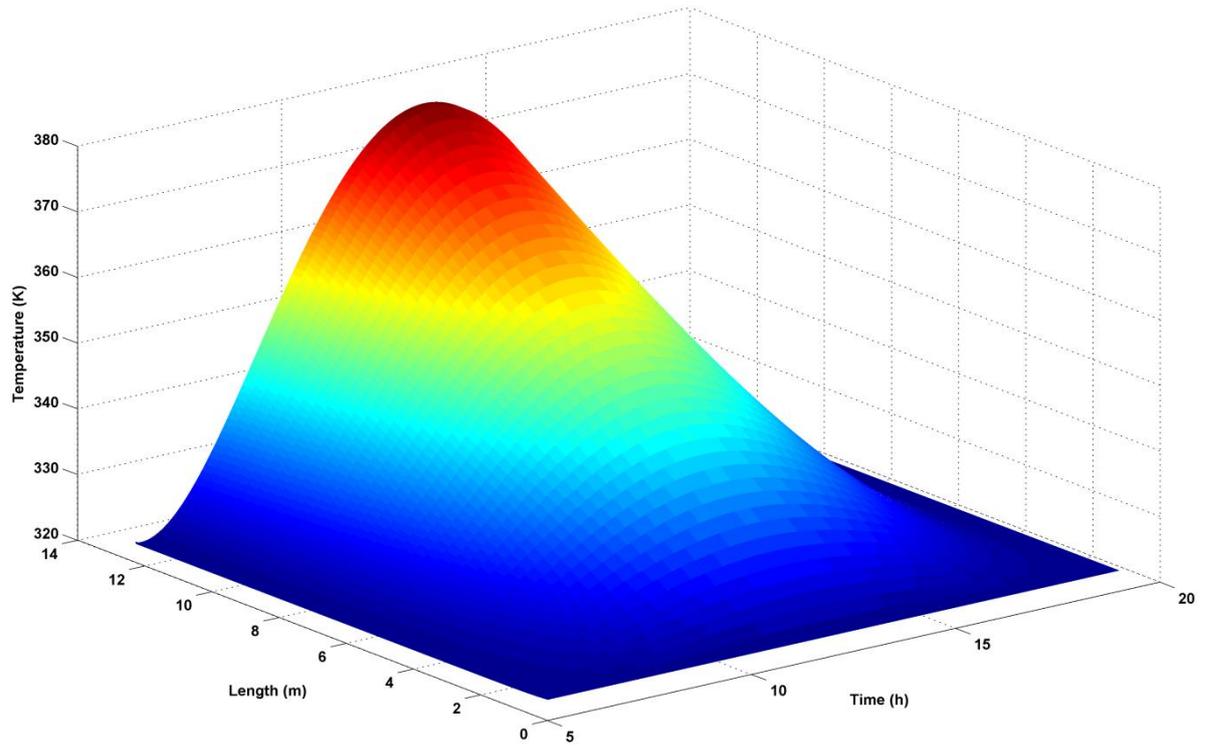
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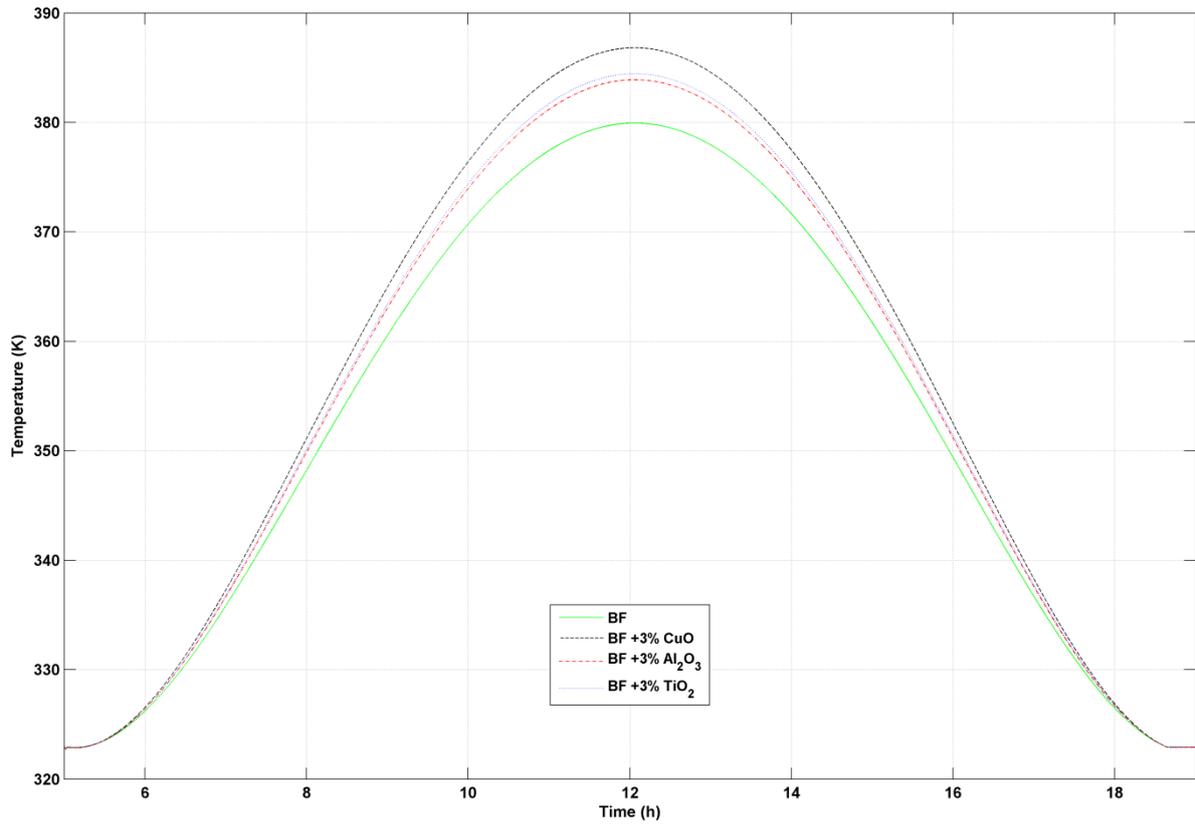
Fig. 7: Figure of Merit of CuO based nanofluid for various fluid temperatures and nanoparticle concentrations



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660 **Fig. 8: Evolution of the base fluid temperature along the axial direction versus the time**

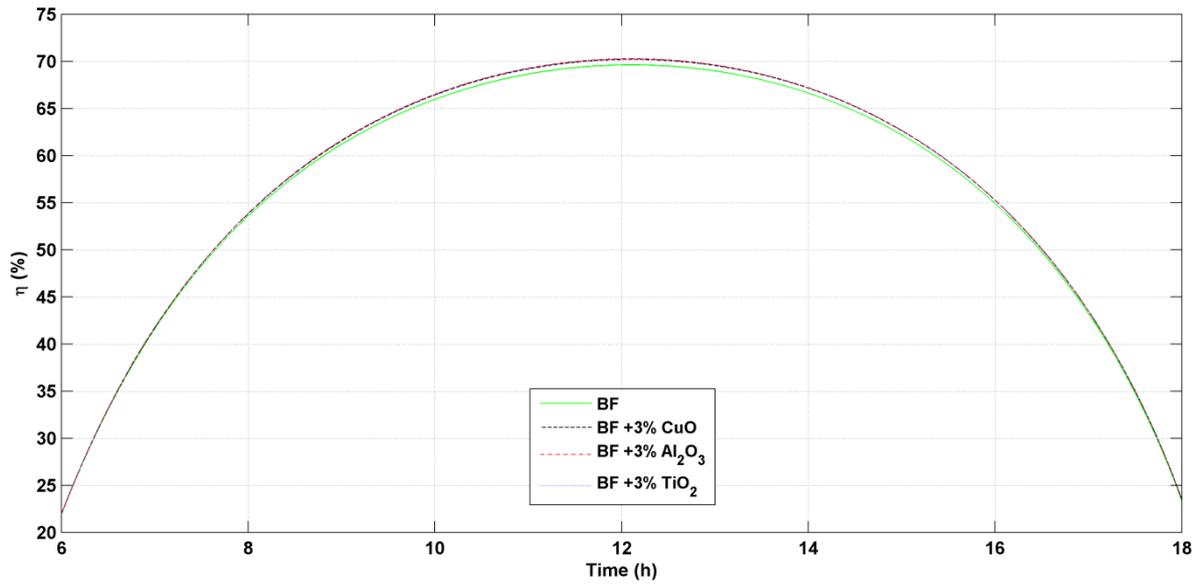
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663 **Fig. 9: Temporary evolution of outlet temperature of PTC (comparison between base**
 664 **fluid and nanofluids)**

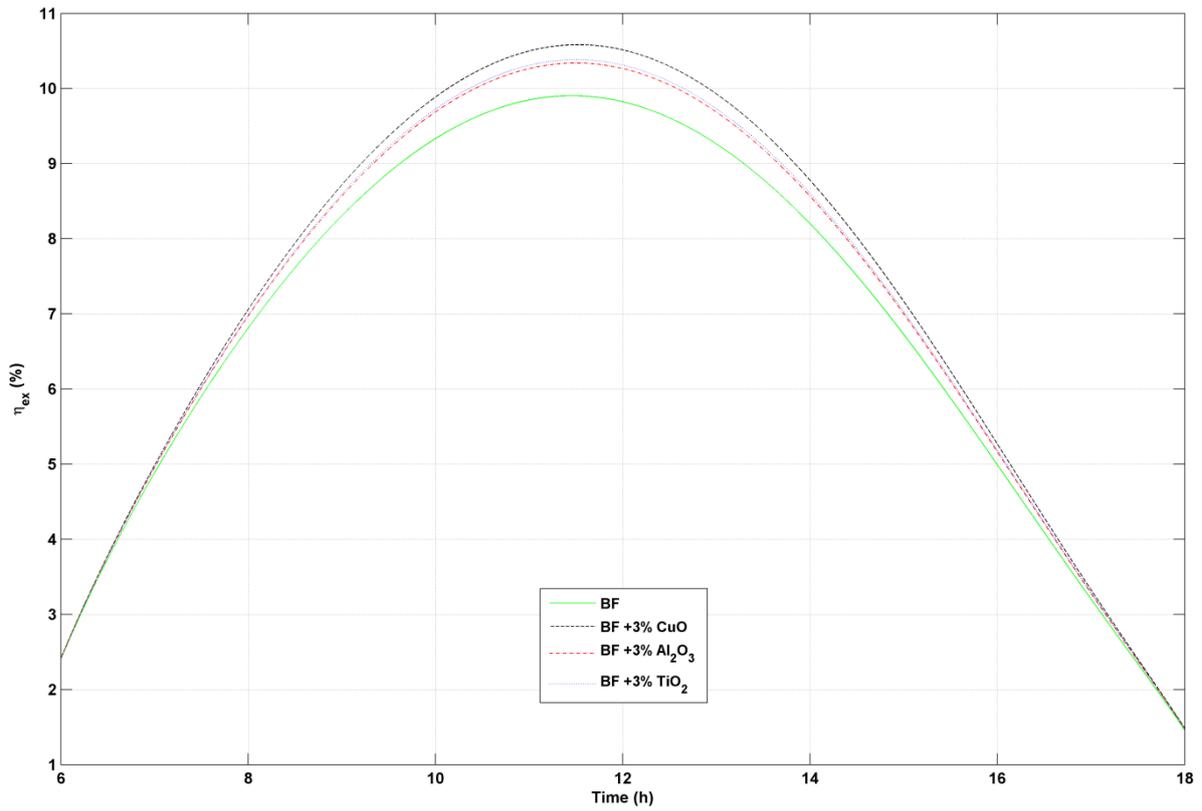
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667 **Fig. 10: Temporary evolution of thermal efficiency (comparison between base fluid and**
 668 **nanofluids)**

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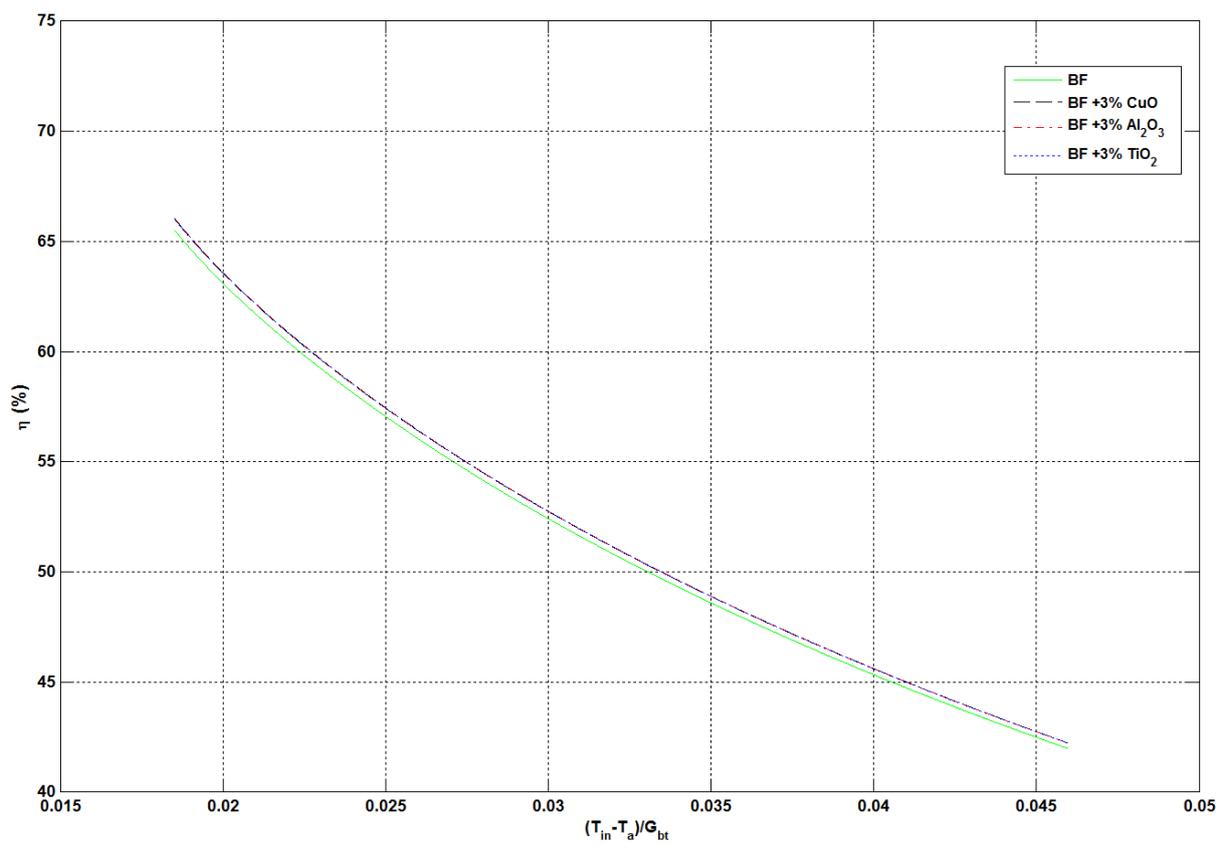
Fig. 11: Temporary evolution of exergy efficiency (comparison between base fluid and nanofluids)

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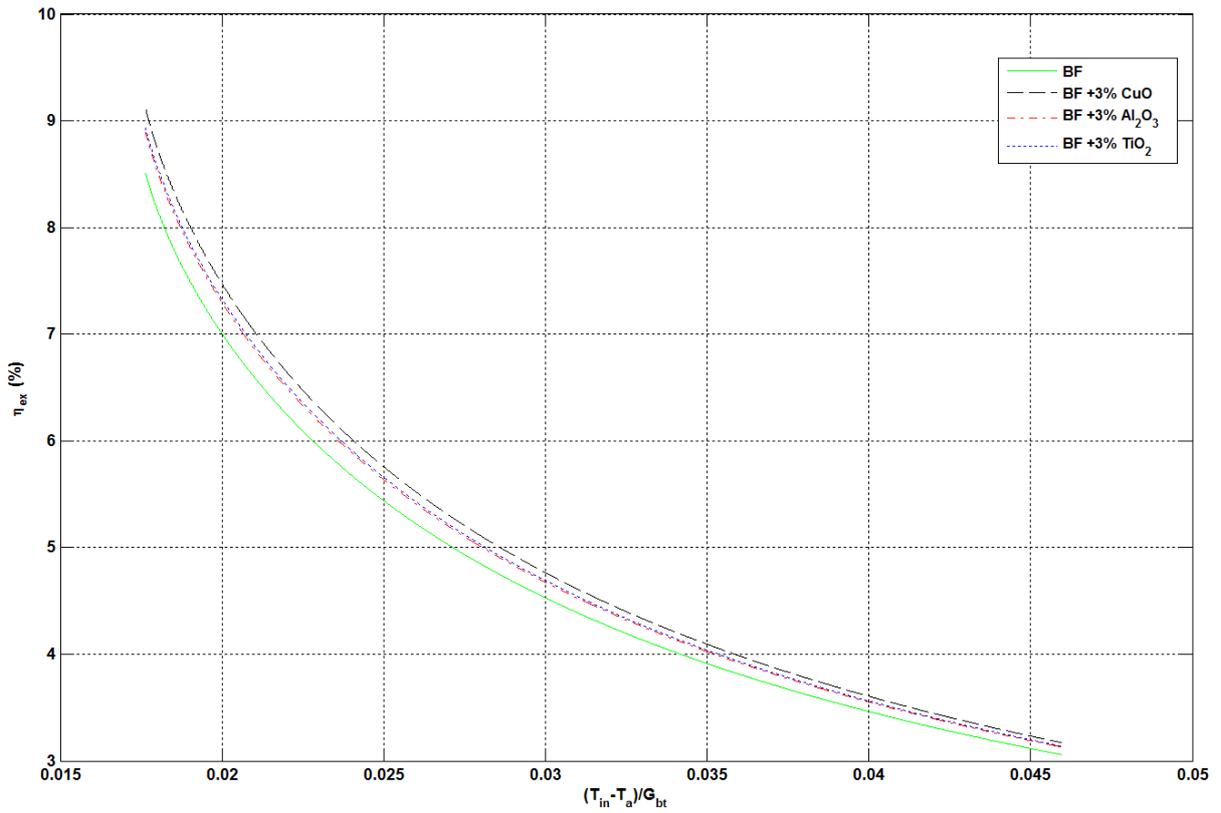


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(a) Thermal efficiency

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(b) Exergy efficiency

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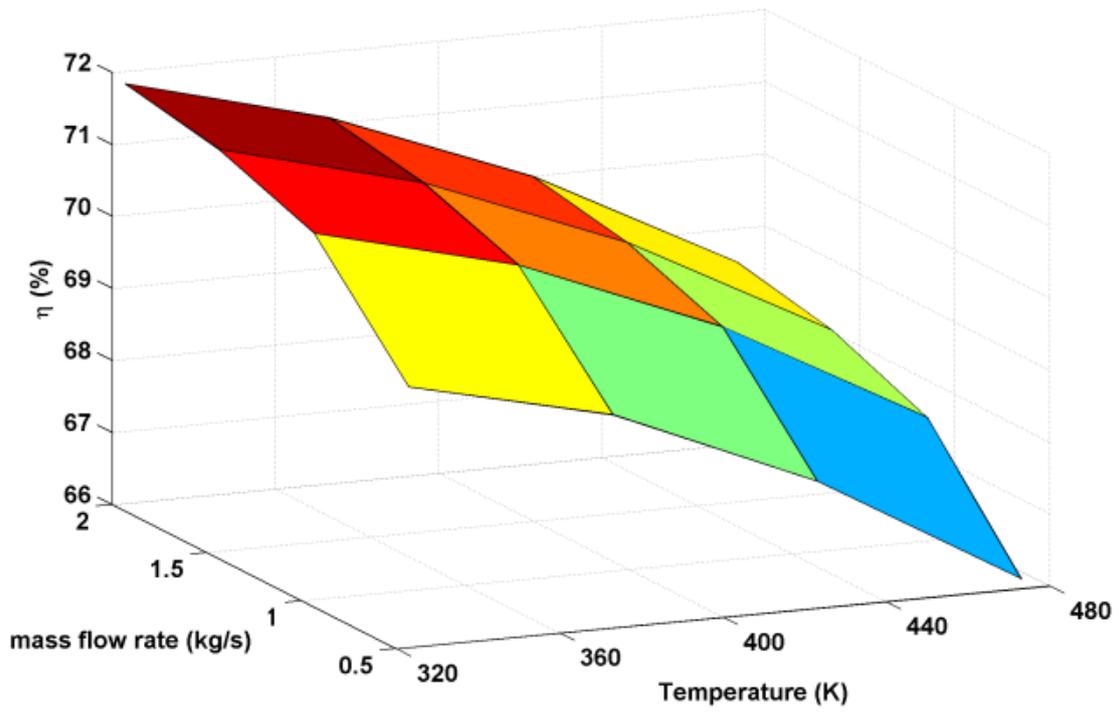
Fig. 12 : Comparison of thermal/exergy efficiency (base fluid and nanofluids)

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(a) *Base fluid*

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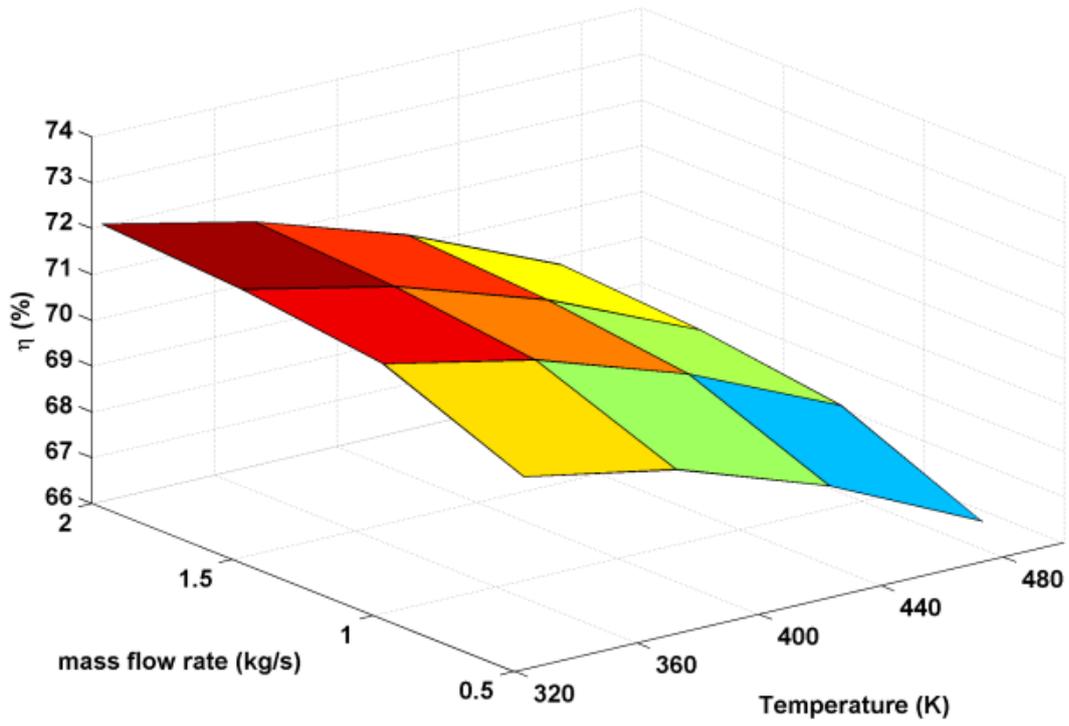
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(b) *CuO based nanofluid ($\phi=3\%$)*

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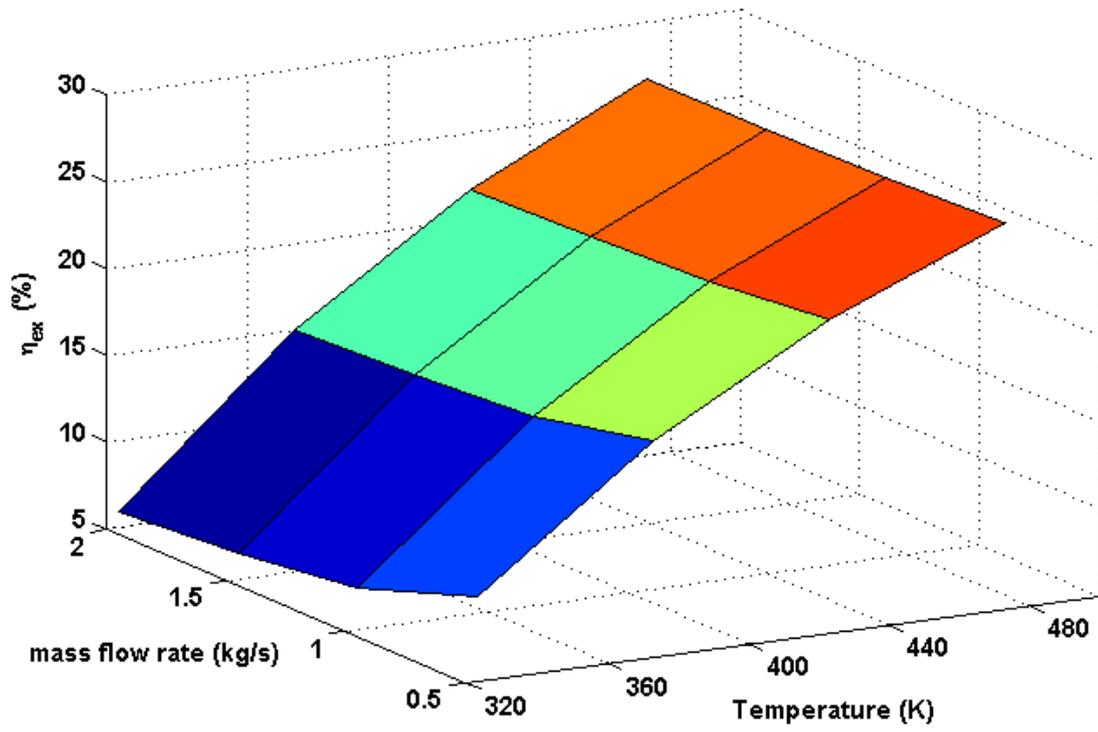
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Fig. 13: Thermal efficiency for various inlet temperatures and mass flow rates

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(a) Base fluid

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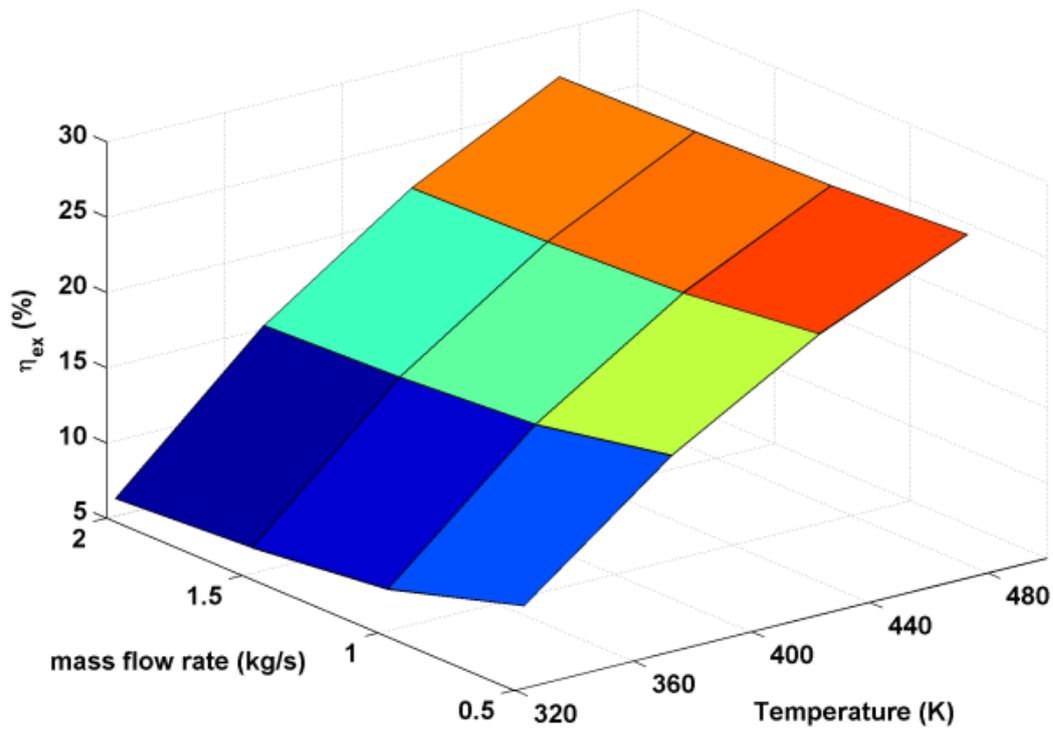
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(b) CuO based nanofluid ($\phi=3\%$)

Fig. 14: Exergy efficiency for various inlet temperatures and mass flow rates

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732 **Table 1: Properties of the used nanomaterials**

Material	Specific heat (J/kg K)	Thermal conductivity (W/m K)	Density (kg/m³)
Copper Oxide (CuO)	551	33	6000
Alumina (Al ₂ O ₃)	773	40	3960
Titanium Oxide (TiO ₂)	692	8.4	4230

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735 **Table 2: Geometrical and optical properties of PTC [37]**

Parameter	Value
Length of the collector (L)	12.27 m
Width of the collector	5.76 m
Receiver inner diameter	0.066 m
Receiver outer diameter	0.07 m
Glass envelope inner diameter	0.115 m
Glass envelope outer diameter	0.121 m
Absorptance of the receiver (α)	0.96
Transmittance of the glass cover (τ)	0.96
Reflectance of the mirror (r_m)	0.94
Intercept factor (γ)	0.867

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739 **Table 3: Comparison of model prediction with experimental tests from SNL [44]**

Test	Test conditions					Outlet Temperature (K)			Thermal Efficiency (%)		
	DNI (W/m ²)	Wind (m/s)	T _{amb} (K)	T _{in} (K)	mass flow rate (kg/s)	SNL test	model	Deviation (K)	SNL test	model	Deviation (%)
State 1	933.7	2.60	294.35	375.35	0.66	397.15	397.08	0.07	72.51	69.61	2.9
State 2	968.2	3.70	295.55	424.15	0.68	446.45	446.07	0.38	70.9	69.84	1.06
State 3	937.9	1.00	301.95	570.95	0.61	590.05	590.88	0.83	67.98	66.64	1.34

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741

742 **Table 4: Relative energy gains using nanofluids instead of base fluid (effect of mass flow**
 743 **rate)**

HTF	Mass flow rate (kg/s)							
	0.5		1		1.5		2	
	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)
BF	358.4		365.3		367.7		369	
BF +1% CuO	358.2	-0.0558	365.7	0.1095	368.2	0.136	369.4	0.1084
BF +2% CuO	359.9	0.4185	366.5	0.3285	368.7	0.272	369.8	0.2168
BF +3% CuO	360.9	0.6975	367	0.4654	369	0.3535	370	0.271
BF +4% CuO	361.7	0.9208	367.4	0.5749	369.3	0.4351	370.2	0.3252
BF +5% CuO	362.2	1.0603	367.6	0.6296	369.4	0.4623	370.3	0.3523
BF +1% Al ₂ O ₃	358.4	-0.0088	365.8	0.1369	368.2	0.136	369.5	0.1355
BF +2% Al ₂ O ₃	360.1	0.4743	366.6	0.3559	368.8	0.2992	369.9	0.2439
BF +3% Al ₂ O ₃	361.3	0.8092	367.2	0.5201	369.1	0.3807	370.1	0.2981
BF +4% Al ₂ O ₃	362	1.0045	367.5	0.6022	369.4	0.4623	370.3	0.3523
BF +5% Al ₂ O ₃	362.6	1.1719	367.8	0.6844	369.6	0.5167	370.5	0.4065
BF +1% TiO ₂	358.3	-0.0279	365.8	0.1369	368.2	0.136	369.4	0.1084
BF +2% TiO ₂	360.1	0.4743	366.6	0.3559	368.8	0.2992	369.8	0.2168
BF +3% TiO ₂	361.2	0.7813	367.1	0.4927	369.1	0.3807	370.1	0.2981
BF +4% TiO ₂	361.9	0.9766	367.5	0.6022	369.4	0.4623	370.3	0.3523
BF +5% TiO ₂	362.5	1.144	367.8	0.6844	369.5	0.4895	370.4	0.3794

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749 **Table 5: Relative energy gains using nanofluids instead of base fluid (effect of inlet**
750 **temperature)**

751

HTF	Inlet Temperature (K)							
	323		373		423		473	
	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)
BF	358.4		351.9		341.9		328.9	
BF +1% CuO	358.2	-0.0558	352.7	0.2273	343.3	0.4095	330.8	0.5777
BF +2% CuO	359.9	0.4185	353.9	0.5683	344.4	0.7312	331.8	0.8817
BF +3% CuO	360.9	0.6975	354.7	0.7957	345	0.9067	332.4	1.0642
BF +4% CuO	361.7	0.9208	355.2	0.9378	345.4	1.0237	332.8	1.1858
BF +5% CuO	362.2	1.0603	355.5	1.023	345.7	1.1114	333	1.2466
BF +1% Al ₂ O ₃	358.4	-0.0088	352.8	0.2558	343.4	0.4387	330.9	0.6081
BF +2% Al ₂ O ₃	360.1	0.4743	354.1	0.6252	344.6	0.7897	332.1	0.9729
BF +3% Al ₂ O ₃	361.3	0.8092	355	0.8809	345.4	1.0237	332.8	1.1858
BF +4% Al ₂ O ₃	362	1.0045	355.6	1.0514	345.9	1.1699	333.3	1.3378
BF +5% Al ₂ O ₃	362.6	1.1719	356	1.1651	346.3	1.2869	333.7	1.4594
BF +1% TiO ₂	358.3	-0.0279	352.8	0.2558	343.4	0.4387	330.9	0.6081
BF +2% TiO ₂	360.1	0.4743	354.1	0.6252	344.6	0.7897	332	0.9425
BF +3% TiO ₂	361.2	0.7813	354.9	0.8525	345.3	0.9944	332.7	1.1554
BF +4% TiO ₂	361.9	0.9766	355.5	1.023	345.8	1.1407	333.2	1.3074
BF +5% TiO ₂	362.5	1.144	355.9	1.1367	346.2	1.2577	333.5	1.3986

752

Response to Reviewers' comments

To the editor,

Thank you for the opportunity given to revise our paper according to the pertinent comments of the reviewers. We inform you that we addressed all the comments raised and the changes were endorsed in the **green** color in the revised manuscript. Please find the detailed answers to the reviewers' comments below.

Thank for reconsideration of this submission

Reviewer 1:

Comment:

Manuscript is written very well. Good Quality work.

Answer 1

Thank you for the positive feedback.

Reviewer 2:

Comment 1

Highlights were not provided.

Answer 1

Highlights are now provided. Please see the revised manuscript.

Comment 2

There is no Nomenclature (symbols, sub/superscripts, acronyms).

Answer 2

A Nomenclature is now provided. Please see the revised manuscript.

Comment 3

It would have been interesting if the authors evaluated nanoparticles' thermal properties through experimental validation, and not with the proposed equations (I'm referring in particular to Equations (7) and (8)).

Answer 3

Agreed. It is better to use experimental properties of nanoparticles. But, as we did not perform experimentations, we used these equations that are widely used in the literature.

Comment 4

Line 168. I would say "The PTC is schematically reported in Fig. 1".

Answer 4

Agreed. It was corrected as per suggestion

Comment 5

Lines 172 - 174. I suggest that the authors report a table, or a flow diagram, showing the inputs, the outputs and the calculations operated by the model.

Answer 5

A diagram was added as per suggestion. Please see the revised manuscript.

Comment6

Lines 185 - 186. Please specify that all the equations are referred to the length unit of the collector.

Answer 6

Agreed. It was specified as per suggestion

Comment 7

Equation (12). How was the incident angle modifier determined?

Answer 7

Appropriate citation is given in this regard.

Comment 8

Line 209. "Considering that the convection heat transfer mechanism between the receiver pipe and the glass envelope occurs by natural convection...".

Answer 8

Agreed. It was corrected. Please see the revised manuscript.

Comment 9

Line 227. The Stefan-Boltzmann constant has no measurement unit.

Answer 9

The unit is now provided. Please see the revised manuscript.

Comment 10

Line 234. "A useful heat to the working fluid...".

Answer 10

Agreed. It was corrected. Please see the revised manuscript

Comment 11

Equation (20). I think the equation has a wrong sign.

Answer 11

The equation is correct: Increase in internal energy = heat input by absorbing solar energy - internal loss - useful heat input to fluid.

Comment 12

Equation (27). I think c_f and $c_{p,f}$ are the same quantity.

Answer 12

Agreed. It was corrected. Please see the revised manuscript

Comment 13

Figures 3 and 11. Please provide larger figures, in the present form they are hard to analyze. Figure 11(a) reports "G" instead of "G_bt".

Answer 13

Larger figures are now given. Also, Fig. 11 (a) is corrected. Please see the revised manuscript

Comment 14

Table 2. What criterion was adopted to choose the reported PTC properties' values?

Answer 14

The used properties are reported in the literature. We added appropriate reference for them. Please see the revised manuscript

Comment 15

Line 315 and Table 3. I would say "deviation" or "error" instead of "uncertainty".

Answer 15

The word “deviation” is used as per suggestion. Please see the revised manuscript

1 **Energy and exergy analyses of a parabolic trough collector operated with nanofluids for**
2 **medium and high temperature applications**

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18

19 **Abstract:**

20 Thermal performance of parabolic trough collectors (PTCs) can be improved by suspending
21 nanoparticles into the traditionally used heat transfer fluids. In this work, a one-dimensional
22 mathematical model is proposed to investigate the effect of various nanoparticles suspended in
23 the working fluid for medium and high temperature PTCs. The major finding of this work is
24 that the nanofluid enhances the thermal efficiency of the PTCs slightly. High operating
25 temperatures are more suitable for using nanofluids and generate higher relative gains of
26 energy delivered. It is also found that the exergetic efficiency improvement is more important
27 than energetic efficiency. The peak exergy efficiency is achieved by the CuO based nanofluid
28 and is about 9.05%. The maximum daily relative gain of thermal energy delivered is found to
29 be 1.46 % by using 5% of Al₂O₃ in the base fluid. Optimal control of the operating conditions
30 can lead to optimal energetic and exergetic performances of the PTC.
31
32

33 **Keywords: Nanofluid; PTC; heat transfer; energy efficiency; exergy efficiency**
34

<i>Symbol</i>	<i>Signification</i>	<i>Units</i>
h	Hour angle	degree
δ	Solar declination	degree
θ	Incidence angle	degree
k_{θ}	Incident angle modifier	dimensionless
ϵ	Emittance	dimensionless
G_{bt}	Solar beam radiation	W/m^2
c	Specific heat capacity	$J/kg\ K$
h_f	Convective heat transfer coefficient between the absorber and the HTF	$W/m^2\ K$
h_w	Convective heat transfer coefficient between the external surface of the glass cover and the ambient air	$W/m^2\ K$
λ	Thermal conductivity	$W/m\ K$
k_{eff}	effective conductive coefficient between the glass cover and absorber	$W/m\ K$
Nu	Nusselt number	dimensionless
Pr	Prandtl number	dimensionless
Pe	Peclet number	dimensionless
Re	Reynolds number	dimensionless
T	temperature	K
v	velocity	m/s
γ	Intercept factor	dimensionless
τ	transmittance	dimensionless
α	absorbance coefficient	dimensionless
r_m	Reflectance of the mirror	dimensionless
μ	Dynamic Viscosity	$kg/m\ s$
ρ	Density	kg/m^3
σ	Stefan–Boltzman constant	$W/m^2\ K^4$
\dot{m}	Fluid mass flow	kg/s
W_a	Width of the collector	m
L	Length of the collector	m
D	Diameter	m
A	Cross sectional area	m^2
ϕ	fraction of nanoparticles	dimensionless
η	energetic efficiency	dimensionless
η_{ex}	exergetic efficiency	dimensionless
Δe	relative energy gain	dimensionless
FoM	figure of merit	dimensionless

Subscripts

a	Ambient
ab	Absorber
bf	Base fluid
f	Working fluid
g	Glass cover
i	Inner
in	Inlet
nf	Nanofluid
np	Nanoparticle
o	Outer
out	Outlet
s	Solid nanoparticle

Abbreviations

HTF	Heat transfer fluid
PTC	Parabolic trough collector

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1. Introduction

40

41 Concerns regarding climate change are growing and the world needs to take urgent measures
42 to avoid further warming of the earth [1]. The damaging effects of climate change are
43 accentuated with the use of fossil fuels that are up to now considered as the main energy
44 source for power generation worldwide [2]. As a result, increasing efforts are deployed by the
45 research community to propose efficient and reliable alternatives for power generation mainly
46 based on renewable energy sources [3]. Among these renewable energy resources, it is
47 strongly believed that solar energy has the most influential potential to achieve a sustainable
48 global energy system because of many reasons. It is clean, abundant and becoming more and
49 more cost-effective [4]. Solar energy is one of the sustainable and potential options to fulfill a
50 wide range of the humankind daily needs, including natural lighting [5], space and water
51 heating [6-7], cooling [8], water desalination [9] and power generation [10]. Electrical power
52 can be generated using photovoltaic panels by converting solar energy or solar thermal
53 systems driven by thermodynamic cycles. The main advantages of thermal power generation
54 over the PV one rely on the easiness of storing heat compared to electricity and the capability
55 of thermal systems to reach higher energy productions [11]. The current available
56 technologies used in thermal energy plants include, parabolic trough collectors [12], solar
57 towers [13], linear Fresnel lenses [14] and dish Stirling [15]. The use of parabolic trough
58 collectors has been successfully tested in many power generation stations worldwide due to its
59 technological maturity and its economic competitiveness [16-18].

60 Recently, research related to PTCs has increased tremendously. Many researches proposed
61 improvements in order to ameliorate the performance of PTCs. Some of them focused on
62 proposing modifications in the absorber geometry and including objects inside the flow.
63 Twisted tape inserts were used by Jaramillo et al. [19]. In the case of a twist ratio close to 1
64 and for low Reynolds numbers, their applications showed a positive effect on the performance
65 of the collector via an enhancement of the heat transfer. Bortolato et al. [20] have studied
66 experimentally a PTC with flat bar-and-plate absorber including an internal offset strip
67 turbulator in the channel. The new design allowed a better efficiency (up to 64%) with low
68 pressure drops. Other investigators tried to test innovative working fluids such as supercritical
69 CO₂ [21] and nanofluids [22-28]. The literature review of the recently published research
70 works has shown that there are only limited works investigating detailed analysis of PTC
71 using nanofluids. Sokhansefat et al. [22] were the first authors to study the possibility of

72 improving heat transfer in PTCs by selecting Al_2O_3 /synthetic oil nanofluid as a working fluid.
73 A 3-D numerical model based on Navier-Stokes mass, momentum and energy equations were
74 proposed to characterize a fully developed turbulent mixed convection heat transfer through
75 the receiver tube. Authors reported that increasing the concentration of Al_2O_3 nanoparticles up
76 to 5% may increase the heat transfer coefficient by 14%. Ghesemi and Ranjbar [23] simulated
77 the thermal behavior of a PTC using CuO-water and Al_2O_3 -water nanofluids. The numerical
78 model is based on the finite volume approach and solved by a CFD commercial code. It is
79 shown that the tested nanofluids gave better performances compared to pure water. For a
80 volume fraction of 3%, they reported an increase in the heat transfer coefficient of about 28%
81 and 35% for CuO-water and Al_2O_3 -water nanofluids, respectively. Mwesigye et al. [24]
82 investigated numerically the thermal and thermodynamic performance of a high concentration
83 ratio PTC employing Cu-Therminol VP-1 nanofluid as the working fluid. The conclusion
84 given by the authors is that the collectors' thermal efficiency increased to 12.5% when the
85 nanoparticle concentration varied between 0 to 6%. They have also shown that by using the
86 entropy generation method, the nanofluids can enhance thermodynamic efficiency for the
87 certain range of Reynolds numbers. Bellos et al. [25] analyzed theoretically two options for
88 enhancing thermal efficiency of PTCs. The first option consists of considering a dimpled
89 receiver with a sine form. For the second option, they compared three working fluids and
90 nanofluid was one of them. They argued that both approaches can improve the efficiency by
91 around 4%. An optic-thermal-stress coupling model was suggested by Wang et al. [26] in
92 order to evaluate the influence of using Al_2O_3 /synthetic oil nanofluid as a working fluid in
93 PTCs. The authors indicated that nanofluids enhance heat transfer, avoid high temperature
94 gradients and minimize thermal stress receiver's deformation. Simulations were carried out by
95 Coccia et al. [27] to analyze the energy yields of low-enthalpy parabolic trough collectors
96 utilizing six water-based nanofluids. The authors concluded that adding low concentrations of
97 some nanofluids lead only to minor improvements in the PTC efficiencies while high
98 concentrations do not induce an advantage compared to water. This result originates from the
99 fact that the dynamic viscosity increases with the weight concentration. They have therefore
100 recommended that evaluating nanofluids (as working fluids in PTCs) at high temperatures
101 (characterized by lower dynamic viscosities and higher thermal conductivities) could be
102 interesting.

103 Based on literature survey, it was found that there are only limited investigations studying the
104 thermal behavior of PTCs operating with nanofluids. More works with detailed analysis are

105 therefore required for a good understanding of the best conditions of using nanofluids in PTC
 106 applications. Moreover, the assessment of their benefits seems to be of a particular interest,
 107 especially for medium and high temperature applications as emphasized by [27]. Another key
 108 contribution of this paper is the discussion of the effect of nanofluids on the exergetic
 109 performance of PTCs. Very limited studies were carried out on this aspect as well. In this
 110 sense, the present work presents a thermal analysis and performance assessment of PTC using
 111 three types of nanofluids as heat transfer fluids for medium and high temperature applications.
 112 The proposed mathematical model is one-dimensional and takes into account real varying
 113 external conditions in terms of incident radiation and ambient temperature for the Moroccan
 114 city “Ouarzazate”. A parametric study was also conducted to show the effect of mass flow
 115 rate, inlet temperature and nanoparticle concentration on the output energy. Detailed energetic
 116 and exergetic analyses are carried out as well to identify the best conditions of nanofluid
 117 utilization in PTCs.

118

119 2. Mathematical formalism

120 2.1. Tested fluids

121 The mathematical model attempts to study heat transfer and thermal and exergetic efficiencies
 122 of a PTC using nanofluids as working fluids. As the main focus of this paper is on medium
 123 and high-temperature heating applications, Therminol VP-1 was used as the base heat transfer
 124 fluid which is suitable for such purposes. Temperature dependent thermal properties are
 125 required for a more accurate modeling of the system. Hence, the thermal properties varying
 126 with the temperature were extracted from the manufacturer datasheet and were fitted under
 127 polynomial or exponential equations to be appropriately used by the developed code [28].
 128 Their expressions, by considering only the liquid phase, are given below:

- 129 • Density (kg/m³):

$$130 \quad \rho_{bf} = -2.379 \times 10^{-6} T^3 + 0.002737 T^2 - 1.871 T + 1439 \quad (1)$$

- 131 • Specific heat capacity (J/kg K)

$$132 \quad c_{p_{bf}} = 8.877 \times 10^{-6} T^3 - 0.01234 T^2 + 8.28 T - 50.85 \quad (2)$$

- 133 • Thermal conductivity (W/m K)

$$134 \quad \lambda_{bf} = 1.062 \times 10^{-11} T^3 - 1.937 \times 10^{-7} T^2 + 2.035 \times 10^{-5} T + 0.1464 \quad (3)$$

135 • Dynamic viscosity (Pa s)

$$136 \quad \mu_{bf} = 30.24 \exp(-0.03133 T) + 0.008808 \exp(-0.006729 T) \quad (4)$$

137 Integrating nanoparticles in the base fluid will induce an enhancement in its thermal
138 properties. These properties are influenced by the volume fraction of the nanoparticles and
139 their typology. Generally, this volume fraction does not exceed 5%. The nanofluid thermal
140 properties (i.e. density, specific heat capacity, thermal conductivity and dynamic viscosity) as
141 a function of the volume fraction of nanoparticles (ϕ), are derived from the next expressions
142 [29-31]:

$$143 \quad \rho_{nf} = (1 - \phi)\rho_{bf} + \phi\rho_s \quad (5)$$

$$144 \quad c_{p_{nf}} = \frac{(1 - \phi)(\rho c_p)_{bf} + \phi(\rho c_p)_s}{\rho_{nf}} \quad (6)$$

$$145 \quad \lambda_{nf} = \lambda_{bf} \frac{\lambda_s + 2\lambda_{bf} - 2\phi(\lambda_{bf} - \lambda_s)}{\lambda_s + 2\lambda_{bf} + \phi(\lambda_{bf} - \lambda_s)} \quad (7)$$

$$146 \quad \mu_{nf} = \mu_{bf} (1 + 2.5\phi + 6.25\phi^2) \quad (8)$$

147

148 In the previous equations, the subscript (nf) denotes for nanofluid, (bf) for the base fluid and
149 (s) for the solid nanoparticles.

150 The study considers three oxide nanoparticle types: copper oxide (CuO), alumina (Al₂O₃) and
151 titanium oxide (TiO₂). The thermal properties of these nanoparticles are given in **Table 1** [32-
152 **33**].

153 **2.2. Climatic conditions**

154 In this work, it is suggested to study the instantaneous thermal performance of a PTC using
155 nanofluids. A typical sunny day has been selected to run the simulation. Ambient temperature
156 and direct beam radiations were obtained from the METEONORM database for the Moroccan
157 city Ouarzazate. To simplify the study, an open-loop operation mode of the PTC has been
158 considered without any coupling with a hot storage tank. This configuration has been
159 previously proposed by Coccia et al. [27]. In the present work, a horizontal E–W axis with N-

160 S single axis tracking is studied. The sun-tracking mechanism depends on the solar incidence
161 angle, denoted θ . The cosine of θ , for a surface rotated about a horizontal east–west direction
162 with regular adjustment is expressed as follows [34]:

$$163 \quad \cos(\theta) = \sqrt{1 - \cos^2(\delta) \sin^2(h)} \quad (9)$$

164 δ is the solar declination and h is the hour angle, all expressed in degrees.

165 It is interesting to note that the climatic conditions were obtained under an hourly form and
166 were introduced into the developed code using a fifth-order polynomial interpolation.

167 **2.3. PTC modeling**

168 **2.3.1. Governing equations**

169 A PTC comprises a parabolic reflecting mirror that reflects the sun rays onto a receiver tube
170 that is inserted at the focal point of the reflector. The receiver consists of a metallic absorber
171 surrounded by a glass cover. To limit heat losses, the space between the glass cover and
172 absorber is maintained at very low pressures. The PTC is schematically reported in **Fig. 1**
173 [35].

174 A one dimensional mathematical model is introduced to study the transient thermal behavior
175 of the PTC. Therefore, the receiver tube is divided into N segment and heat propagation
176 occurs according the axial direction. The inputs of the model are the instantaneous ambient
177 temperature, incident beam radiations, mass flow rate, and physical properties of the glass
178 cover, absorber tube and HTF.

179 The mathematical model is based on an energy balance in each segment of the glass envelope,
180 absorber and the HTF. Consequently, it is imperative to compute the various heat transfer
181 coefficients used by the model. Some simplifying hypotheses have been made:

- 182 • Incompressible HTF and unidirectional flow
- 183 • Fluid flow is uniformly distributed for each receiver segment
- 184 • Solar radiation is time dependent and is uniform around the whole receiver tube
- 185 • Conduction losses at the ends of receiver tube are neglected.
- 186 • Thermal properties of the base fluid vary with the temperature, whereas those of
187 nanoparticles are constant.
- 188 • Thermal diffusion term in the glass cover, absorber tube and fluid are negligible

189 The three coupled partial differential equations referring to the energy balances for the glass
 190 cover, absorber tube and working fluid can be expressed as follows:

191 • **Glass cover:**

192 The glass cover receives solar radiation along its outer surface, exchanges heat with both the
 193 absorber tube and the ambient. Energy balance for the glass cover is given as:

$$A_g \rho_g c_g \frac{\partial T_g}{\partial t} = \dot{q}_{s-g}(t) + \dot{q}_{in}(x,t) - \dot{q}_{out}(x,t) \quad (10)$$

196 The solar radiation received by the glass cover $\dot{q}_{s-g}(t)$ can be considered as a heat flux. This
 197 can be justified by the fact that the glass cover is significantly thin and possesses a very low
 198 absorptance coefficient of the order of 0.02. It can be expressed as:

$$\dot{q}_{s-g}(t) = \gamma \alpha_g r_m W_a G_{bt}(t) k_\theta(t) \quad (11)$$

200 This term depends on the available instantaneous beam solar radiation (G_{bt}), collector width
 201 (W_a) and other optical properties including intercept factor (γ), absorbance of glass cover (α_g),
 202 specular reflectance of the mirror (r_m) and the incident angle modifier (k_θ). The incident angle
 203 modifier is given as a fourth-order polynomial form of the incident angle [36]:

$$k_\theta = 1 - 2.2307 \times 10^{-4} \theta - 1.1 \times 10^{-4} \theta^2 + 3.18596 \times 10^{-6} \theta^3 - 4.85509 \times 10^{-8} \theta^4 \quad (12)$$

205 All the parameters of **Eq. (11)** together with other geometrical properties of the PTC are
 206 specified in **Table 2** [37].

207 Internal heat transfer between the absorber and the glass envelope heat transfer occur by
 208 convection and radiation, thus:

$$\dot{q}_{in} = \dot{q}_{in-rad} + \dot{q}_{in-conv} \quad (13)$$

210 The radiation heat transfer mode between the receiver pipe/absorber and glass envelope can
 211 be written as:

$$212 \quad \dot{q}_{in-rad} = \frac{\pi D_{o-g} (T_{ab}^4 - T_g^4)}{\frac{1}{\epsilon_{ab}} + \frac{1 - \epsilon_g}{\epsilon_g} \frac{D_{ab-o}}{D_{g-i}}} \quad (14)$$

213 Considering that the convection heat transfer mechanism between the receiver pipe and glass
 214 envelope occurs by natural convection due to the presence of a pressure > 0.013 Pa, one can
 215 use the Raithby and Holland's formula to characterize the convection heat transfer between
 216 the absorber tube and glass cover wall [38]

$$217 \quad \dot{q}_{in-conv} = \frac{2\pi k_{eff} (T_{ab} - T_g)}{\ln\left(\frac{D_{g-i}}{D_{ab-o}}\right)} \quad (15)$$

218 Heat exchange between the glass cover and the atmosphere takes place by convection and
 219 radiation. Due to the presence of wind, the Newton's law of cooling can be employed to
 220 determine the convective heat loss as [34]:

$$221 \quad \dot{q}_{out-conv} = \pi D_{g-o} h_w (T_g - T_a) \quad (16)$$

222 with:

$$223 \quad h_w = \frac{Nu_{air} k_{air}}{D_{g-o}} \quad (17)$$

224 and

$$225 \quad Nu_{air} = \begin{cases} 0.4 + 0.54 Re_{air}^{0.52} & \text{if } 0.1 < Re_{air} < 1000 \\ 0.3 Re_{air}^{0.6} & \text{if } 1000 < Re_{air} < 50000 \end{cases} \quad (18)$$

226 Taking the assumption that the cover is a small convex gray object in a large black body
 227 cavity, the sky, one can estimate the radiation heat exchange by:

$$228 \quad \dot{q}_{out-rad} = \pi D_{g-o} \epsilon_g \sigma (T_g^4 - T_{sky}^4) \quad (19)$$

229 In the previous equations T_g , T_a and T_{sky} correspond to the outer glass cover temperature,
 230 ambient temperature, respectively. T_{sky} is the sky temperature taken as $T_{sky} = 0.0552 T_a^{1.5}$

231 σ is the Stefan–Boltzman constant ($\sigma= 5.67 \times 10^{-8} \text{ W/m}^2 \text{ K}^4$) while ϵ_g and ϵ_{ab} are the emittance
 232 of the glass cover and absorber, respectively. k_{eff} is the effective conductive coefficient
 233 between the glass cover and absorber, and D denotes the diameter with subscripts ab-o for
 234 outer absorber, g-i for inner glass cover and g-o for outer glass cover. A_g is the outer surface
 235 of the glass cover.

236 **• Absorber**

237 The metallic absorber tube absorbs a significant amount of the incident solar radiation. It loses
 238 heat by convection and radiation $\dot{q}_{in}(x,t)$ and transfers by convection a useful heat to the
 239 working fluid $\dot{q}_u(x,t)$. The energy balance in the absorber tube is given as follows:

$$240 \quad A_{ab} \rho_{ab} c_{ab} \frac{\partial T_{ab}}{\partial t} = \dot{q}_{s-ab}(t) - \dot{q}_{in}(x,t) - \dot{q}_u(x,t) \quad (20)$$

241 The term $\dot{q}_{s-ab}(t)$ refers to the solar energy absorbed by the PTC receiver. It can be put under
 242 the following form:

$$243 \quad \dot{q}_{s-ab}(t) = \gamma (\tau_g \alpha_{ab}) r_m W_a k_\theta(t) G_{bt}(t) \quad (21)$$

244 or:

$$245 \quad \dot{q}_{s-ab}(t) = \dot{q}_{s-g}(t) \frac{(\tau_g \alpha_{ab})}{\alpha_g} \quad (22)$$

246 with α_{ab} and τ_g are respectively the absorbance coefficient of the PTC absorber and the glass
 247 cover transmittance.

248 The remaining term in **Eq. (20)** denotes for the useful heat transmitted to the HTF. This term
 249 is the most important parameter when comparing various heat transfer fluids. It can be
 250 expressed as:

$$251 \quad \dot{q}_u(x,t) = \pi D_{ab-i} h_f (T_{ab} - T_f) \quad (23)$$

252 D_{ab-i} is the inner diameter of the absorber and T_f is the HTF temperature. h_f is the convection
 253 heat transfer coefficient between the absorber and the HTF and is strongly dependent on the

254 thermal properties of the working fluid. This coefficient is determined based on the Nusselt
 255 number value. Here, two correlations are used referring to the case of the base fluid and to the
 256 case of nanofluids. The first correlation, depending on Reynolds and Prandtl numbers, called
 257 the Dittus-boelter correlation estimates the Nusselt number as follows [39]:

$$258 \quad Nu_{bf} = 0.023 Re_{bf}^{0.8} Pr_{bf}^{0.4} \quad (24)$$

259 In the case of nanofluid, Xuan et al. [40] proposed the following formulation to estimate the
 260 Nusselt number:

$$261 \quad Nu_{nf} = 0.0059 \left(1.0 + 7.628 \phi^{0.6886} Pe_{np}^{0.001} \right) Re_{nf}^{0.9238} Pr_{nf}^{0.4} \quad (25)$$

262 where Pe_{np} is the Peclet number describing the effect of thermal dispersion because of
 263 microconvective and microdiffusion of the suspended nanoparticles. It is given as:

$$264 \quad Pe_{np} = \frac{v_{nf} \times d_{np}}{\alpha_{nf}} \quad (26)$$

265 with v_{nf} is the nanofluid velocity, d_{np} is the nanoparticle diameter and α_{nf} is the thermal
 266 diffusivity of nanofluid. Reynolds and Prandtl numbers are evaluated by considering the
 267 temperature-dependent thermal properties of each nanofluid type.

268 It is also interesting to highlight that the two previous correlations are recommended in the
 269 case of turbulent flows. In this sense, simulation tests were carried out to determine the mass
 270 flow range with respect to this condition.

271 • Working fluid

272 The working fluid flows inside the absorber at a flow rate \dot{m} and absorbs heat by convection
 273 from the inner absorber tube. The energy balance of the HTF can take the following form:

$$274 \quad A_f \rho_f c_f \frac{\partial T_f}{\partial t} + \dot{m} c_f \frac{\partial T_f}{\partial x} - k_f A_f \frac{\partial^2 T_f}{\partial x^2} = \dot{q}_u(x, t) \quad (27)$$

275 In all the governing equations A, ρ and c denotes for the cross-sectional area (m^2), density
 276 (kg/m^3) and specific heat capacity (J/kg K). Also, it is noteworthy to mention that all the
 277 equations are referred to the length unit of the collector.

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The initial conditions of the energy balance equations were introduced by considering that at time $t=0$, the glass cover, absorber tube and HTF are all in thermal equilibrium with the atmosphere. Moreover, the boundary conditions were implemented considering that at $x=0$, the temperatures are constant and refer to the inlet fluid temperature.

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2.4. Performance indices

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The present work suggests assessing the performance of the solar PTC by comparing the outlet temperature of the working fluid (that can be base fluid or one of tested nanofluids), the energetic efficiency the PTC, its exergetic efficiency and the relative benefit of the useful energy delivered for the various working fluids.

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The impact on these indices is the result of the improvement of the heat coefficient transfer h_f . The Figure of Merit (FoM) expressing the ratio of the heat transfer coefficient (nanofluid cases and base fluid case) is a useful criterion to judge the benefit of nanofluids versus the base fluid. It is given as [41]:

291

$$FoM = \frac{h_f|_{nf}}{h_f|_{bf}} \quad (28)$$

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The outlet temperature of the HTF is determined by solving the previous set of equations and corresponds to:

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$$T_{out} = T_f(x = L) \quad (29)$$

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The instantaneous energetic efficiency refers to the ratio between the useful thermal energy gained by the working fluid to the available solar beam energy falling onto the PTC reflector.

It is expressed as:

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$$\eta = \frac{\dot{Q}_u}{A_a G_{bt}} = \frac{\dot{m} \int_{T_{in}}^{T_{out}} c_f(T) dT}{W_a L G_{bt}} \quad (30)$$

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The exergetic efficiency can be defined as the ratio of gain exergy (E_u) to available solar radiation exergy (E_s) and can be expressed as [42]:

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$$\eta_{ex} = \frac{E_u}{E_s} = \frac{\dot{m} \int_{T_{in}}^{T_{out}} c_f(T) dT - T_a \int_{T_{in}}^{T_{out}} \frac{c_f(T)}{T} dT}{W_a LG_{bt} \left[1 - \frac{4}{3} \left(\frac{T_a}{T_{sun}} \right) + \frac{1}{3} \left(\frac{T_a}{T_{sun}} \right)^4 \right]} \quad (31)$$

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In **Eq. (31)**, T_{sun} is the sun's apparent temperature taken to be 6000 K as mentioned by Petela [43].

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The last performance indicator is the relative energy gain resulting from the difference between the energy delivered by the PTC when the nanofluids are used compared to the base fluid. It is given as

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$$\Delta e = \frac{Q_{u-nf} - Q_{u-bf}}{Q_{u-bf}} \times 100 \quad (31)$$

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The flow diagram, showing the inputs, the outputs and the calculations operated by the model is presented in **Fig. 2**.

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Proving the validity of the proposed mathematical model is essential before further exploitation of its results. Therefore, a validation was performed based on a comparison between our model and experimental tests of Sandia National Laboratory (SNL) [44]. The SNL has experimentally tested a small module of LS-2 collector at the AZTRAK rotating platform to analyze the effect of various conditions on the PTC performance which can help in minimizing operation and maintenance costs of CSP plants. The code of the present model has been run in similar conditions as in [44] considering the same working fluid (Syltherm 800 oil) and the same geometrical properties of the PTC. Three test conditions were considered for the validation that is based on the outlet temperature and the thermal efficiency. The results are given in **Table 3**. It is clear that the results of the model in terms of outlet temperature and thermal efficiency are in very good agreement with the measured data

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(uncertainty <0.83 °C for the temperature and $<2.9\%$ for the efficiency). This proves that the developed mathematical model is valid.

324 **3. Results and discussion**

325 Several MATLAB subroutines were built to compute various inputs for the main program.
326 The main program includes the discretization of the differential equations and resolution of
327 the obtained algebraic equations. At each time iteration, the non-linear aspect of the problem
328 is handled by considering the temperature-dependent thermal properties at the previous time
329 step. When the temperature of the glass cover, absorber and HTF are known, the program
330 computes the performance indices on a time-evolution basis.

331 Climatic input data were load from MS Excel data after a pre-processing of the cosine of
332 incident angle accounting for the sun-tracking strategy (i.e. N-S tracking). As stated before, a
333 typical sunny day in the region of Ouarzazate (Morocco) is considered. The climatic data are
334 depicted in **Fig. 3**. A maximum ambient temperature of 308 K is recorded at 15h00 am while
335 the minimum one is recorded at the sunrise (291 K). **Fig. 3** also shows the hourly variation of
336 the incident beam radiation between the sunrise and the sunset. The peak solar radiation is
337 observed at midday and is about 1000 W/m^2 . Other subroutines were developed in order to
338 compute the term sources of the governing equations. The various properties of the tested
339 fluids with respect to the temperature are used at each time step for a more accurate
340 resolution. The generated data are used by the main program and serve in determining the
341 heat transfer coefficient and other involved parameters figuring in the governing equations.
342 **Fig. 4** plots thermal properties of the base fluid together with the tested nanofluids for
343 temperatures ranging from 300 K to 650 K. It is clear that nanofluids possess higher densities
344 than the base fluid (see **Fig. 4 (a)**). All fluids have a descending behavior of density with
345 increasing temperatures. Increasing the concentration of nanoparticles induces further
346 increase in the density. Also, it is clear that Cu-O nanoparticles have a more pronounced
347 effect on the increase of the density if compared to other types. Obviously, the presence of
348 nanofluids leads to an enhancement of the thermal conductivity of HTF, as indicated in **Fig. 4**
349 **(b)**. It is shown that TiO_2 based nanofluid has a slightly lower thermal conductivity compared
350 to the other nanofluids that have approximately the same values. This is surely because TiO_2
351 nanoparticles have lower thermal conductivity (see **Table 1**). Moreover, by increasing the
352 concentration of nanoparticles, thermal conductivities increase as well. By increasing the
353 temperature, one can see that the relative gain in terms of the enhancement of the thermal

354 conductivity is reduced independently of the nature of nanoparticles. The specific heat
355 capacity, as indicated in **Fig. 4 (c)**, gets decreased by using nanofluids. The most influential
356 effect is shown for the case of CuO based nanofluid. The two other nanofluids have
357 approximately at low concentration of nanoparticles, but as the concentration of nanoparticles
358 increases, the difference between their specific heat capacities becomes greater.

359 **Fig. 4 (d)** shows the variation of dynamic viscosity versus the temperature. The main
360 observation is that, at higher temperatures, adding nanoparticles to the base fluid, have a
361 negligible effect on the viscosity. Also, as the nanoparticle concentration increases, the
362 working fluid becomes more viscous. Such tendency is clearer at low temperatures. The
363 changes on the thermal properties of the working fluids will certainly affect its thermal
364 performance.

365 Based on these thermal properties, it was possible to generate plots of the convective heat
366 transfer coefficient. Besides, the two correlations of the Nusselt number (**Eq. (24)** and **Eq.**
367 **(25)**) referring to the base fluid case and the nanofluid case were used in the computational
368 procedure. **Fig. 5** shows the trend of this coefficient for various operating conditions,
369 considering the case of the base fluid. It is seen that the heat convection coefficient increases
370 with increasing temperatures (from 120 W/m² K at 300 K to 420 W/m² K at 650 K). The
371 curve slope is a little more important for temperatures <400 K.

372 For the sake of comparison, a 3-D representation showing the variation of the convective heat
373 transfer coefficient in the case of the CuO based nanofluid is illustrated in **Fig. 6**. It can be
374 clearly seen that the presence of CuO nanoparticles considerably enhances the convective heat
375 transfer coefficient. This enhancement is of the order of 32%-83% at a maximum operating
376 temperature of 650 K, when compared to the base fluid. Lower operating temperatures lead to
377 lower improvements. This makes sense to the hypothesis of the suitability of nanofluids for
378 PTC applications involving high temperatures. This result is supported by the behavior of the
379 Figure of Merit (FoM) illustrated in **Fig. 7**. It is clear that in general the FoM is greater than 1
380 (except at very low concentrations at low operating temperatures). A maximum FoM of 1.9 is
381 reached at a temperature of 650 K and at a concentration of nanoparticles equal to 5%.

382 Simulations were carried out to evidence the effect of using nanofluids in PTCs instead of the
383 base fluid. The resolution of the governing equations has permitted to predict the temporary
384 thermal behavior of the PTC. Considering the base fluid, a mass flow rate of 0.5 kg/s and an
385 inlet temperature of 323 K (50 °C), **Fig. 8** shows the instantaneous variation of the fluid

386 temperature along the day and along the axial direction of the PTC. As the working fluid
387 flows inside the absorber, it gets gradually heated. The maximum temperature is reached at
388 the outlet of the collector when the incident beam radiation is at its peak value (midday).

389 The next set of results illustrates the effect of using nanofluids as working fluids in the PTC.
390 The same previous operating conditions were considered. The temporary evolution of the
391 outlet temperature is depicted in **Fig. 9**. The nanoparticle concentration was set to a value of
392 $\phi=3\%$. One can see clearly that the nanofluids reach higher temperatures than the base fluid,
393 especially at high radiation levels inducing greater heat propagation in the absorber and
394 working fluid. CuO based nanofluid leads to the most significant increase in the outlet
395 temperature while the other nanofluids give approximately the same thermal response with a
396 little advantage of TiO₂ based nanofluid. Based on this, the calculation of thermal efficiency
397 and exergy efficiency was numerically investigated by evaluating the integrals expressions in
398 **Eqs. (30)-(31)** using the trapezoidal method. The results are reported in **Fig. 10** and **Fig. 11**,
399 respectively.

400 **Fig. 10** shows a minor improvement of the thermal efficiency of the PTC when nanofluids are
401 used instead of the base fluid with no significant difference between the tested nanofluids. It
402 is because the inlet temperature is fixed to 323 K which does not allow considerable
403 improvements of the convective heat transfer coefficient h_f as highlighted in **Figs. 5** and **6**.
404 The enhancement of the exergy efficiency is more significant than the thermal efficiency (see
405 **Fig. 11**). This result can be justified by the fact that the specific heat capacity of the nanofluid
406 is considerably less important than the one of the base fluid which induces a more pronounced
407 increase on the exergy output E_u (see **Eq. (31)** and **Fig. 3 (c)**).

408 **Fig. 12** shows the thermal efficiency and exergy efficiency plotted against the parameter
409 $(T_{in} - T_a)/G_{bt}$ supposing a constant inlet temperature of 323 K and a mass flow rate of 0.5 kg/s.
410 It is shown that both thermal and exergy efficiencies follow a decreasing trend with respect to
411 the defined ratio, with a sharper decrease for the thermal efficiency. For the base fluid, the
412 maximum thermal efficiency is found to reach 65.7%, while the minimum is about 43% with
413 only a marginal benefit when using nanofluids. The exergy efficiency ranges between 3.05%
414 and 8.5 % for the base fluid case and gets improved more remarkably when nanofluids are
415 employed. The peak exergy efficiency is attained by the CuO based nanofluid and is about
416 9.05%.

417 In order to evidence the combined effect of mass flow rate and inlet temperature, a parametric
418 study was carried out comparing the energy and exergy efficiencies of the base fluid and CuO
419 based nanofluid (as an example) for various conditions. This was made considering climatic
420 conditions referring to the maximum solar radiation (observed at midday).

421 The results are plotted in **Fig. 13** and **Fig. 14**. It is shown that, for the selected conditions, the
422 thermal efficiency of the PTC follows a decreasing tendency with increasing inlet temperature
423 independently of the working fluid nature. Increasing the mass flow rate generates a slight
424 increase in the thermal efficiency. This increase is less important when the mass flow rate
425 becomes higher. Comparing **Fig. 13 (a)** and **Fig. 13 (b)**, one can remark that the presence of
426 CuO nanoparticles in the base fluid enhances slightly the thermal efficiency, especially at
427 higher temperatures.

428 From **Fig. 14**, it can be seen that the exergy efficiency increases as the inlet temperature
429 increases, which is the opposite tendency for the thermal efficiency. Also, the mass flow rate
430 impacts a little the exergy efficiency. The difference between the exergy efficiencies (base
431 fluid and nanofluid) is also observed to be more important at increased inlet temperatures.

432 Relative daily energy gains associated with the use of nanofluids instead of the base fluid for
433 various operating conditions in terms of mass flow rate, inlet temperature, nanoparticle type
434 and concentration are given in **Tables 4-5**.

435 In **Table 4**, it is considered that the inlet temperature is set to a value of 323 K (50 °C). The
436 observations that can be made are: (i) low concentrations of nanoparticles induce only minor
437 improvements on the relative daily energy gains at high flow rates and are not advised at all
438 for low flow rates; (ii) The nanoparticle type has a small effect of the gains with a certain
439 advantage of Al₂O₃ nanoparticles; (iii) Increasing the mass flow rate has a minor positive
440 effect of the relative daily energy gain.

441 **Table 5** shows that increasing the inlet temperature generates a more considerable
442 improvement of the relative daily energy gain. This is mainly due to the improvement
443 occurring in the heat transfer coefficient at higher operating temperatures. From these two
444 tables one can conclude that the best combination of mass flow rate and inlet temperature is
445 when both are maximized. The maximum daily relative gain that can be reached is about 1.46
446 % by using 5% of Al₂O₃ in the base fluid.

447 Another global conclusion that can be drawn is that operating conditions affect differently the
448 energy and exergy related indicators, especially in terms of inlet temperature. Further detailed
449 optimization should be conducted to ensure the best combination of design parameters
450 selection based on the solar application.

451

452 **4. Conclusion**

453 A validated and detailed mathematical model was proposed to examine the benefits of using
454 nanofluids as working fluids in parabolic trough collectors for medium and high temperature
455 applications. Energy and exergy analyses were carried out based on real fluctuating operating
456 conditions. Nanoparticles type and concentration, mass flow rate and inlet temperature were
457 the parameters studied and the performance indices included the Figure of Merit,
458 instantaneous outlet leaving the collector, thermal efficiency, exergy efficiency and relative
459 gain in the thermal energy delivered to the utilization. The following conclusions have been
460 made:

- 461 • Presence of nanoparticles in the base fluid enhances the convective heat transfer
462 and can lead to higher values of the FoM. For CuO based nanofluid, the FoM is
463 greater than 1 for nanoparticle concentration $>1\%$ and can exceed 1.8 at an
464 operating temperature of 650 K and a nanoparticle concentration of 5%.
- 465 • Nanofluids achieved higher temperatures than the base fluid, especially at higher
466 levels of radiation. CuO based nanofluid leads to the most significant increase in
467 the outlet temperature while the other nanofluids give approximately the same
468 thermal behavior with a small advantage of TiO₂ based nanofluid
- 469 • For a nanoparticle concentration of 3%, only a minor improvement of the thermal
470 efficiency of the PTC when nanofluids are used instead of the base fluid with no
471 significant difference between the tested nanofluids.
- 472 • For similar conditions, the enhancement of the exergy efficiency is more
473 significant than the thermal efficiency.
- 474 • The exergy efficiency varied between 3.05% and 8.5 % for the base fluid case
475 and gets improved more remarkably when nanofluids are employed. The peak
476 exergy efficiency is attained by the CuO based nanofluid and is about 9.05%.
- 477 • The maximum daily relative gain in terms of thermal energy delivered that is
478 about 1.46 % by using 5% of Al₂O₃ in the base fluid.

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- The parametric analysis showed that the operating conditions (i.e. mass flow rate and inlet temperature) should be carefully controlled for optimal energetic and exergetic performances.

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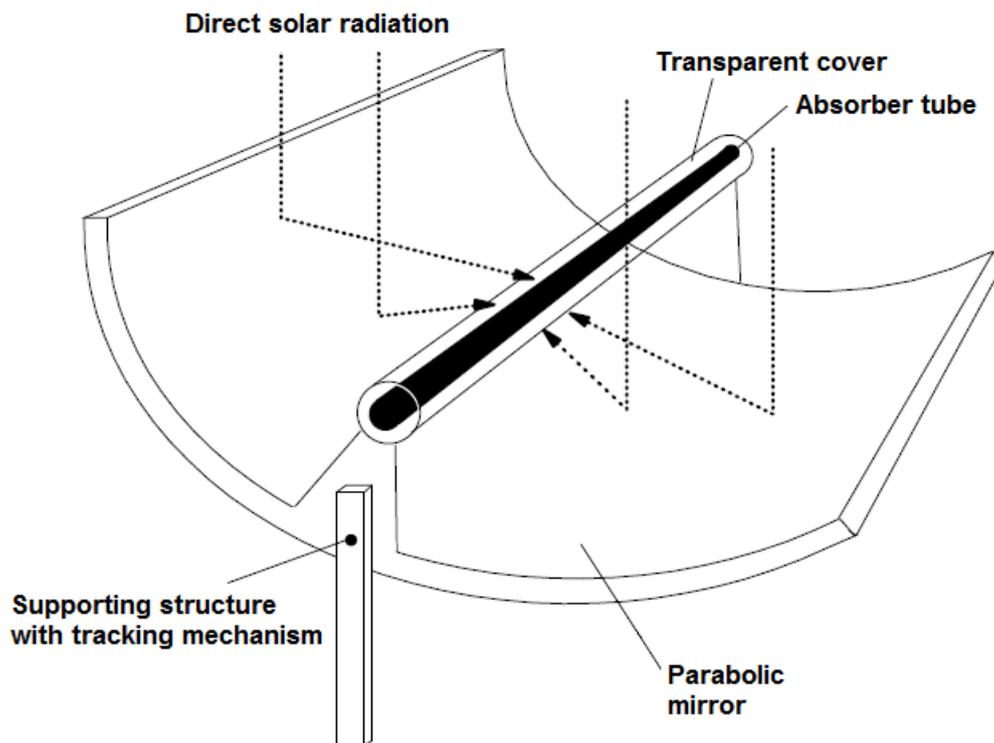
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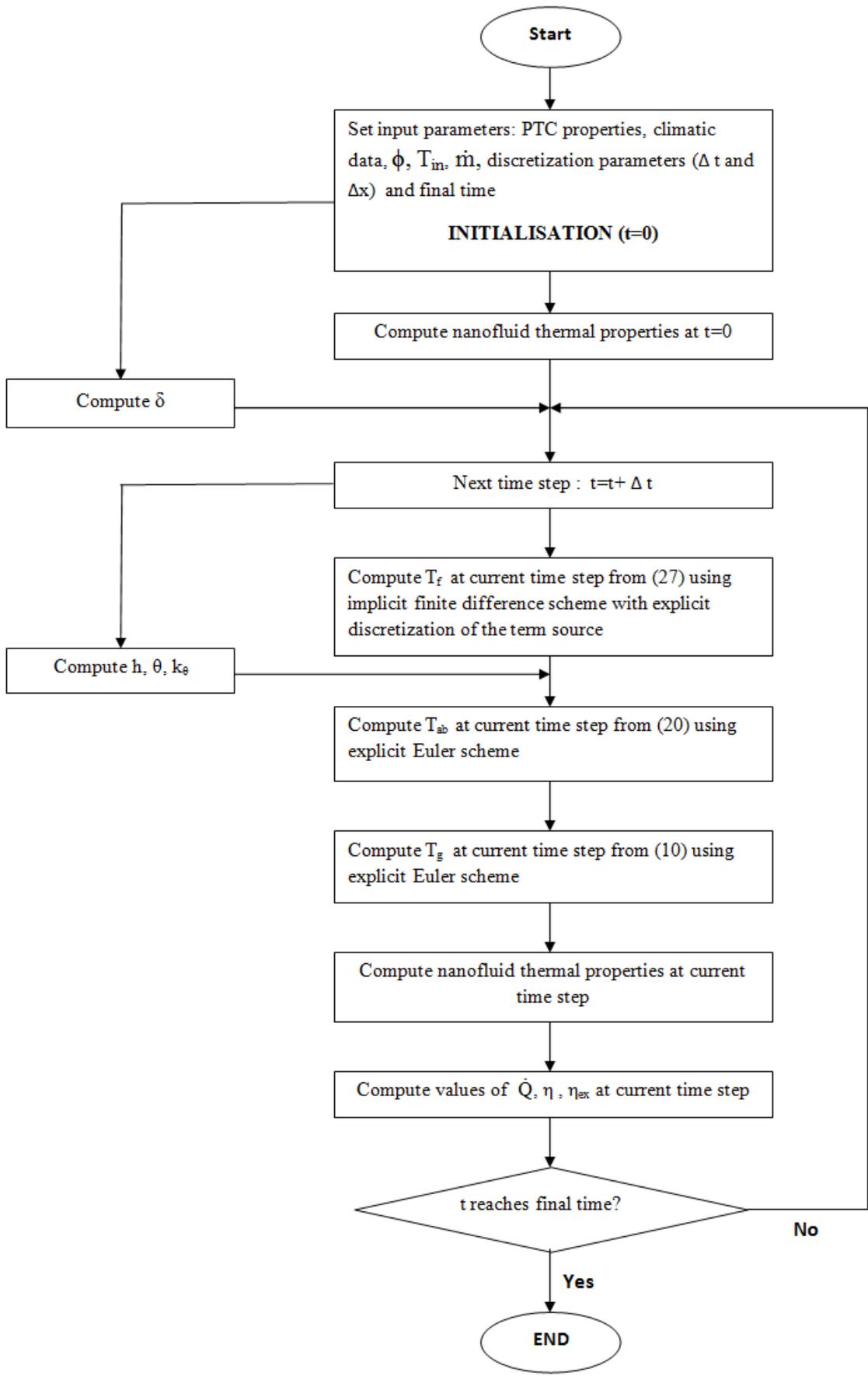


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Fig. 1: Solar parabolic trough collector [35]

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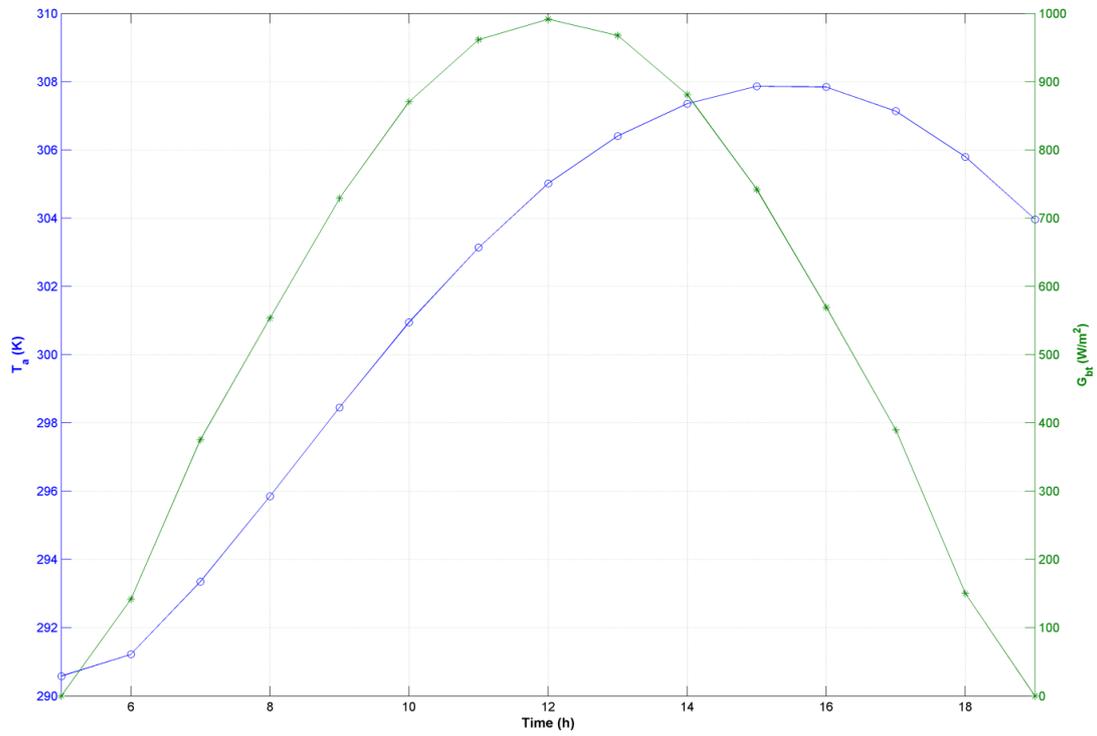


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Fig. 2: Flow diagram of the mathematical model

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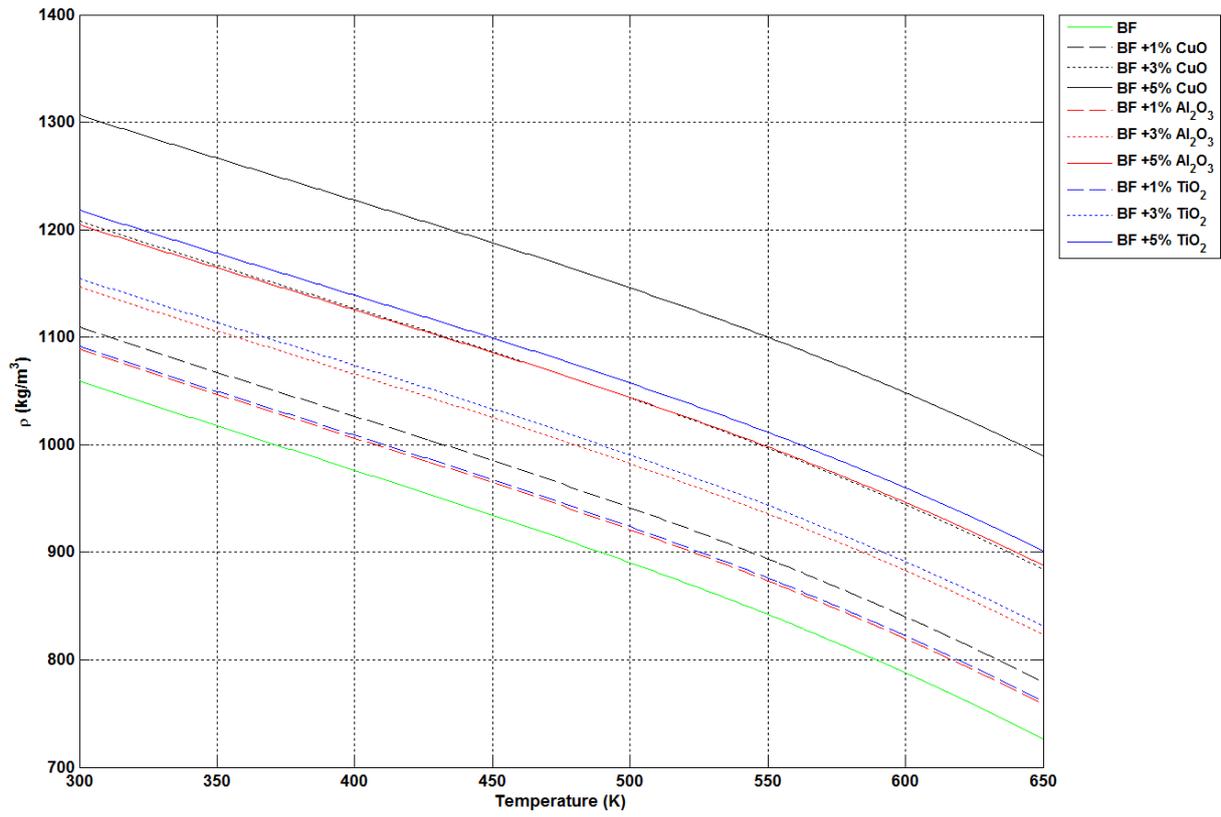
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Fig. 3: Hourly variation of the ambient temperature (left axis) and beam incident radiation (right axis)

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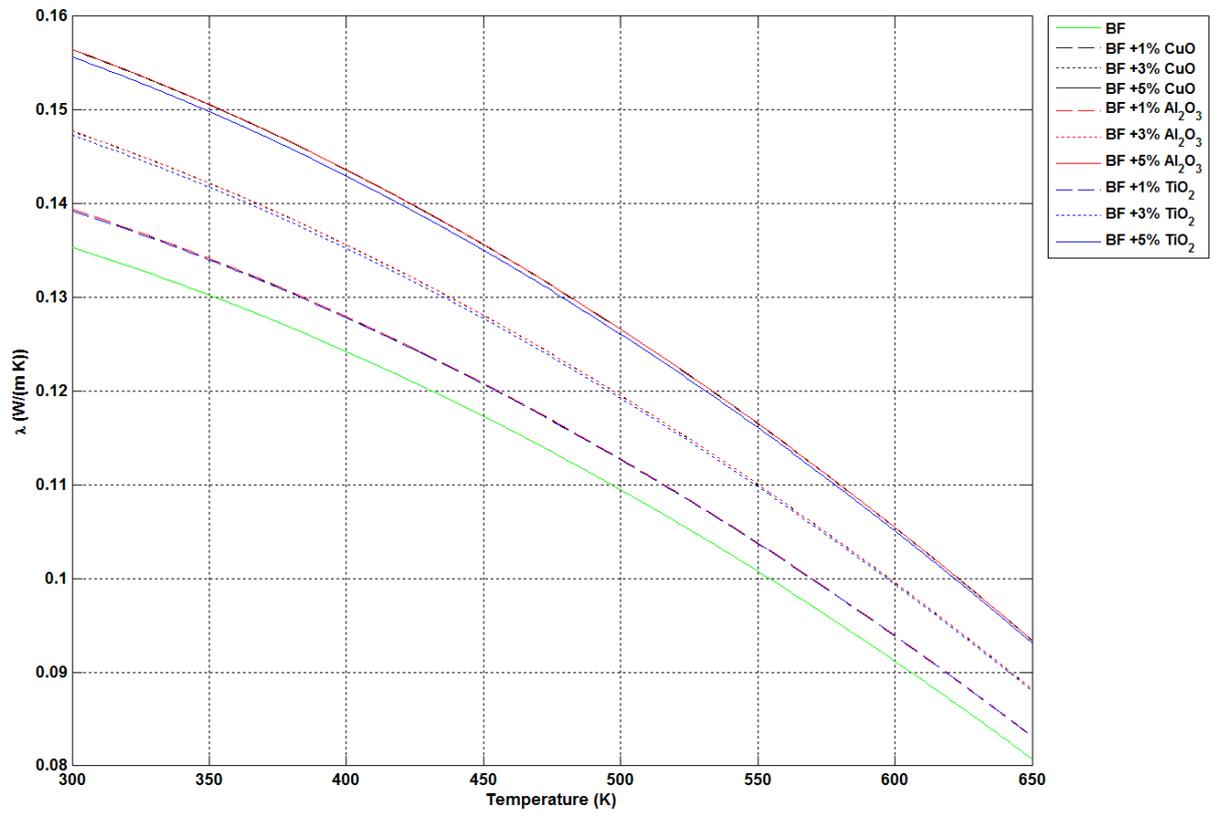
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(a) Density

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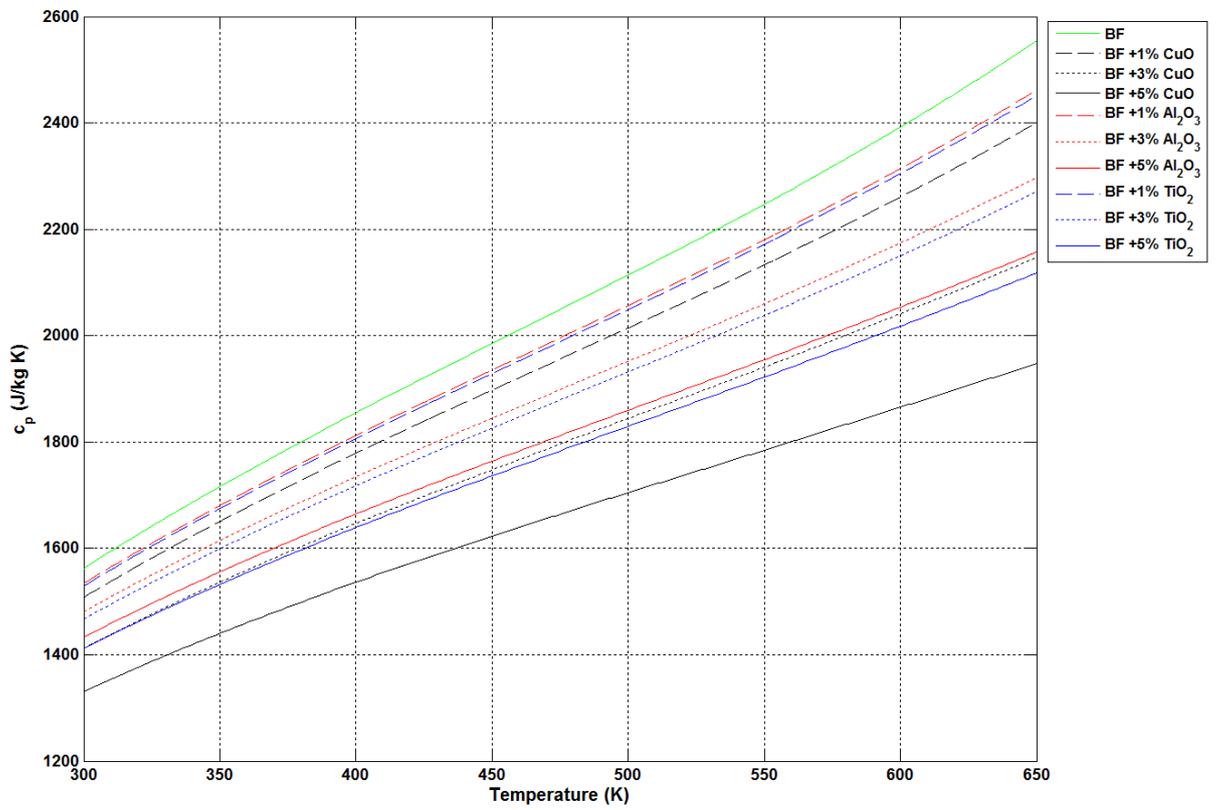
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(b) Thermal conductivity

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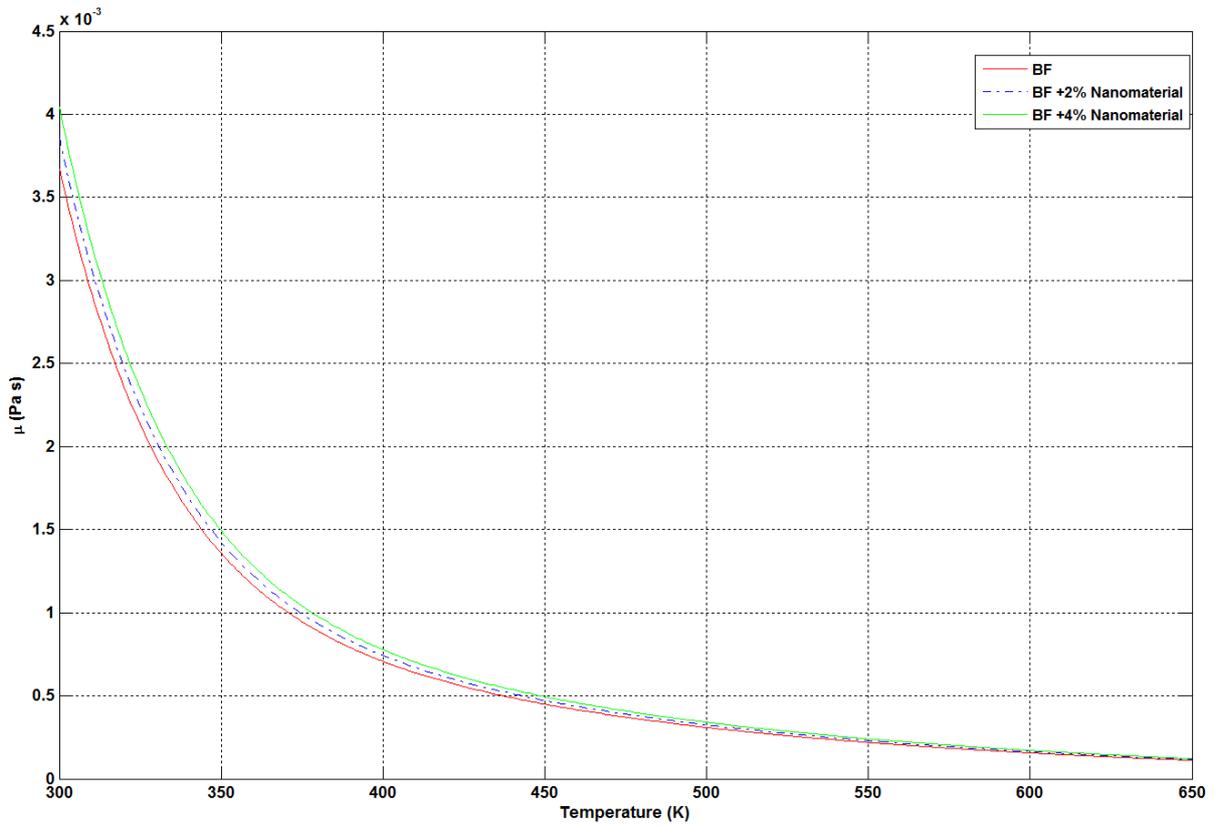
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(c) Specific heat capacity

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(d) Dynamic viscosity

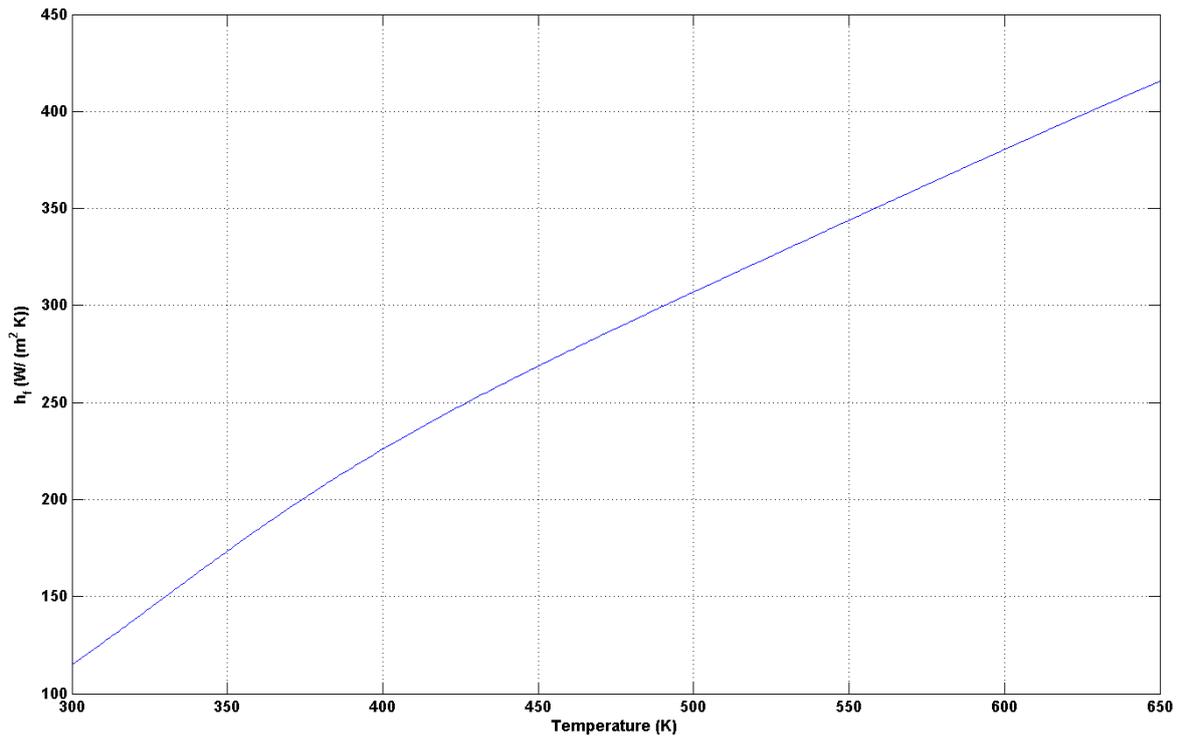
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Fig. 4: Thermal properties of base fluid and nanofluids

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643 **Fig. 5: Convective heat transfer coefficient for various fluid temperatures (base fluid)**

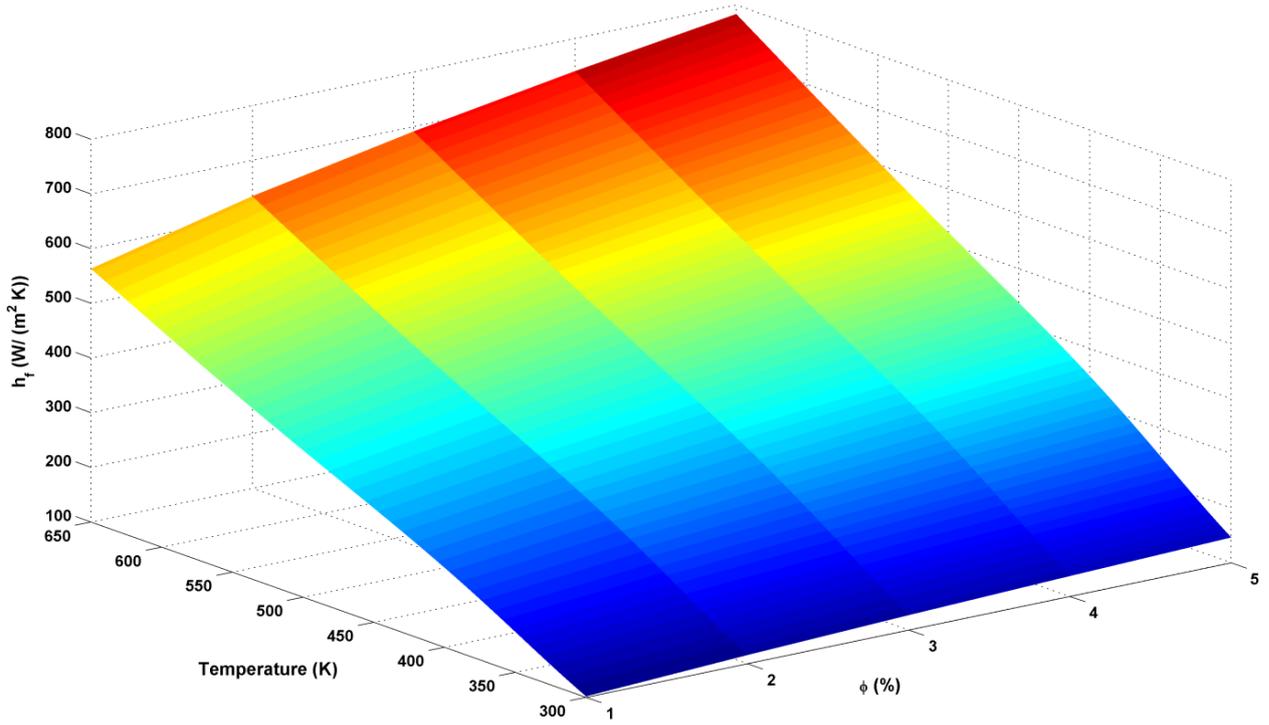
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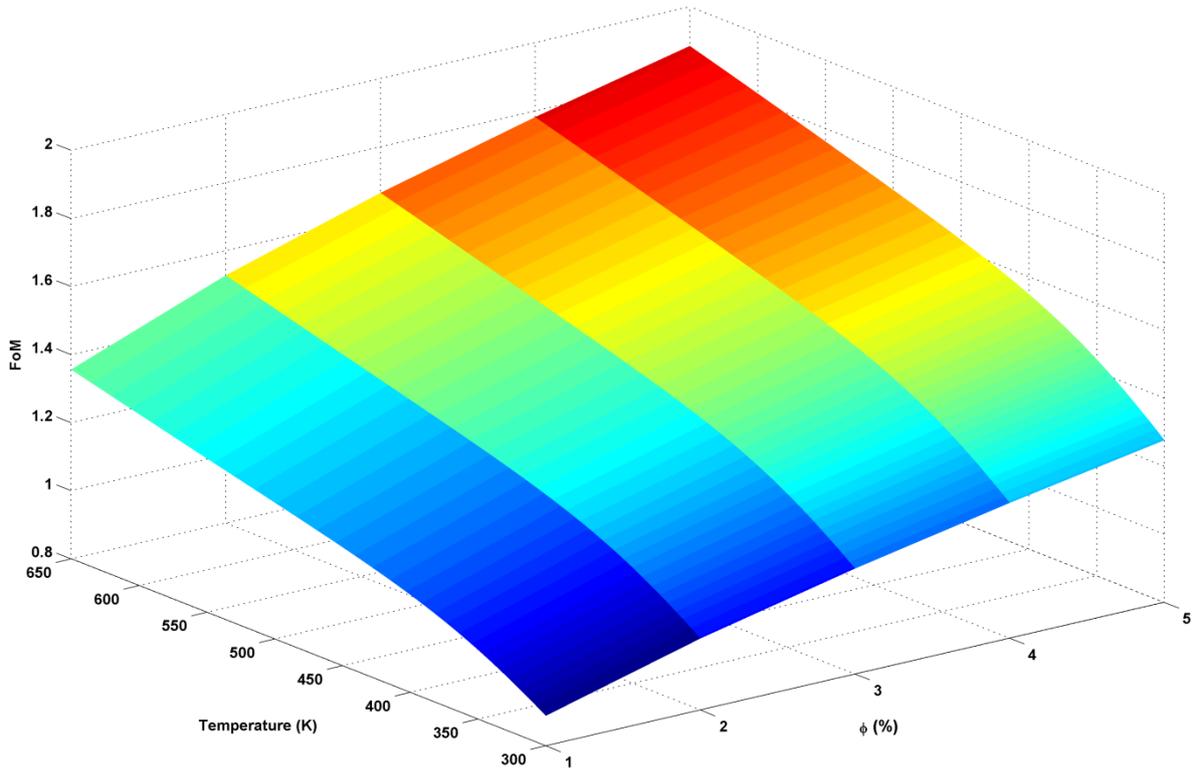
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Fig. 6: Convective heat transfer coefficient for various fluid temperatures and nanoparticle concentrations (CuO based nanofluid)



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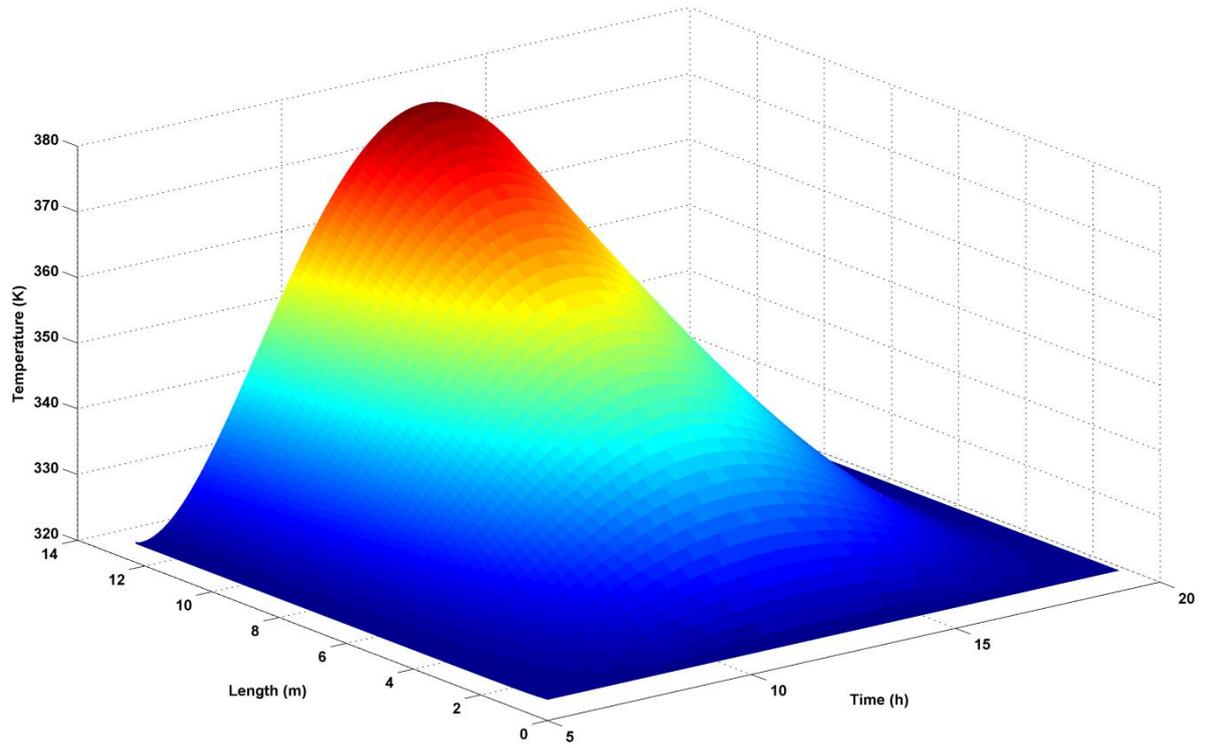
Fig. 7: Figure of Merit of CuO based nanofluid for various fluid temperatures and nanoparticle concentrations

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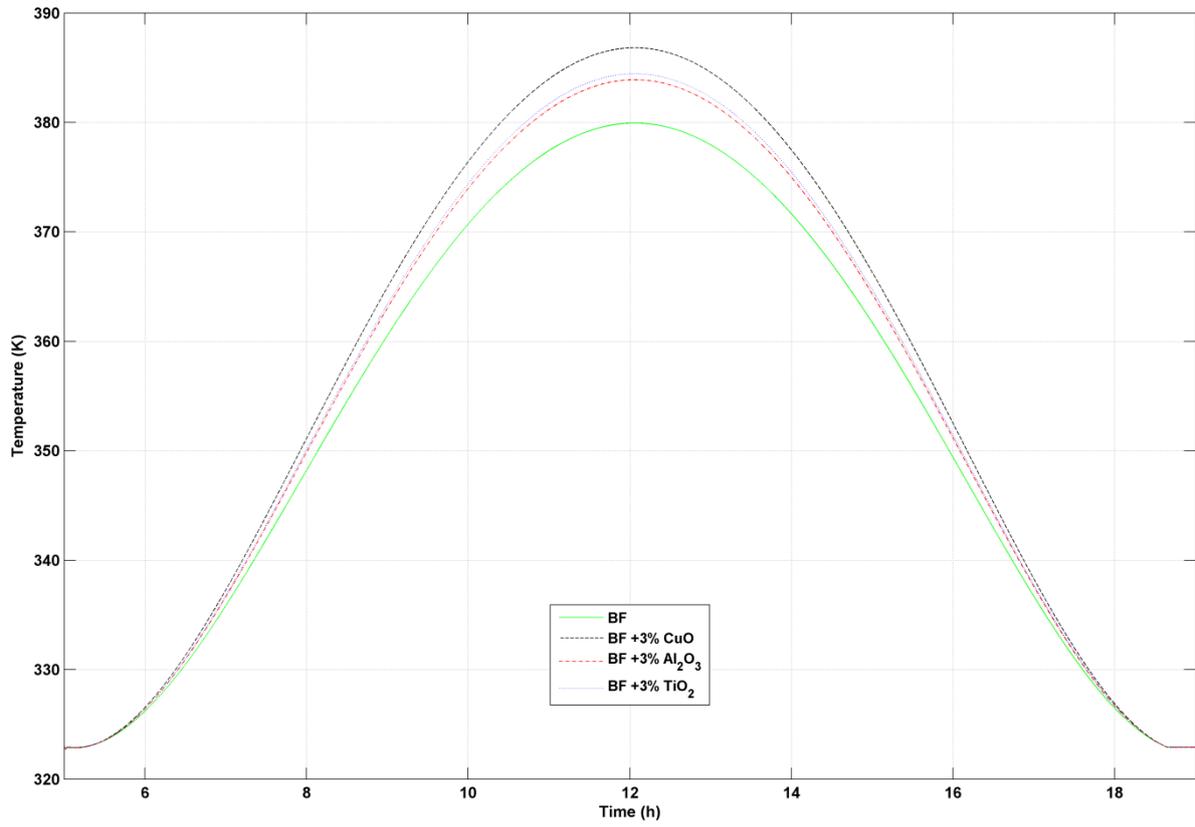
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660 **Fig. 8: Evolution of the base fluid temperature along the axial direction versus the time**

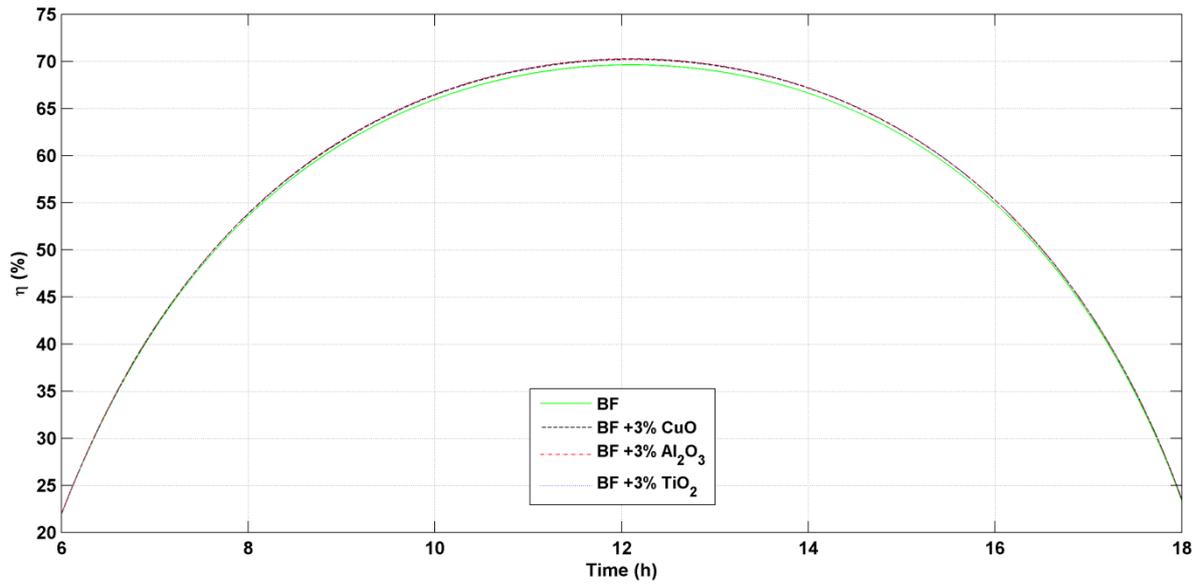
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663 **Fig. 9: Temporary evolution of outlet temperature of PTC (comparison between base**
 664 **fluid and nanofluids)**

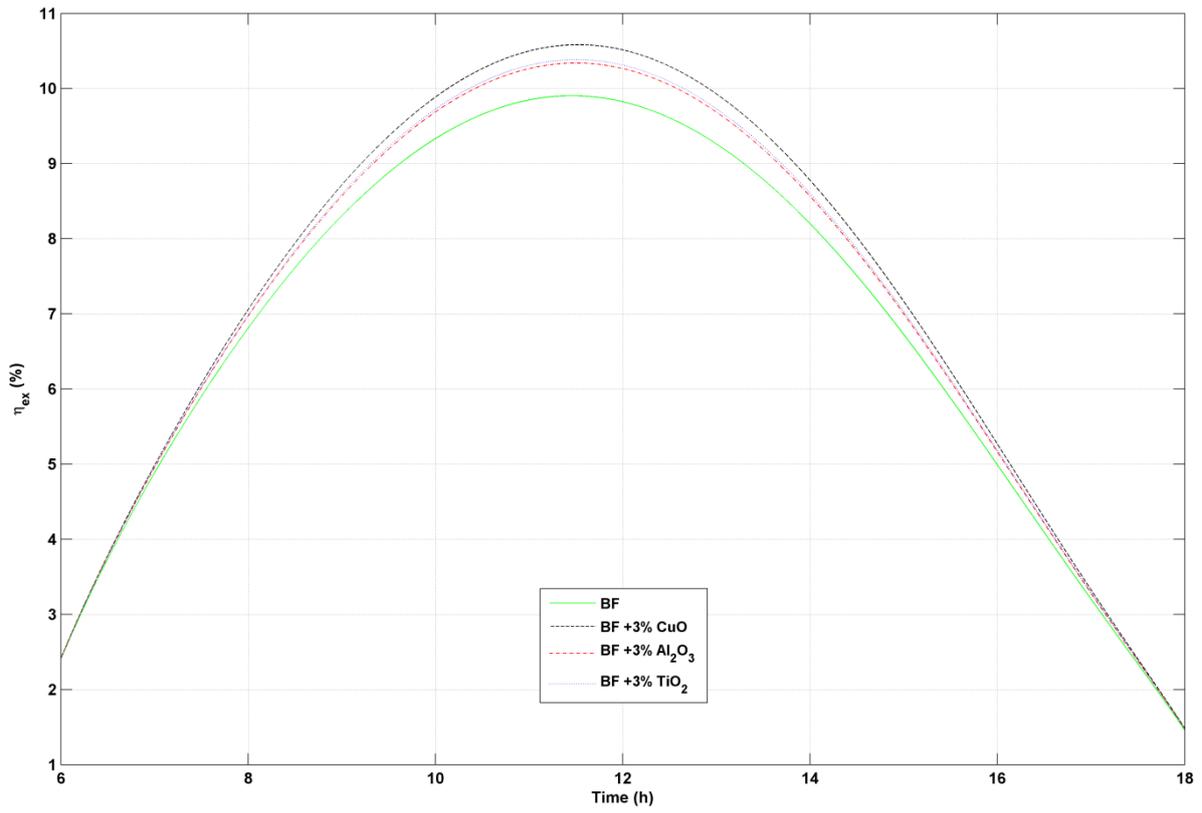
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667 **Fig. 10: Temporary evolution of thermal efficiency (comparison between base fluid and**
 668 **nanofluids)**

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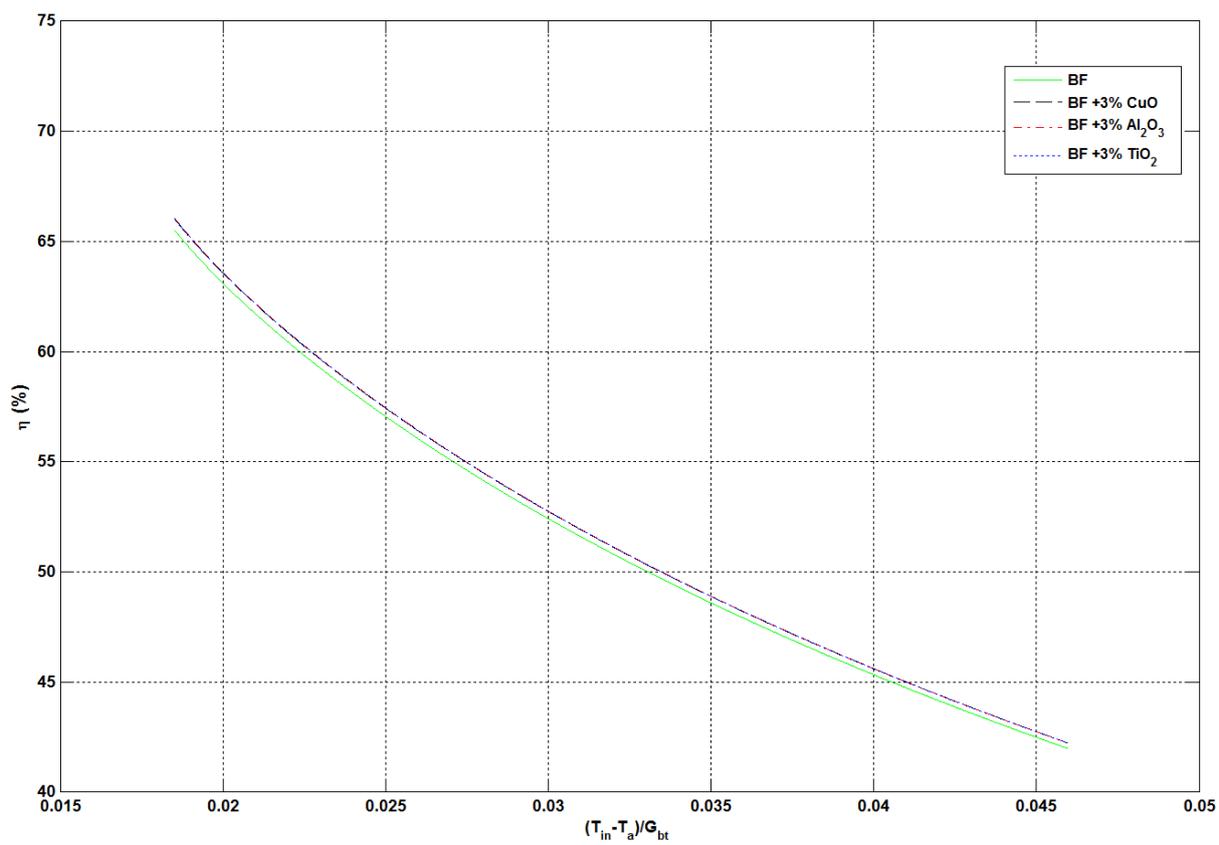
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671 **Fig. 11: Temporary evolution of exergy efficiency (comparison between base fluid and**
672 **nanofluids)**

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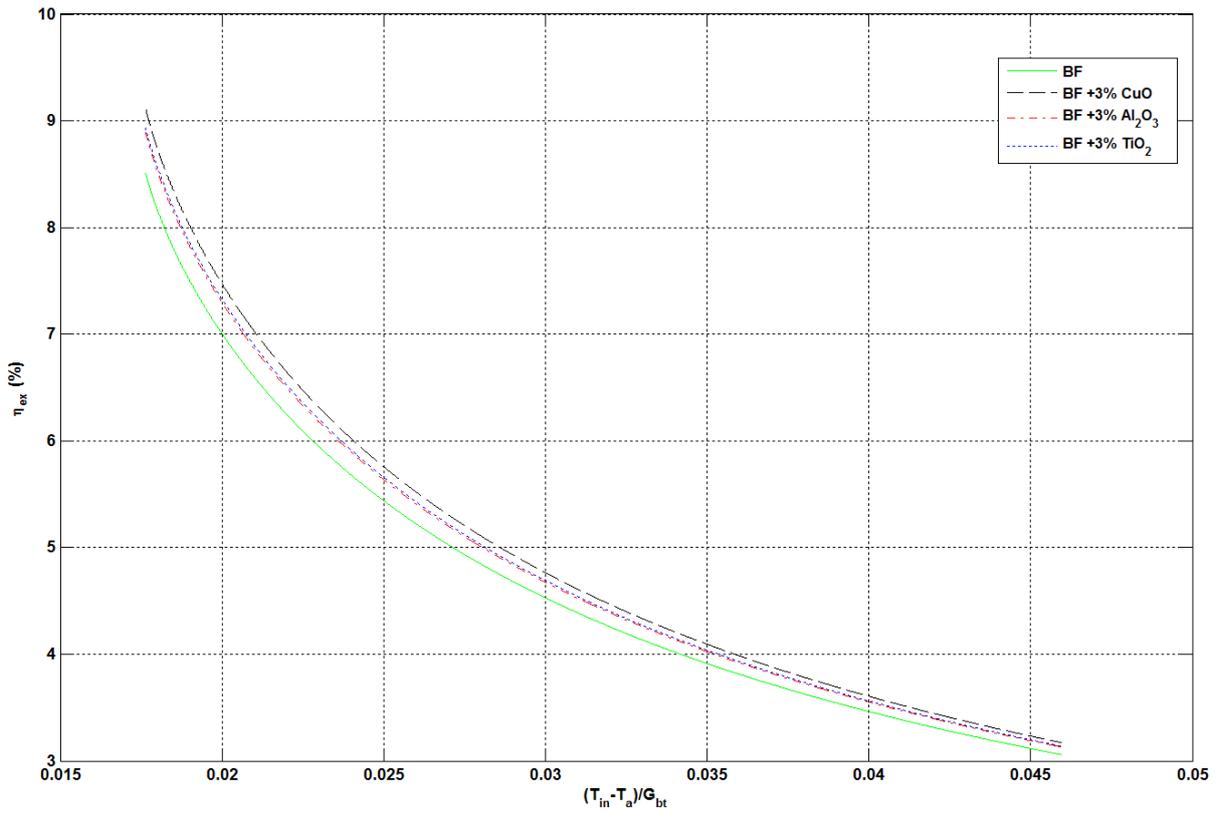


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(a) Thermal efficiency

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(b) Exergy efficiency

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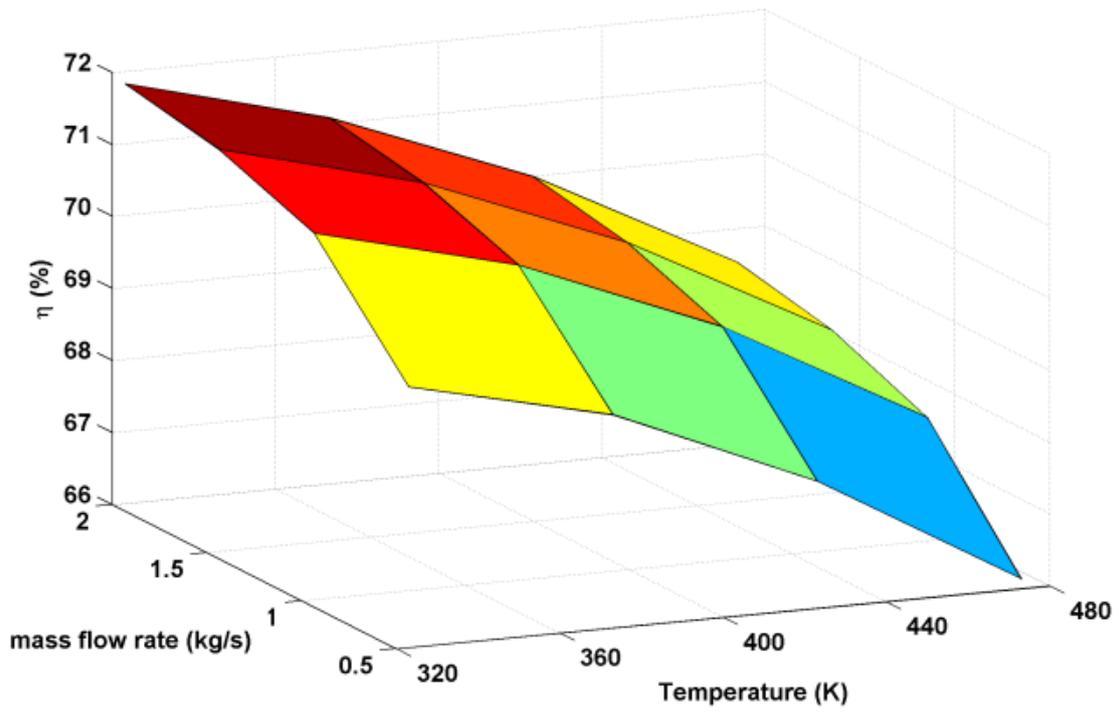
Fig. 12 : Comparison of thermal/exergy efficiency (base fluid and nanofluids)

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(a) *Base fluid*

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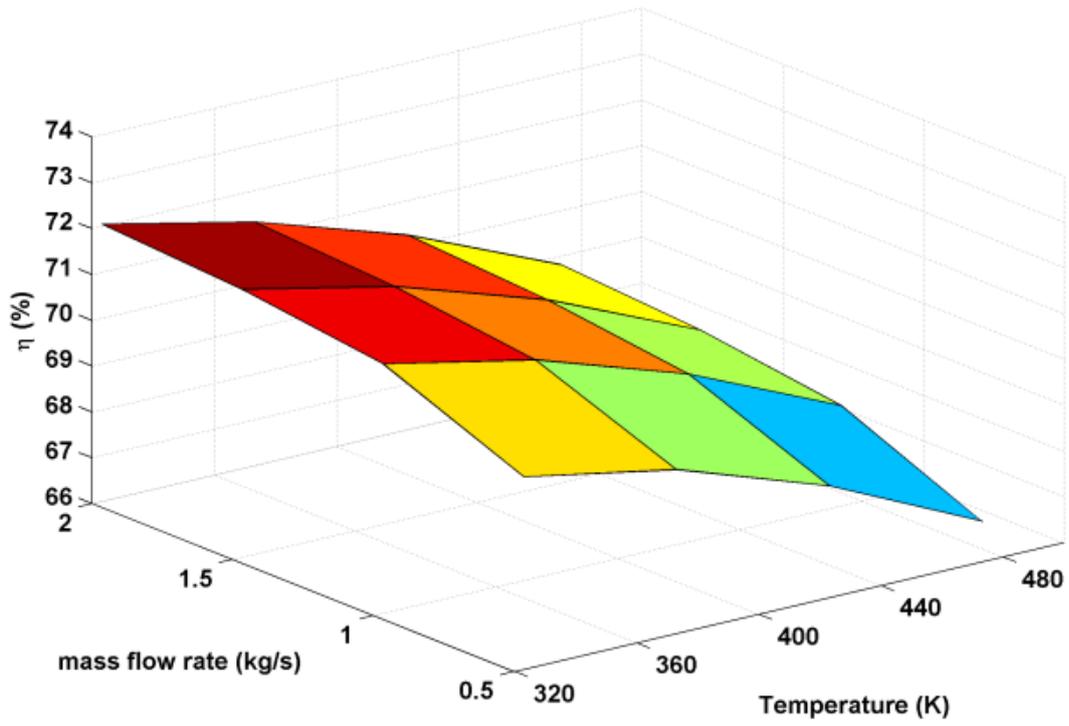
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(b) CuO based nanofluid ($\phi=3\%$)

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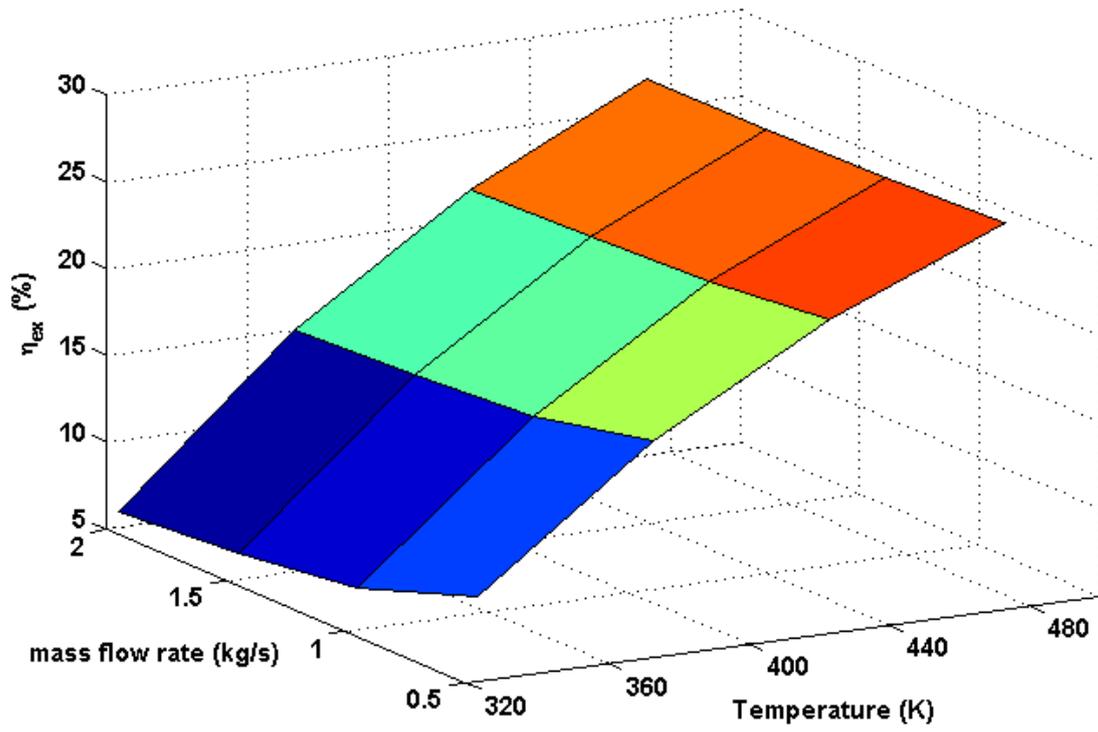
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Fig. 13: Thermal efficiency for various inlet temperatures and mass flow rates

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(a) Base fluid

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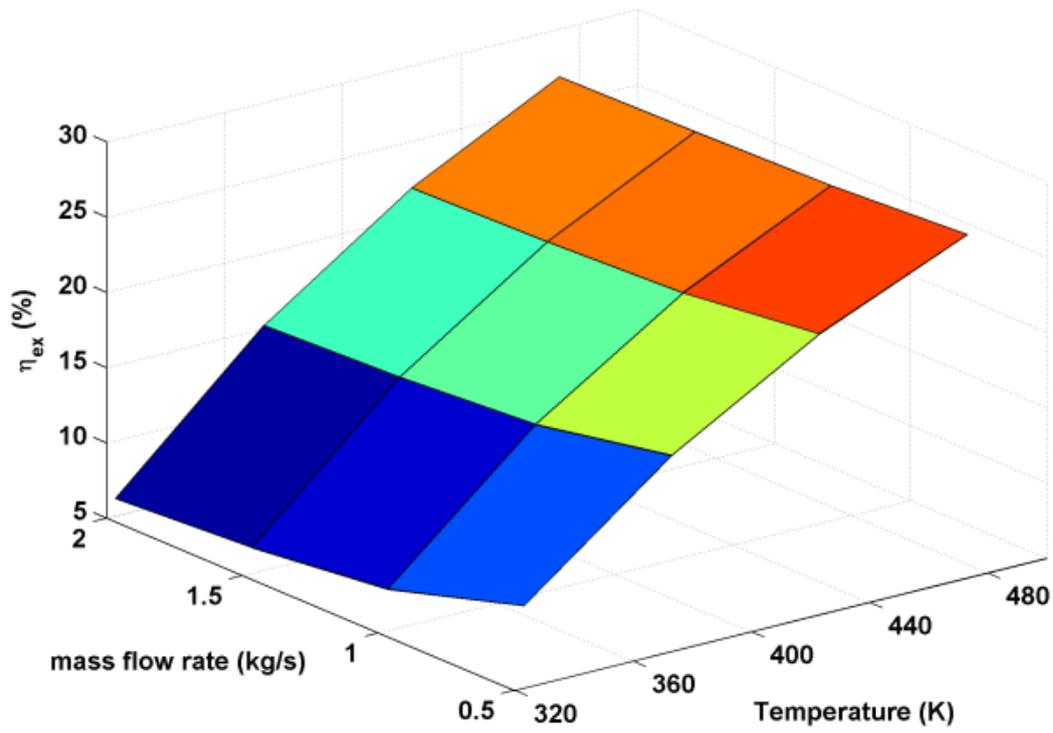
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(b) CuO based nanofluid ($\phi=3\%$)

Fig. 14: Exergy efficiency for various inlet temperatures and mass flow rates

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732 **Table 1: Properties of the used nanomaterials**

Material	Specific heat (J/kg K)	Thermal conductivity (W/m K)	Density (kg/m³)
Copper Oxide (CuO)	551	33	6000
Alumina (Al ₂ O ₃)	773	40	3960
Titanium Oxide (TiO ₂)	692	8.4	4230

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735 **Table 2: Geometrical and optical properties of PTC [37]**

Parameter	Value
Length of the collector (L)	12.27 m
Width of the collector	5.76 m
Receiver inner diameter	0.066 m
Receiver outer diameter	0.07 m
Glass envelope inner diameter	0.115 m
Glass envelope outer diameter	0.121 m
Absorptance of the receiver (α)	0.96
Transmittance of the glass cover (τ)	0.96
Reflectance of the mirror (r_m)	0.94
Intercept factor (γ)	0.867

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739 **Table 3: Comparison of model prediction with experimental tests from SNL [44]**

Test	Test conditions					Outlet Temperature (K)			Thermal Efficiency (%)		
	DNI (W/m ²)	Wind (m/s)	T _{amb} (K)	T _{in} (K)	mass flow rate (kg/s)	SNL test	model	Deviation (K)	SNL test	model	Deviation (%)
State 1	933.7	2.60	294.35	375.35	0.66	397.15	397.08	0.07	72.51	69.61	2.9
State 2	968.2	3.70	295.55	424.15	0.68	446.45	446.07	0.38	70.9	69.84	1.06
State 3	937.9	1.00	301.95	570.95	0.61	590.05	590.88	0.83	67.98	66.64	1.34

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742 **Table 4: Relative energy gains using nanofluids instead of base fluid (effect of mass flow**
 743 **rate)**

HTF	Mass flow rate (kg/s)							
	0.5		1		1.5		2	
	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)
BF	358.4		365.3		367.7		369	
BF +1% CuO	358.2	-0.0558	365.7	0.1095	368.2	0.136	369.4	0.1084
BF +2% CuO	359.9	0.4185	366.5	0.3285	368.7	0.272	369.8	0.2168
BF +3% CuO	360.9	0.6975	367	0.4654	369	0.3535	370	0.271
BF +4% CuO	361.7	0.9208	367.4	0.5749	369.3	0.4351	370.2	0.3252
BF +5% CuO	362.2	1.0603	367.6	0.6296	369.4	0.4623	370.3	0.3523
BF +1% Al ₂ O ₃	358.4	-0.0088	365.8	0.1369	368.2	0.136	369.5	0.1355
BF +2% Al ₂ O ₃	360.1	0.4743	366.6	0.3559	368.8	0.2992	369.9	0.2439
BF +3% Al ₂ O ₃	361.3	0.8092	367.2	0.5201	369.1	0.3807	370.1	0.2981
BF +4% Al ₂ O ₃	362	1.0045	367.5	0.6022	369.4	0.4623	370.3	0.3523
BF +5% Al ₂ O ₃	362.6	1.1719	367.8	0.6844	369.6	0.5167	370.5	0.4065
BF +1% TiO ₂	358.3	-0.0279	365.8	0.1369	368.2	0.136	369.4	0.1084
BF +2% TiO ₂	360.1	0.4743	366.6	0.3559	368.8	0.2992	369.8	0.2168
BF +3% TiO ₂	361.2	0.7813	367.1	0.4927	369.1	0.3807	370.1	0.2981
BF +4% TiO ₂	361.9	0.9766	367.5	0.6022	369.4	0.4623	370.3	0.3523
BF +5% TiO ₂	362.5	1.144	367.8	0.6844	369.5	0.4895	370.4	0.3794

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749 **Table 5: Relative energy gains using nanofluids instead of base fluid (effect of inlet**
 750 **temperature)**

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HTF	Inlet Temperature (K)							
	323		373		423		473	
	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)	Q (kWh)	Δe (%)
BF	358.4		351.9		341.9		328.9	
BF +1% CuO	358.2	-0.0558	352.7	0.2273	343.3	0.4095	330.8	0.5777
BF +2% CuO	359.9	0.4185	353.9	0.5683	344.4	0.7312	331.8	0.8817
BF +3% CuO	360.9	0.6975	354.7	0.7957	345	0.9067	332.4	1.0642
BF +4% CuO	361.7	0.9208	355.2	0.9378	345.4	1.0237	332.8	1.1858
BF +5% CuO	362.2	1.0603	355.5	1.023	345.7	1.1114	333	1.2466
BF +1% Al ₂ O ₃	358.4	-0.0088	352.8	0.2558	343.4	0.4387	330.9	0.6081
BF +2% Al ₂ O ₃	360.1	0.4743	354.1	0.6252	344.6	0.7897	332.1	0.9729
BF +3% Al ₂ O ₃	361.3	0.8092	355	0.8809	345.4	1.0237	332.8	1.1858
BF +4% Al ₂ O ₃	362	1.0045	355.6	1.0514	345.9	1.1699	333.3	1.3378
BF +5% Al ₂ O ₃	362.6	1.1719	356	1.1651	346.3	1.2869	333.7	1.4594
BF +1% TiO ₂	358.3	-0.0279	352.8	0.2558	343.4	0.4387	330.9	0.6081
BF +2% TiO ₂	360.1	0.4743	354.1	0.6252	344.6	0.7897	332	0.9425
BF +3% TiO ₂	361.2	0.7813	354.9	0.8525	345.3	0.9944	332.7	1.1554
BF +4% TiO ₂	361.9	0.9766	355.5	1.023	345.8	1.1407	333.2	1.3074
BF +5% TiO ₂	362.5	1.144	355.9	1.1367	346.2	1.2577	333.5	1.3986

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